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**Transformation of polyvinyl chloride (PVC) into a versatile and efficient adsorbent of
Cu(II) cations and Cr(VI) anions through hydrothermal treatment and sulfonation**

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21 **Abstract**

22 The reuse of waste polyvinyl chloride (PVC) has drawn much attention as it can reduce
23 plastic waste and associated pollution, and provide valuable raw materials and products. In
24 this study, sulfonated PVC-derived hydrochar (HS-PVC) was synthesized by two-stage
25 hydrothermal treatment (HT) and sulfonation, and shown to be a versatile adsorbent. The
26 removal of Cu(II) cations and Cr(VI) anions using HS-PVC reached $81.2 \pm 1.6\%$ and $60.3 \pm$
27 3.8% , respectively. The first stage of HT was crucial for the dichlorination of PVC and the
28 formation of an aromatic structure. This stage guaranteed the introduction of $-\text{SO}_3\text{H}$ onto
29 PVC-derived hydrochar through subsequent sulfonation. HT intensities (i.e., temperature and
30 time) and sulfonation intensity strongly determined the adsorption capacity of HS-PVC.
31 Competitive adsorption between Cu(II) and Cr(VI) onto HS-PVC was demonstrated by
32 binary and preloading adsorption. The proposed Cu(II) cations adsorption mechanism was
33 electrostatic adsorption, while Cr(VI) were possibly complexed by the phenolic $-\text{OH}$ and
34 reduced to Cr(III) cations by $\text{C}=\text{C}$ groups in HS-PVC. In addition, HS-PVC derived from
35 PVC waste pipes performed better than PVC powder for Cu(II) and Cr(VI) removal ($> 90\%$).
36 This study provides an efficient method for recycling waste PVC and production of efficient
37 adsorbents.

38

39 **Keywords: Polyvinyl chloride; Hydrothermal treatment; Sulfonation; Adsorption;**
40 **Cu(II) cations and Cr(VI) anions**

41

42 **1. Introduction**

43 Polyvinyl chloride (PVC) is irreplaceable in the infrastructure of modern society (Cui et
44 al., 2021). However, large quantities of plastic waste continuously enter aquatic and terrestrial
45 ecosystems, leading to ubiquitous pollution worldwide (Kawecki and Nowack 2019, Miao et
46 al., 2020). For example, the accumulated PVC waste in China will exceed 500 million tons
47 by the end of 2050, posing a daunting challenge for the safe and effective disposal of this
48 halogenated waste (Liu et al., 2020).

49 Mechanical recycling (25.5%), incineration (9.3%), and landfill disposal (36.0%) are
50 currently the main solutions for solving the problem of PVC waste (Yu et al., 2016, Ye et al.,
51 2017, Liu et al., 2020). However, mechanical recycling is still rudimentary and only suitable
52 for source-separated PVC waste. Incineration and landfill disposal of PVC waste normally
53 release hazardous compounds, such as polychlorinated dibenzo-p-dioxins and
54 polychlorinated dibenzofurans. Furthermore, the land requirements of landfills must be
55 considered (Wiedinmyer et al., 2014). Thus, reliable alternative methods to treat PVC waste
56 safely and efficiently in an environmentally sound and high value-added way are worth
57 pursuing (Lau et al., 2020). Such an approach is in line with the European Union's strategy
58 for plastics in a circular economy (Matthews et al., 2021).

59 Hydrothermal treatment (HT), conducted at relatively low temperatures of 160 to 300°C,
60 can pretreat PVC waste for dehydrochlorination (Ma et al., 2019, Ning et al., 2020),
61 permitting subsequent pyrolysis to produce chars and liquid hydrocarbons with a low Cl
62 content, such as alkene and polyene (Poerschmann et al., 2015, Jiang et al., 2021). However,

63 cost- and energy-intensive conditions, such as noble metal catalysts and high temperature
64 (500°C), are typically adopted for lighter oil production by catalytic pyrolysis of plastic
65 (Kunwar et al., 2016, Maity et al., 2020). On the other hand, PVC waste can be converted
66 into coal-alternative fuels by HT processes amended with cellulose (Shen et al., 2017),
67 pinewood sawdust (Huang et al., 2019), and corncob (Lu et al., 2020). Yet, another important
68 recycling method has been undervalued and ignored, i.e., the potential transformation of
69 PVC-derived hydrochar (H-PVC) into an effective adsorbent for the removal of contaminants,
70 such as heavy metals.

71 Unfortunately, the adsorption capacity of organic compounds by H-PVC is very low,
72 primarily because surface-related adsorption prevails over hydrophobic partitioning
73 (Poerschmann et al., 2015). Several studies showed that the adsorption of hydrochar derived
74 from natural biomass sources was enhanced by introducing functional groups, such as –OH,
75 –COOH, and –NH₂, which could trap heavy metal ions from aqueous solutions (Azzaz et al.,
76 2020, Yang et al., 2021). Sulfonation is an attractive modifications method for various carbon
77 materials due to the unique surface chemistry and low production costs. Large amounts of
78 oxygen functionalities, such as –OH, –COOH, and lactones, along with –SO₃H groups, are
79 introduced into the surface of the carbon materials, improving their hydrophilic/oxyphilic
80 properties after sulfonation. Sulfonated carbon materials have been widely applied in the
81 catalysis of esterifications, acetalization, etherifications, and transesterifications (Konwar et
82 al., 2019). However, sulfonated PVC-derived hydrochar (HS-PVC) has rarely been reported,
83 albeit potentially being a cost-effective adsorbent for heavy metals.

84 The novelty of the present work is the development of two-stage HT and sulfonation to
85 synthesize PVC-derived adsorbents for the removal of both Cu(II) cations and Cr(VI) anions.
86 A systematic investigation was conducted to discern the mechanisms underlying functional
87 group modifications of PVC through different strategies and treatment intensities. The
88 adsorption mechanisms of Cu(II) and Cr(VI) were clarified to understand the adsorption
89 behavior onto HS-PVC. The performance of HS-PVC to adsorb Cu(II) and Cr(VI) from
90 natural water was evaluated. PVC waste pipes were used to synthesize HS-PVC and test its
91 applications. Furthermore, the versatility of HS-PVC for the adsorption of low concentrations
92 of Cu(II) and Cr(VI) (20 mg/L) and other metals, i.e., Mn(II), Cd(II), Ni(II), and Co(II) was
93 investigated. This study provides a practical approach for the sustainable reuse of waste PVC
94 as an efficient adsorbent to remove heavy metal cations and anions.

95

96 **2. Materials and methods**

97 **2.1. Preparation of PVC-derived adsorbents**

98 Raw PVC powder (sieved through a 100 mesh) was purchased from a plastic chemical
99 company (Guangdong, China). Hydrothermal batch reactions were conducted by loading 10 g
100 of Raw PVC with 40 mL distilled water in 100-mL airtight stainless-steel tubular reactors
101 with internal polyphenylene containers. The reactors were heated to 250 °C for 6 h in a
102 homothermic oven (DHG-9013A, China). The reactors were then cooled to room temperature
103 in a water bath to prepare the H-PVC. Ten grams of Raw PVC was sulfonated in flasks (250
104 ml) with concentrated sulfuric acid (98%) at a sulfonation intensity of 1:10 (g/mL) in a shaking

105 bath (70 °C and 110 rpm for 12 h) to produce the sulfonated PVC (S-PVC). Unless otherwise
106 specified, sulfonation intensity refers to the ratio of adsorbent mass to the volume of sulfuric
107 acid in this paper. Specifically, a relatively low temperature of sulfonation (70 °C) was chosen
108 according to the literature (Xiao and Hill 2020). The HS-PVC was synthesized by sulfonation
109 of H-PVC with the same process as described for S-PVC. The S-PVC and HS-PVC were
110 washed three times with distilled water to eliminate the unreacted sulfuric acid. The Raw PVC,
111 H-PVC, S-PVC, and HS-PVC were dried in a homothermic oven at 105 °C for 24 h. The dried
112 PVC-derived adsorbents were then ground and sieved through a 100 mesh (147 µm) before
113 adsorption of Cu(II) and Cr(VI).

