

AC-DC-DC Converter for Small Power Energy Storage Systems

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Abstract: The energy transformation driven by the development of renewable energy sources has become a reality for all power grid users. Prosumer energy, primarily utilizing photovoltaic installations, is one of the fastest-growing market segments. The advancement of technology, a decrease in electrochemical energy storage prices, and changes in the legal framework governing energy billing for grid-fed power have led to a growing