114 **2.2. PVC-derived adsorbents for highly efficient removal of Cu(II) and Cr(VI)**

115 **2.2.1. Cu(II) and Cr(VI) removal using Raw PVC, H-PVC, S-PVC, and HS-PVC**

116 A stock solution of 500 mg Cu(II)/L (7.87 mM) was prepared by dissolving 1965 mg of
117 copper sulfate pentahydrate ($\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$) in 1 L of ultrapure water. A stock solution of 250
118 mg Cr(VI)/L (4.81 mM) was prepared by dissolving 770 mg of potassium dichromate
119 ($\text{K}_2\text{Cr}_2\text{O}_7$) in 1 L of ultrapure water. Batch experiments of Cu(II) and Cr(VI) removal were
120 performed by adding 10 g/L of Raw PVC, H-PVC, S-PVC, and HS-PVC to flasks (250 mL)
121 in a water bath shaker (25 °C and 100 rpm) for 12 h. All samples were withdrawn from the
122 flasks and filtered through membrane filters with a pore size of 0.22 µm for subsequent Cu(II)
123 and Cr(VI) determination.

124 The removal efficiencies of Cu(II) and Cr(VI) were calculated as:

$$125 \text{ Removal efficiency} = \frac{C_0 - C_t}{C_0} \times 100\% \quad (1)$$

126 where C_0 (mg/L) and C_t (mg/L) were the concentrations of Cu(II) and Cr(VI) at the start
127 of the experiment and time t (h). Unless otherwise specified, all calculations of Cu(II) and
128 Cr(VI) removal efficiencies by PVC-derived adsorbents followed this equation. The
129 concentrations of Cu(II) and Cr(VI) were determined by inductively coupled plasma mass
130 spectrometry (ICP-MS) (Thermo Scientific iCAP Q, USA).

131 **2.2.2. Cu(II) and Cr(VI) removal using HS-PVC under different intensities of HT** 132 **temperature, HT time, and sulfonation and correlation analyses**

133 HS-PVC was synthesized under different intensities of HT (temperature and time) and
134 sulfonation as follows: HT temperatures from 220 °C to 260 °C (in 10 °C intervals, HT time
135 of 6 h and sulfonation intensity of 1:10), HTC times from 2 h to 6 h (in 1 h intervals, HT
136 temperature of 250 °C, and sulfonation intensity of 1:10), and sulfonation intensities from 1:1
137 to 1:10 (in 1:10 intervals, HT temperature of 250 °C, and HT time of 6 h). 10 g/L HS-PVC
138 fabricated with different HT intensities and sulfonation were used for Cu(II) and Cr(VI)
139 removal at 25 °C and 100 rpm. To investigate the individual effects of the intensities of HT
140 temperature, HT time, and sulfonation on Cu(II) and Cr(VI) removal, enhancements of Cu(II)
141 and Cr(VI) removal were calculated by comparing the lowest value with the highest one (250 °C
142 vs. 220°C, 6 h vs. 2 h, and 1:10 vs. 1:1, respectively).

143 **2.2.3. Adsorption kinetics and isotherms of Cu(II) and Cr(VI) removal using HS-PVC**

144 The adsorption kinetics of Cu(II) and Cr(VI) removal were studied based on the removal
145 efficiencies of HS-PVC as described in Section 2.2.1. Adsorption isotherm experiments were
146 carried out with different initial concentrations of Cu(II) (i.e., 100, 200, 300, 400, 500, 600,

147 and 800 mg/L) and Cr(VI) (50, 100, 150, 200, 250, and 300 mg/L) at three different
148 temperatures (25, 35, and 45 °C) using 10 g/L HS-PVC under optimum operational
149 conditions (250°C, 6 h, and a sulfonation intensity of 1:10).

150 Pseudo-first-order (PFO) and pseudo-second-order (PSO) models were used to evaluate
151 Cu(II) and Cr(VI) removal kinetics of HS-PVC, and their linearized equations are given in
152 *Eqs. (2) and (3), respectively.*

$$153 \quad \ln(q_e - q_t) = \ln q_e - k_1 t \quad (2)$$

$$154 \quad \frac{t}{q_t} = \frac{1}{k_2 q_e^2} + \frac{t}{q_e} \quad (3)$$

155 where k_1 and k_2 are the rate constants of PFO and PSO, respectively, and q_e and q_t (mg/g)
156 are the amounts of adsorbed Cu(II) and Cr(VI) (mg/g) at equilibrium and at time t ,
157 respectively. Values of k_1 , k_2 , and q_e were calculated based on the Levenberg-Marquardt
158 method at the 95% confidence level.

159 To predict the adsorption capacity of the adsorbents, two widely used isotherms (i.e.,
160 Langmuir and Freundlich) were selected among several models. *Eqs. (4) and (5) express the*
161 *Langmuir isotherm and the Freundlich isotherm, respectively.*

$$162 \quad q_e = \frac{b q_m C_e}{1 + b C_e} \quad (4)$$

$$163 \quad q_e = K_F C_e^{1/n} \quad (5)$$

164 where q_m (mg/g) is the maximum adsorption capacity of the adsorbent and b (L/mg) is
165 the Langmuir constant related to the adsorption energy. K_F (mg/g) is the Freundlich
166 adsorption isotherm constant related to the extent of adsorption and n is the heterogeneity
167 factor of the Freundlich model. Values of b , q_m , K_F , and n were also calculated based on the

168 Levenberg-Marquardt method at the 95% confidence level.

169 **2.2.4. Competitive adsorption of Cu(II) and Cr(VI) onto HS-PVC in binary solution and** 170 **preloading adsorption**

171 Binary adsorption of Cu(II) and Cr(VI) was carried out by adding 10 g/L of HS-PVC to
172 a binary solution with 500 mg Cu(II)/L and 250 mg Cr(VI)/L in the same solution to
173 investigate the competitive adsorption between Cu(II) and Cr(VI). A separation factor was
174 utilized to investigate the adsorption selectivity in the binary solution, defined as:

$$175 \quad \alpha_2^1 = \frac{q_{e1} \times C_{e2}}{C_{e1} \times q_{e2}} \quad (6)$$

176 where q_{e1} (mmol/g) and C_{e1} (mmol/L) were the equilibrium adsorption capacity and
177 equilibrium concentration toward constituent 1, and q_{e2} (mmol/g) and C_{e2} (mmol/L) were the
178 equilibrium adsorption capacity and equilibrium concentration toward constituent 2,
179 respectively.

180 Preloading adsorption tests for Cr(VI) were performed by incubating 2.5 g HS-PVC in
181 250 mg/L Cr(VI) solution for 12 h. Then, the HS-PVC preloaded with Cr(VI) was used for
182 the adsorption of Cu(II) for 12 h, as described in Section 2.2.1. The preloading adsorption
183 tests for Cu(II) were performed under the same experimental conditions as those for Cr(VI).
184 The removal efficiencies of Cu(II) and Cr(VI) were calculated to evaluate the competitive
185 adsorption between Cu(II) and Cr(VI) onto the HS-PVC.

186 **2.2.5. Effect of K(I) on Cr(VI) removal**

187 The cations K(I) was present in the experimental Cr(VI) solutions prepared from
188 $K_2Cr_2O_7$. To assess the impact of K(I) on the adsorption of Cr(VI) by HS-PVC, $HClO_4$ (36.62

189 mL, calculated as described in Text S1) was added to precipitate K(I) (K_{sp} of KClO_4 1.5×10^{-2})
190 in the Cr(VI) solution (total volume 100 mL). Then, HS-PVC (10 g/L) was added to the
191 treated Cr(VI) solution for adsorption for 12 h. Finally, the removal efficiency of Cr(VI) was
192 calculated to evaluate the impact of K(I) on the adsorption of Cr(VI) using HS-PVC.

193 **2.2.6. Low concentration Cu(II) and Cr(VI) removal using HS-PVC**

194 The concentrations of Cu(II) and Cr(VI) in industrial applications, such as electroplating,
195 leather tanning, and alloy manufacturing, range from 20 to 600 mg/L. Thus, 10 g/L of
196 HS-PVC was added to 20 mg/L Cu(II) and Cr(VI) solutions to evaluate its adsorption
197 performance for low concentrations of these heavy metals. The adsorption procedure was the
198 same as in Section 2.2.1.

199 **2.2.7. Cu(II) and Cr(VI) removal in natural water**

200 To test the potential interference of natural water regarding Cu(II) and Cr(VI) adsorption
201 on HS-PVC, natural water (untreated surface water) was sampled from the Jingyue Lake in
202 Donghua University, Shanghai, China. This water was used to prepare Cu(II) (500 mg/L) and
203 Cr(VI) (250 mg/L) solutions. 10 g/L of HS-PVC was added to these Cu(II) and Cr(VI)
204 solutions and incubated for 12 h at 25°C and 100 rpm.

205 **2.2.8. Cu(II) and Cr(VI) removal using HS-PVC derived from PVC waste pipes**

206 To test the applications of HS-PVC in Cu(II) and Cr(VI) removal, real PVC waste pipes
207 were used to prepare HS-PVC (real waste PVC). First, the PVC waste pipes were fragmented
208 with a crusher and then washed with tap water. Subsequently, 10 g/L of the pretreated
209 HS-PVC from pipes was added to Cu(II) (500 mg/L) and Cr(VI) (250 mg/L) solutions for 12

210 h adsorption (at 25°C and 100 rpm).

211 **2.3. Characterizations of PVC-derived adsorbents**

212 **2.3.1. Characterizations of Raw PVC, H-PVC, S-PVC, and HS-PVC**

213 The surface properties of Raw PVC, H-PVC, S-PVC, and HS-PVC were characterized by
214 scanning electron microscopy (SEM) (HITACHI, S-4800, Japan) and contact angle
215 measurements (θ) (Kino, SL200KS, USA). The specific surface area and pore distribution of
216 Raw PVC, H-PVC, S-PVC, and HS-PVC were determined by the Brunauer–Emmett–Teller
217 (BET) (Quantachrome, Autosorb-iQ, USA) method and mercury intrusion porosimetry (MIP)
218 (Micromeritics, Auto pore Iv, USA), respectively. Particle size distributions were calculated
219 based on the SEM images (Table S1). The elemental composition of Raw PVC, H-PVC,
220 S-PVC, and HS-PVC was analyzed by elemental analysis (Elementar, EL III, Germany) and
221 energy dispersive spectroscopic (EDS) (IE 300 X, England) element mapping. The variation
222 of functional groups of Raw PVC, H-PVC, S-PVC, and HS-PVC was characterized by Fourier
223 transform infrared (FTIR) spectroscopy (Thermo Fisher, NEX US-670, USA). The
224 thermostability of Raw PVC and HS-PVC was evaluated by thermogravimetric analysis (TGA,
225 TA, Netzsch, Germany).

226 **2.3.2. Characterizations of HS-PVC before and after adsorption of Cu(II) and Cr(VI)**

227 The electric potentials of HS-PVC, HS-PVC after adsorption of Cu(II) (HS-PVC-Cu), and
228 HS-PVC after adsorption of Cr(VI) (HS-PVC-Cr) were determined by zeta potential
229 measurements (Brook Haven, 90 PALS, USA). In addition, the functional groups of HS-PVC
230 and HS-PVC-Cr were analyzed by X-ray photoelectron spectroscopy (XPS) (Thermo

231 Scientific, K-Alpha, USA), FTIR, solid-state ^{13}C nuclear magnetic resonance spectroscopy
232 (NMR) (Agilent, 600M, USA), and Raman spectroscopy (Thermo Fisher, DXR, USA). The
233 C1s XPS spectra were separated and characterized by C=C (284.8 eV), C-C (285.1 eV), C-O
234 (286.1 eV), and C=O groups (288.7 eV). The regions of functional groups derived from the
235 solid-state ^{13}C NMR spectra were divided into Carboxylic (220-161), Aromatic (161-113),
236 Anomeric (113-93), Carbohydrate (93-44), and Alkyl (44-0) (Wang et al., 2016, Li et al.,
237 2019).

238 **2.4. Statistical analysis**

239 All adsorption experiments were run in triplicate, and the results were expressed as
240 mean values \pm standard deviation. The triplicate PVC-derived adsorbents were pooled to
241 minimize the potential variation before its characterizations (SEM, MIP, elemental analysis,
242 FTIR, TGA, XPS, solid-state ^{13}C NMR, and Raman spectroscopy). Analyses of variance
243 (ANOVA) were used to test for differences between treatments, and $p < 0.05$ was considered
244 statistically significant.

246 **3. Results and discussion**

247 **3.1. Highly efficient Cu(II) cations and Cr(VI) anions removal using HS-PVC**

248 Regarding Cu(II) removal, HS-PVC was significantly more efficient ($81.2 \pm 1.6\%$)
249 compared to Raw PVC ($28.5 \pm 1.7\%$) and PVC solely pretreated by either HT ($14.5 \pm 3.2\%$)
250 or sulfonation ($20.6 \pm 4.8\%$) within 12 h (Fig. 1A). In addition, HS-PVC was also highly
251 efficient in removing Cr(VI) ($60.3 \pm 3.8\%$) compared to Raw PVC ($6.1 \pm 1.3\%$) and PVC

252 solely pretreated by either HT ($14.0 \pm 4.6\%$) or sulfonation ($7.0 \pm 4.8\%$) within 12 h (Fig.
253 1B). The adsorption of Cu(II) reached equilibrium within 30 min while the adsorption
254 efficiency of Cr(VI) was only $49.3 \pm 1.0\%$ at 30 min and then slowly increased to equilibrium
255 within 3 h (Fig. S1). This suggested different adsorption mechanisms for Cu(II) and Cr(VI)
256 onto HS-PVC. Biomass-derived hydrochar was also widely employed in the adsorption of
257 metal cations, such as Cu(II), Zn(II), and Pb(II) (Deng et al., 2020, Kazak and Tor 2020, Song
258 et al., 2020) or metal anions, such as Cr(VI) ($\text{Cr}_2\text{O}_7^{2-}$) (Li et al., 2020). Cu(II) and Cr(VI)
259 could be simultaneously removed by hydrochar derived from chitosan with Fe_2O_3
260 modifications. However, the main adsorption mechanisms were chelation adsorption of Cu(II)
261 and electrostatic adsorption-coupled Cr(VI) reduction due to the specific dual-core $\text{Fe}_2\text{O}_3@\text{C}$
262 structure (Wang et al., 2017). The present study firstly provided a metal-free modification of
263 hydrochar derived from waste PVC for simultaneous Cu(II) cations and Cr(VI) anions
264 removal.

265 The adsorption capacity of hydrochar was highly dependent on the functional groups
266 formed during HT (Tong et al., 2021). However, the structure and component of PVC
267 ($[-\text{CH}_2-\text{CHCl}-]_n$) were simpler compared to natural biomass, suggesting fewer functional
268 groups anchored in H-PVC than in natural biomass. This may explain why H-PVC is of little
269 significance for the adsorption of Cu(II) and Cr(VI) (Fig. 1), which was in line with poor
270 adsorption in wastewater remediation (Poerschmann et al., 2015). Sulfonation was also an
271 efficient modification of adsorbents derived from tea waste and cellulose for Cu(II) or Cr(VI)
272 removal (Dong et al., 2016, Ahsan et al., 2018). However, the adsorption of Cu(II) and Cr(VI)

273 using S-PVC was still low in this study. This paper showed a possible synergistic effect of
274 HT and sulfonation in the modifications of the methodology to produce a highly efficient
275 adsorbent.

276 **3.2. Characterization during the fabrications of HS-PVC from Raw PVC in** 277 **combination with HT and sulfonation**

278 The microstructure of the PVC-derived adsorbents was characterized by SEM (Fig. 2A
279 to 2D). A rough surface and non-porous structures were observed in Raw PVC (Fig. 2A).
280 After HT treatment, the surface of H-PVC became smoother with an internal porous structure
281 (Fig. 2B) mainly due to dehydrochlorination, aromatization, and depolymerization reactions
282 during HT (Ning et al., 2020). There was no apparent difference between Raw PVC and
283 S-PVC in the SEM images (Fig. 2A and 2C), indicating that sulfonation possessed a marginal
284 impact on the surface structure. In contrast, the HS-PVC was fragmented with an internal
285 porous structure due to HT and sulfonation (Fig. 2D), which was consistent with a significant
286 decrease of particle size in HS-PVC compared to the Raw PVC (Fig. 3A and Table S2). In
287 addition, the hydrophobicity and hydrophilicity of adsorbents may influence the performance
288 of the adsorption process (Adam et al., 2020). The hydrophobicity of S-PVC was similar to
289 that of Raw PVC (Fig. 2E and 2G). However, the hydrophobicity was higher after HT (Fig.
290 2E and 2F), resulting in hydrophobic partitioning as described in the literature (Poerschmann
291 et al., 2015), which was consistent with the low adsorption efficiency of Cu(II) and Cr(VI) by
292 H-PVC (Fig. 1). Furthermore, the hydrophilicity of HS-PVC was significantly higher than
293 that of H-PVC (Fig. 2F and 2H), indicating that sulfonation improved the hydrophilicity of

294 HS-PVC, which is a prerequisite for the adsorption of Cu(II) and Cr(VI).

295 The BET surface areas of Raw PVC (2.59 m²/g), H-PVC (4.95 m²/g), S-PVC (2.01
296 m²/g), and HS-PVC (0.59 m²/g) (Table S3) were relatively low compared to those of
297 biomass-derived hydrochar (118 m²/g) in the literature (Sheng et al., 2019). According to the
298 analysis of MIP (Fig. S2), the pore size in HS-PVC was mainly in the mesoporous (2-50 nm)
299 and microporous (>50 nm) regions (Erdem et al., 2020, Rueda-Marquez et al., 2021). The
300 results revealed that the Cu(II) and Cr(VI) adsorption mostly occurred in the mesoporous and
301 microporous regions of the HS-PVC.

302 Elemental analysis (Fig. 3B) showed that the Cl/C molar ratio (0.5) of Raw PVC was
303 similar to the stoichiometric value of $([-\text{CH}_2-\text{CHCl-}]_n)$. However, the molar ratio of Cl/C on
304 the surface of Raw PVC (0.85 ± 0.37) was higher than 0.5 according to EDS element
305 mapping (Fig. 3C), suggesting that most of the -Cl functional groups were on the surface of
306 Raw PVC. The Cl/C ratio of H-PVC significantly decreased (Fig. 3B and 3C) compared to
307 the Raw PVC after pretreatment by HT due to the dehydrochlorination (Poerschmann et al.,
308 2015). The elemental composition of the entire Raw PVC and S-PVC was similar (Fig. 3B),
309 but surface Cl was lower, and surface O slightly higher in S-PVC than in Raw PVC (Fig. 3C).
310 This indicated that sole sulfonation only impacted the chemical composition on the surface of
311 Raw PVC (Fig. 3C), causing no obvious chemical modifications to the entire Raw PVC (Fig.
312 3B). Additionally, the O/C and S/C ratios of HS-PVC increased, resulting in an O/S ratio of
313 3.04 (elemental analysis in Fig. 3B) or 3.16 (EDS element mapping in Fig. 3C). Thus, it
314 could be hypothesized that the introduction of S-containing functional groups such as -SO₃H

315 (O/S=3) into HS-PVC occurred. The absorption peaks of HS-PVC from the FTIR at 1030
316 cm^{-1} (O=S=O) and 1169 cm^{-1} (-SO₃H) verified this assumption (Fig. 3D) (Chen et al., 2019).
317 The functional group -SO₃H was only detected in HS-PVC, indicating that the introduction of
318 -SO₃H onto HS-PVC was attributed to the synergy effect of HT and sulfonation. In addition,
319 the thermostability of HS-PVC was higher than that of Raw PVC according to the TGA
320 patterns (Fig. S3), which was mainly due to aromatization during HT (Park et al., 2016). It
321 has been reported that the presence of aromatic groups was essential for the sulfonation of
322 petroleum coke by concentrated H₂SO₄ (Xiao and Hill 2020). In this study, HT was crucial to
323 forming an aromatic structure (Fig. 3D) for the introduction of -SO₃H during sulfonation to
324 fabricate HS-PVC for highly efficient Cu(II) and Cr(VI) removal. Moreover, the functional
325 group C=C in HS-PVC (Fig. 3D) was crucial for removing Cr(VI), which was confirmed in
326 the following section.

327 **3.3. Correlations of Cu(II) and Cr(VI) removal with HT intensities and sulfonation**

328 The adsorption properties of HS-PVC were highly dependent on HT temperature and
329 time (see time curves in Fig. S4 and Fig. S5). The adsorption efficiencies of Cu(II) and Cr(VI)
330 increased from 36.9 ± 3.0% and 46.0 ± 3.8% at 220 °C to 81.1 ± 2.1% and 61.7 ± 2.7% at
331 250 °C, but declined to 63.7 ± 3.2% and 57.2 ± 1.3% at 260 °C, respectively (Fig. 4A). In
332 addition, the adsorption efficiencies of Cu(II) and Cr(VI) also increased from 56.5 ± 1.7%
333 and 9.2 ± 1.1% at 2 h to 80.0 ± 2.8% and 58.5 ± 1.1% at 6 h, followed by declines to 54.5 ±
334 2.0% and 2.2 ± 1.2% at 8 h, respectively (Fig. 4B). Higher HT intensities benefited PVC
335 dehydrochlorination (Zhang et al., 2020), providing more sites for subsequent SO₃H

336 introduction during sulfonation. It has been reported that proper HT intensities (HT
337 temperature = 250 °C and HT time = 6 h in this study) benefited the formation of the aromatic
338 structure (Ning et al., 2020). In contrast, higher HT intensities affected the stability of the
339 carbon structure (Ning et al., 2020), which explained the declines in the adsorptions of Cu(II)
340 and Cr(VI) onto HS-PVC at 260 °C (Fig. 4A) and 8 h (Fig. 4B).

341 The sulfonation intensity also affected the introduction of functional groups (Konwar et
342 al., 2019), thus affecting the adsorptive performance of HS-PVC. The adsorption time curves
343 of sulfonation intensity regarding Cu(II) and Cr(VI) removal by HS-PVC were shown in Fig.
344 S6. With the increasing sulfonation intensity, the adsorption efficiencies of Cu(II) and Cr(VI)
345 increased from $38.1 \pm 3.2\%$ and $28.4 \pm 3.5\%$ at the sulfonation intensity of 1:1 to $81.0 \pm 1.3\%$
346 and $59.6 \pm 2.4\%$ at the sulfonation intensity of 1:10 (Fig. 4C). The adsorption of Cu(II) and
347 Cr(VI) was positively correlated with the increase in sulfonation intensity from 1:1 to 1:10.

348 As summarized in Fig. 4D, the adsorption efficiency of Cu(II) increased by 117.4%
349 while that of Cr(VI) only by 34.1%, when increasing the temperature from 220 °C to 250 °C.
350 In contrast, the adsorption efficiency of Cu(II) improved only by 41.6%, while that of Cr(VI)
351 increased by 534%, with HT time increasing from 2 to 8 h. These results indicated that the
352 adsorption of Cu(II) was sensitive to HT temperature while the adsorption of Cr(VI) was
353 rather sensitive to HT time. However, the impact of sulfonation intensity on the adsorption of
354 Cu(II) (increasing 112.5% from 1:1 to 1:10) was similar to the adsorption of Cr(VI) (increasing
355 110.3% from 1:1 to 1:10).

356 3.4. Kinetics and isotherms of the adsorption of Cu(II) and Cr(VI) onto HS-PVC

357 Adsorption kinetics were essential to investigate the adsorption process (Song et al.,
358 2020, Wang and Guo 2020). The adsorption of Cu(II) and Cr(VI) onto the HS-PVC (Fig. 5A
359 and 5B) increased rapidly in the first 30 min and then decreased as the reaction approached
360 equilibrium due to the saturation of active adsorbent sites. The values of q_e and rate constants
361 (k_1 and k_2) obtained from the kinetic models (Fig. 5A and 5B) were shown in Table 1, along
362 with the correlation coefficients (R^2). The R^2 value of the PSO model was higher than that of
363 the PFO model for both Cu(II) and Cr(VI) adsorption. In addition, the q_e calculated by the
364 PSO model was more consistent with the experimental value compared to that of the PFO
365 model (Table 1). Hence, the adsorption process of Cu(II) and Cr(VI) onto HS-PVC could be
366 well described by the PSO model, indicating that chemisorption might be the rate-limiting
367 step. In addition, more active sites were reported with the PSO model compared to the PFO
368 model (Wang and Guo 2020), which was crucial to the adsorption of Cu(II) and Cr(VI) onto
369 HS-PVC.

370 Adsorption isotherms play a crucial role in investigating the chemical interactions
371 between adsorbates and adsorbents (Yang et al., 2019, Wang and Guo 2020). The adsorption
372 isotherms of the adsorption of Cu(II) and Cr(VI) onto HS-PVC at three different temperatures
373 (25, 35, and 45°C) were shown in Fig. 5C-5F. During the adsorption of Cu(II), the R^2 values
374 of the Langmuir model (0.94–0.96) were higher than those of the Freundlich model (0.82–
375 0.89) at all tested temperatures (25, 35, and 45 °C) (Fig. 5C, 5D, and Table S4), indicating
376 that the adsorption of Cu(II) by HS-PVC was a monolayer process. Similarly, the adsorption

377 of Cr(VI) was also a monolayer process due to the higher R^2 values of the Langmuir model
378 (0.94 at 25 °C and 0.95 at 35 °C) compared to those of the Freundlich model (0.90 at 25 °C
379 and 0.89 at 35 °C) (Fig. 5E, 5F, and Table S4). However, the adsorption of Cr(VI) was fitted
380 by the Langmuir and Freundlich model equally well according to R^2 values of 0.96 at 45°C.
381 In addition, the values of K_F and q_m increased with the increasing temperature (Table S4),
382 indicating an endothermic adsorption process (Xu et al., 2019).

383 3.5. Mechanisms for the adsorption of Cu(II) and Cr(VI) onto HS-PVC

384 The removal efficiency of Cu(II) decreased ($p<0.01$) by 19.9 % with the co-adsorption
385 of Cr(VI), and that of Cr(VI) also significantly decreased ($p<0.01$) by 48.8% with the
386 co-adsorption of Cu(II) (Fig. 6A). The selectivity of Cu(II) ($\alpha_{Cr(VI)}^{Cu(II)} = 4.11$) was higher than that
387 of Cr(VI) ($\alpha_{Cr(VI)}^{Cu(II)} = 0.24$) in binary-component solution, indicating that Cu(II) can occupy
388 adsorption sites more easily than Cr(VI). However, during the preloading test, the removal
389 efficiency of Cu(II) decreased by 70.5% using Cr(VI) loaded HS-PVC, which was similar to
390 the decrease in Cr(VI) removal (65.8%) using Cu(II) loaded HS-PVC (Fig. 6A). These results
391 suggested that the Cu(II) and Cr(VI) adsorption might share similar adsorption sites on
392 HS-PVC. The zeta potential, an important index for the electric potential at the mineral
393 surface (Ramasamy et al., 2017, Gu et al., 2021), significantly decreased after HT and
394 sulfonation (Fig. S7). The value increased after the adsorption of Cu(II) (Fig. 6B), indicating
395 electrostatic adsorption (Fig. 7). However, only a slight increase in zeta potential was
396 observed after the Cr(VI) adsorption, implying that other mechanisms govern Cr(VI)
397 adsorption onto HS-PVC.

398 To further explore the mechanism of the adsorption of Cr(VI) onto HS-PVC, XPS
399 analysis was used to investigate the adsorption behavior of hydrochar. The C1s XPS spectra
400 were separated, and the characteristic C=C, C-C, C-O, and C=O bonds were quantified in
401 HS-PVC before and after Cr(VI) adsorption. The intensity of C=C in HS-PVC decreased
402 from 35.5% (Fig. 6C) to 28.5% (Fig. 6D) after adsorption of Cr(VI) (Fig. 6C), suggesting the
403 involvement of this bond in Cr(VI) removal. According to solid-state ¹³C NMR, aromatic
404 functional groups decreased from 55.0% (Fig. 6 E) to 51.4% (Fig. 6F) after Cr(VI) adsorption,
405 also supporting a decrease of C=C intensity after the adsorption of Cr(VI). In addition, the
406 degree of graphitization of HS-PVC decreased after Cr(VI) adsorption according to the
407 decrease in the ratio of I_G/I_D from 0.77 to 0.73 (Fig. 6G), which might be related to the
408 reduction of Cr(VI). Interestingly, Cr(III) was detected in HS-PVC after the adsorption of
409 Cr(VI) (Fig. 6H). These results indicated that the functional group C=C and aromatic groups
410 might reduce Cr(VI) to Cr(III). In addition, there were shifts of the ring vibration peak in the
411 FTIR spectra after adsorption of Cr(VI) (arrows in Fig. 6I). Since a ligand aligns itself to a
412 metal, the energy of the ligand material will most likely be perturbed, leading ultimately to
413 subtle shifts in the absorption peaks (Sergios et al., 2010). Thus, HS-PVC might also bind
414 Cr(VI), which was consistent with Cr(III) and Cr(VI) in Fig. 6H. It has been reported that
415 Cr(VI) was complexed with phenolic -OH using hydrochar derived from leaves and wood
416 sticks. The adsorbed Cr(VI) was subsequently reduced to Cr(III) by the hydrochar along with
417 the decrease of the functional group C=C (Chen et al., 2017, Chen et al., 2021). In this study,
418 the Cr(VI) was supposed to be complexed by the phenolic -OH (Fig. 6I) existed in HS-PVC

419 and reduced by the C=C in the aromatic structure formed by HT (Fig. 7).

420 Note that the removal efficiency of Cr(VI) significantly decreased from $63.8 \pm 2.0\%$ in
421 the presence of K(I) to $28.2 \pm 5.1\%$ after K(I) was precipitated by HClO₄ (Fig. S8). The
422 introduction of H⁺ ions increased the proportion of H₂CrO₄, thereby breaking the equilibrium
423 balance of HCrO₄⁻ and Cr₂O₇²⁻ due to negative charges. This, in turn, suggested that K(I) ions
424 might neutralize the negatively charged HS-PVC surface, thereby facilitating the binding of
425 dichromate ions on HS-PVC (Fig. 7).

426 3.6. Versatility of HS-PVC

427 Natural water contains ions and organic compounds (Jeon et al., 2020), which might
428 hinder Cu(II) and Cr(VI) removal by HS-PVC. Due to the competitive adsorption of
429 co-existing ions, the efficient removal of Cu(II) and Cr(VI) decreased only by 19.2% and 25.0%
430 (Fig. 8), respectively, indicating low interference. In addition, HS-PVC was particularly
431 suitable for the removal efficiencies of Cu(II) and Cr(VI) at low concentrations (20 mg/L)
432 ($99.6 \pm 0.1\%$ and $94.3 \pm 2.5\%$, respectively) (Fig. 8). Further, the removal efficiencies of Cu(II)
433 and Cr(VI) using HS-PVC from waste pipes increased by 13.7% and 43.9% (Fig. 8), which
434 were higher than those of HS-PVC derived from PVC powder (Fig. 1). The better performance
435 of HS-PVC (pipes) might be attributed to plasticizers and mineral fillers in the PVC (Correa et
436 al., 2019). The removal efficiencies of other metals such as Mn(II), Cd(II), Ni(II), and Co(II)
437 amounted to $81.2 \pm 2.3\%$, $80.8 \pm 1.7\%$, $70.8 \pm 1.8\%$, and $70.1 \pm 3.2\%$ (Fig. S9), respectively,
438 indicating that HS-PVC is suitable for the treatment of complex industrial wastewater.
439 Moreover, the adsorption of Cu(II) and Cr(VI) decreased only by 10.0% and 31.0% (Fig. S10)

440 after three adsorption-desorption cycles (1 mol/L HCl as eluent), indicating excellent
441 recyclability.

442 Previous studies commonly focused on the conversion of waste PVC into
443 coal-alternative fuel (Lu et al., 2020) or lighter oil (Maity et al., 2020). Using HT and
444 sulfonation modifications, the present study provided a novel strategy for resource recovery
445 of PVC waste by producing efficient adsorbents for Cu(II) cations and Cr(VI) anions removal.
446 The sulfonation intensity was mild in this study (98% sulfuric acid at 70°C). Fuming H₂SO₄,
447 ClSO₃H, aryldiazonium sulfonates, and higher temperatures (80–150°C) could be considered
448 for additional optimization. In addition, as it is a “sulfonated carbon” (Konwar et al., 2019),
449 HS-PVC may show promising catalytic performances in various organic transformations,
450 including esterifications, ester exchange (transesterifications), acetalization, etherifications,
451 condensation, and multi-component organic reactions that should be studied in the future.

452 **4. Conclusion**

453 This study provided a novel method to fabricate efficient adsorbent (HS-PVC) from
454 waste PVC for both Cu(II) cations and Cr(VI) anions removal. The removal efficiencies of
455 Cu(II) and Cr(VI) using HS-PVC reached $81.2 \pm 1.6\%$ and $60.3 \pm 3.8\%$, respectively. The first
456 stage of HT was crucial for the dichlorination of PVC and the formation of an aromatic
457 structure, guaranteeing the introduction of -SO₃H onto PVC-derived hydrochar through
458 subsequent sulfonation. In addition, sulfonation also significantly increased the hydrophilicity
459 of HS-PVC. The adsorption of Cu(II) was sensitive to HT temperature, while that of Cr(VI)
460 was more sensitive to HT time. Adsorption isotherm data were accurately fitted by the

461 Langmuir isotherm model with a maximum adsorption capacity of 48.9 mg/g for the
462 adsorption of Cu(II) and 20.2 mg/g for the adsorption of Cr(VI) at 45°C. Competitive
463 adsorption of Cu(II) and Cr(VI) occurred, and the selectivity of HS-PVC for the removal of
464 Cu(II) was higher than that of Cr(VI). The adsorption mechanism of Cu(II) was electrostatic
465 adsorption, while Cr(VI) were possibly complexed by the phenolic -OH and reduced to Cr(III)
466 cations by C=C groups in HS-PVC. In addition, HS-PVC was particularly suitable for the
467 adsorption of both metals at low concentrations (>94% at 20 mg/L). HS-PVC derived from
468 PVC waste pipes also showed high absorption efficiencies for Cu(II) and Cr(VI) (>90%).

469

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649 **Table 1**

650 PFO and PSO model parameters for Cu(II) and Cr(VI) adsorption onto HS-PVC.

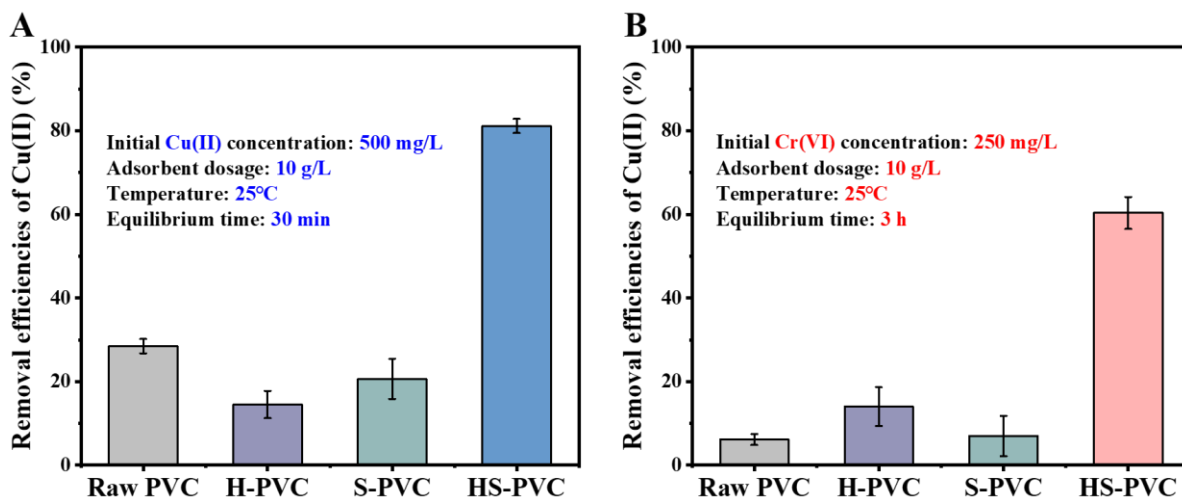
Metals	q_{exp} (mg/g)	PFO			PSO		
		q_1 (mg/g)	R_1^2	k_1 (min ⁻¹)	q_2 (mg/g)	R_2^2	k_2 (g/(mg·min))
Cu(II)	40.34	38.18	0.86	17.92	40.24	0.95	0.70
Cr(VI)	17.75	15.41	0.88	2.39	16.23	0.94	0.25

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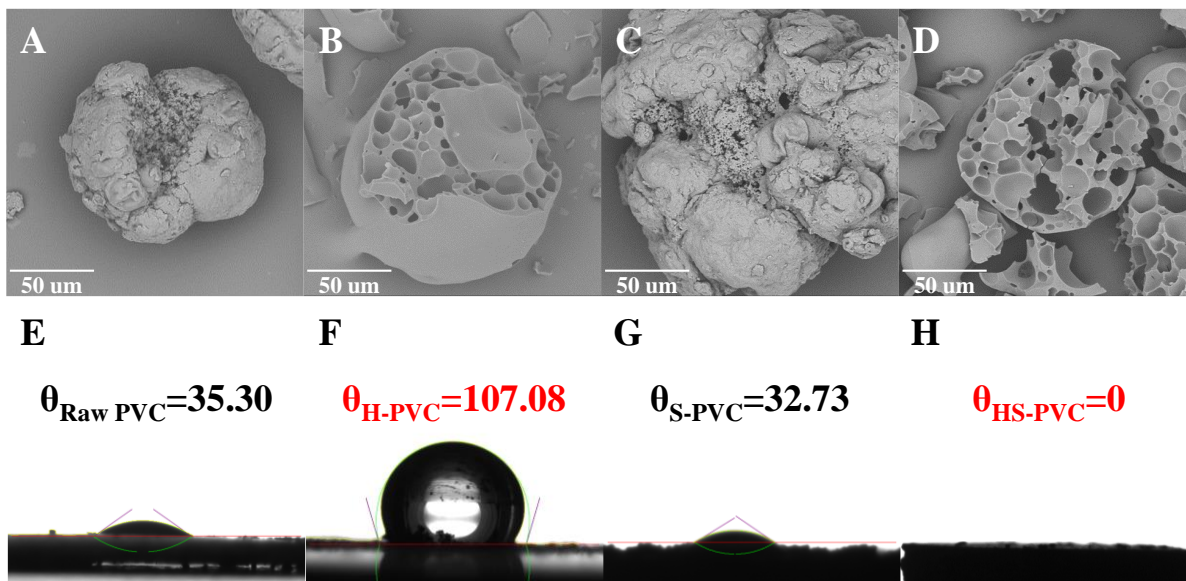


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656 Fig. 1. Cu(II) and Cr(VI) removal efficiencies using Raw PVC, H-PVC, S-PVC, and

657 HS-PVC after adsorption for 12 hours.

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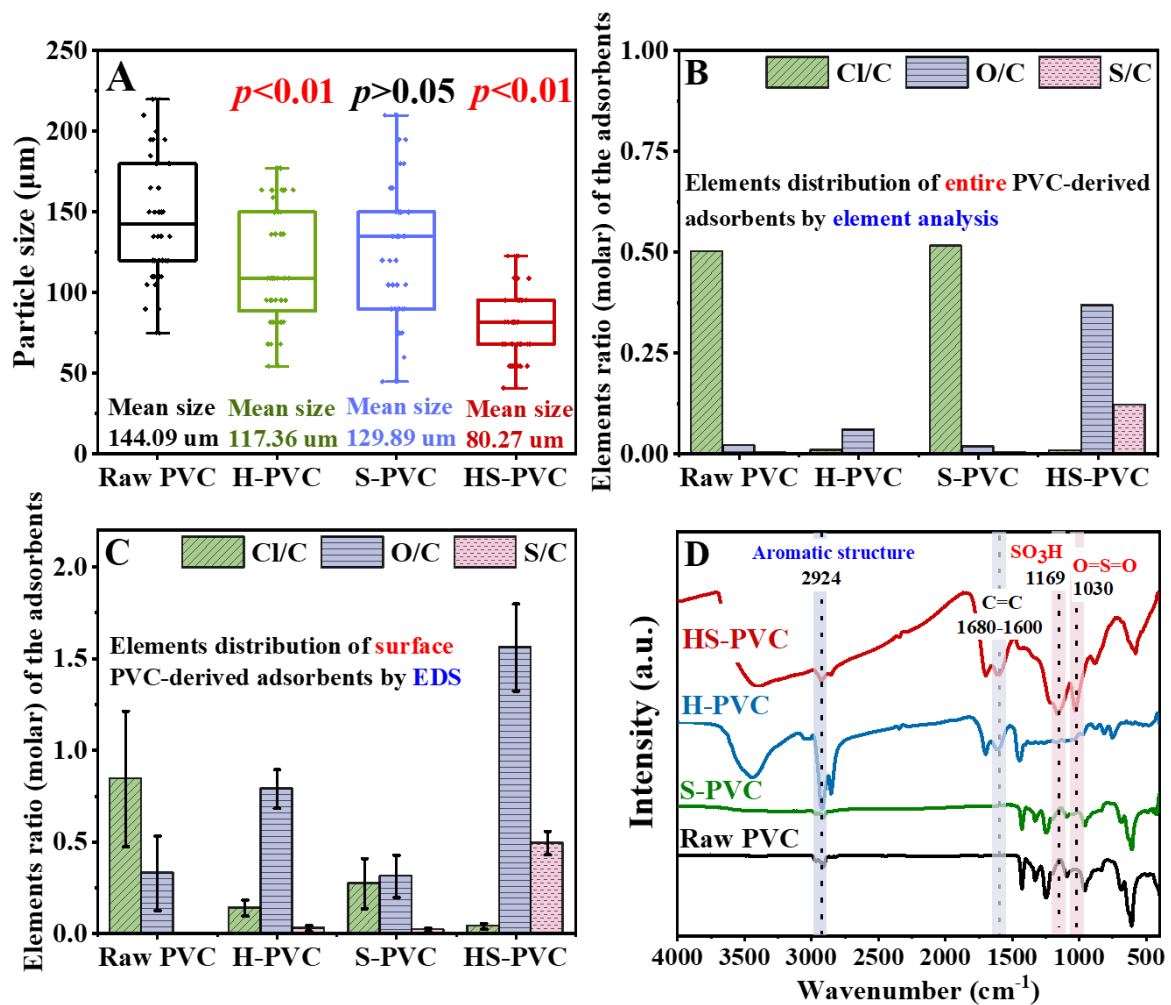
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660 Fig. 2. Surface properties of PVC derived adsorbents: SEM images of Raw PVC (A), H-PVC

661 (B), S-PVC (C), and HS-PVC (D); contact angle (θ) of Raw PVC (E), H-PVC (F), S-PVC

662 (G), and HS-PVC (I).

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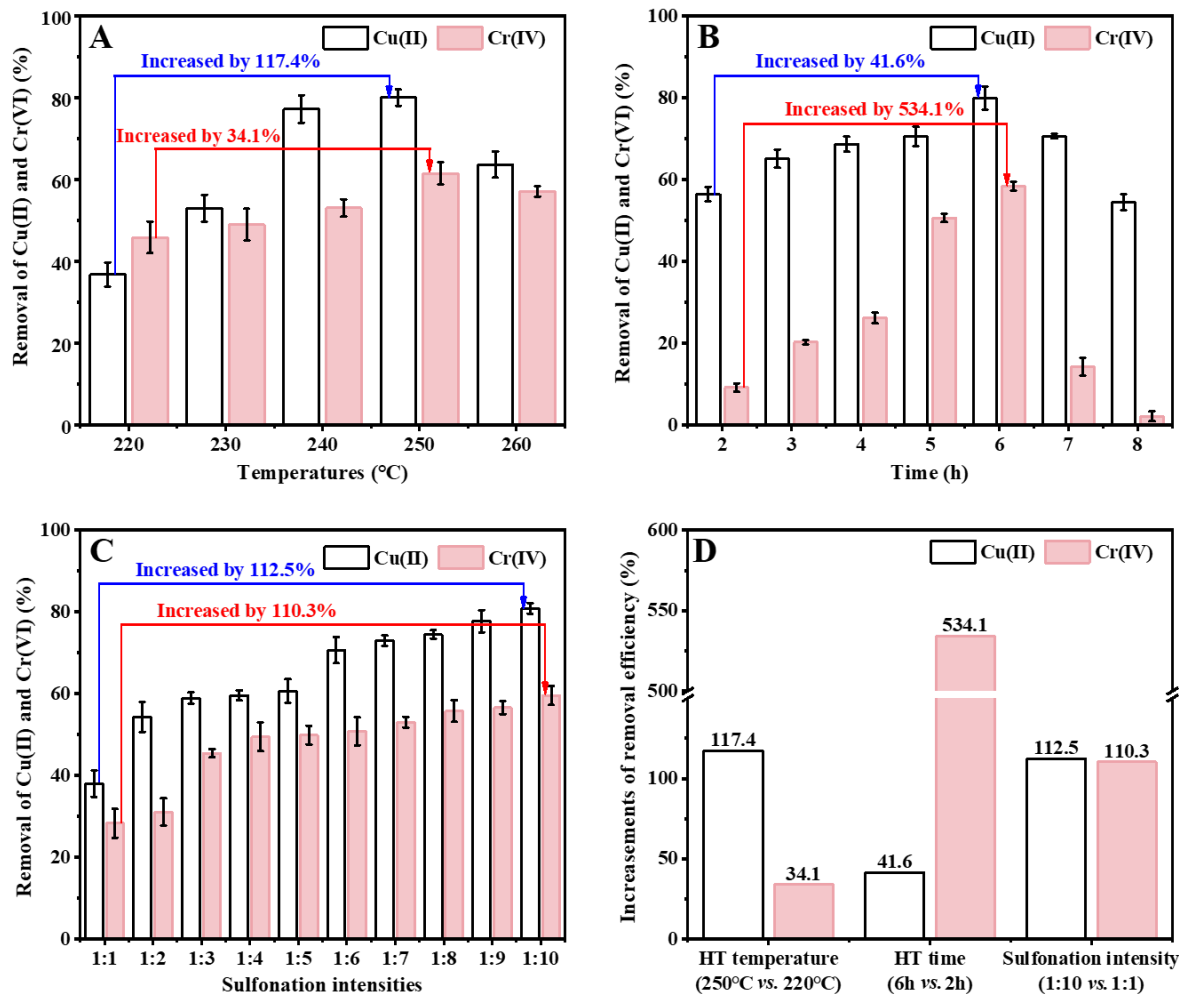


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665 Fig. 3. Characterization of Raw PVC, H-PVC, S-PVC, and HS-PVC: particle size distribution

666 (A), elemental analysis (B), EDS element mapping (C), and FTIR spectra (D).

667



668

669 Fig. 4. Effects of HS-PVC preparation intensities on Cu(II) and Cr(VI) removal: HT

670 temperature (A), HT time (B), sulfonation intensity (C), and comparison of Cu(II) and Cr(VI)

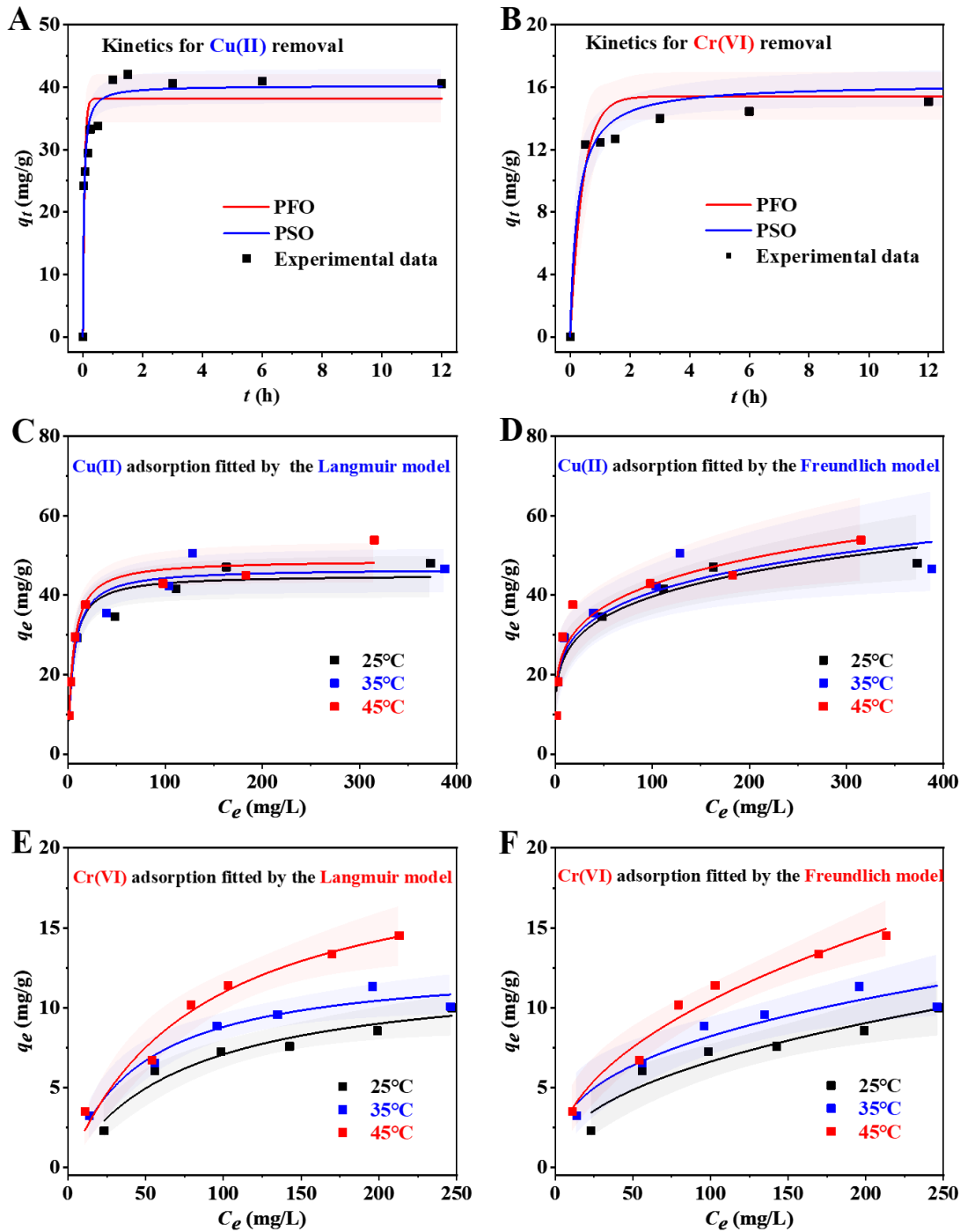
671 removal efficiencies between the optimal and the lowest efficiency (D) (HT temperature: 250 °C

672 vs. 220 °C, HT time: 6 h vs. 2 h, and sulfonation intensity: 1:10 vs. 1:1). The initial

673 concentrations of Cu(II) and Cr(VI) were 500 and 250 mg/L. The adsorbent dose was 10 g/L

674 and the temperature 25 °C.

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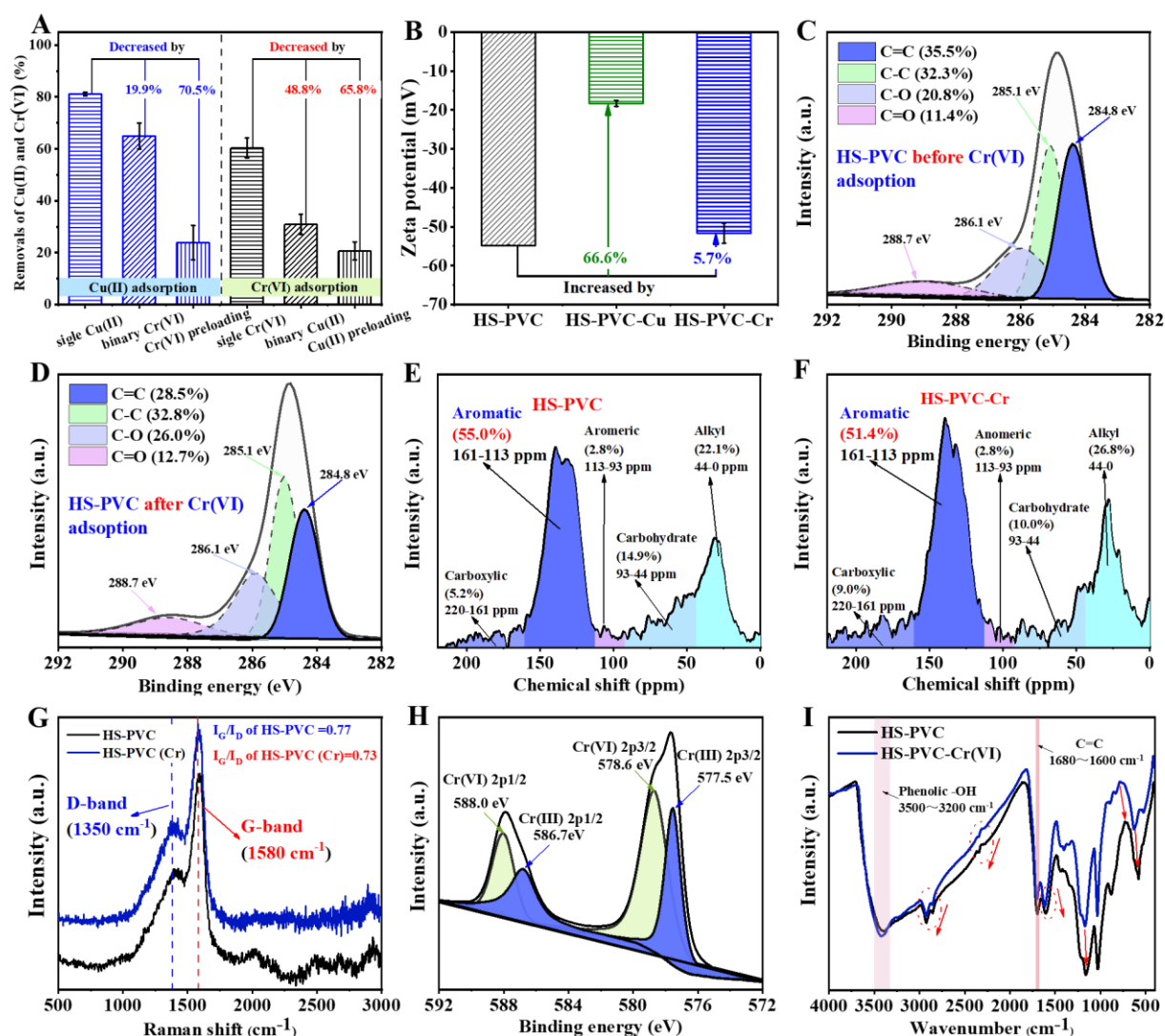
677 Fig. 5. Adsorption kinetics of Cu(II) (A) and Cr(VI) (B) onto HS-PVC. Equilibrium

678 isotherms of Cu(II) fitted by the Langmuir (C) and Freundlich models (D) at different

679 temperatures (25, 35, and 45 °C). Equilibrium isotherms of Cr(VI) fitted by the Langmuir (E)

680 and Freundlich models (F) at different temperatures (25, 35, and 45 °C). The colored area

681 covers the 95% confidence intervals.



682

683 Fig. 6. Mechanisms for the adsorptions of Cu(II) and Cr(VI) by HS-PVC. Competitive

684 adsorption between Cu(II) and Cr(VI) by HS-PVC (A). Zeta potential of HS-PVC, HS-PVC

685 after the adsorption of Cu(II) (HS-PVC-Cu), and HS-PVC after the adsorption of Cr(VI)

686 (HS-PVC-Cr) (B). C 1s XPS spectra of HS-PVC before the adsorption of Cr(VI) (C) and

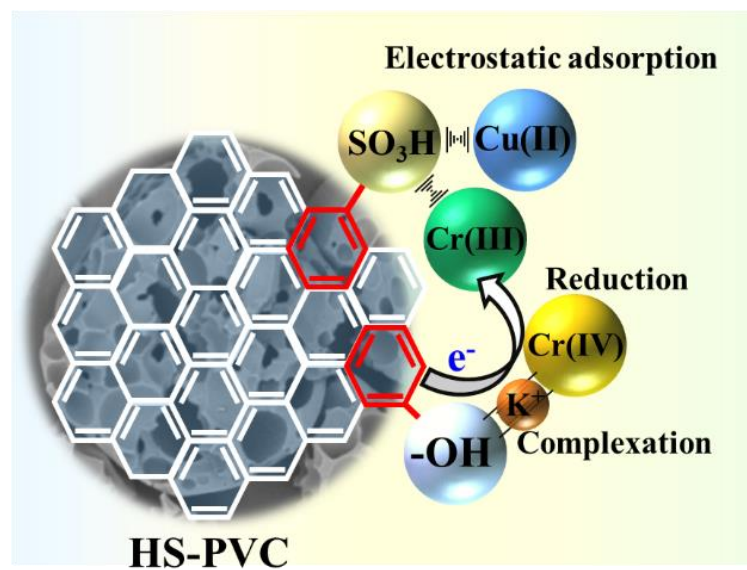
687 after the adsorption of Cr(VI) (D). Solid-state ¹³C NMR of HS-PVC before the adsorption of

688 Cr(VI) (E) and after the adsorption of Cr(VI) (F). Raman spectra of HS-PVC before and after

689 adsorption of Cr(VI) (a higher I_G/I_D ratio indicates a higher degree of graphitization) (G). Cr

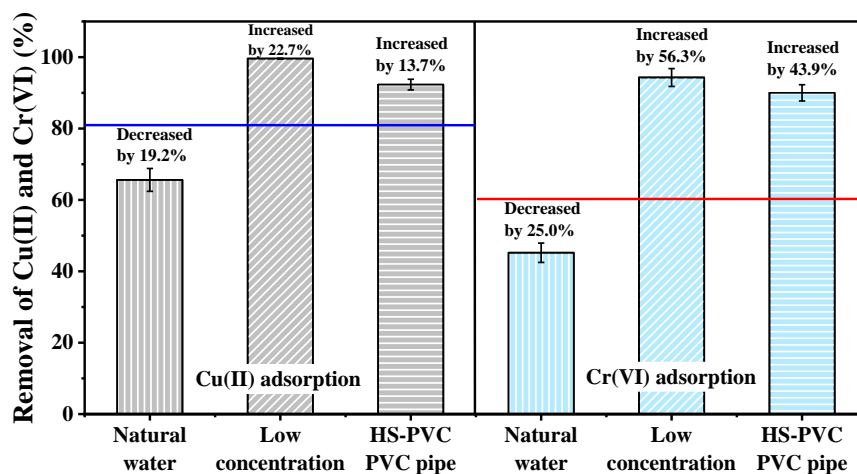
690 2p XPS spectra of HS-PVC after the adsorption of Cr(VI) (H). FTIR spectra of HS-PVC

691 before and after the adsorption of Cr(VI) (I).



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Fig. 7. Schematic adsorption of Cu(II) and Cr(VI) using HS-PVC



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696 Fig. 8. Versatility of HS-PVC for Cu(II) and Cr(VI) removal efficiencies. Removal of
 697 Cu(II) and Cr(VI) by HS-PVC from natural water, at low concentration (20 mg/L), and by
 698 HS-PVC derived from waste PVC pipes (blue and red lines represent the removal efficiency
 699 of Cu(II) and Cr(VI) by PVC powder in Fig. 1).

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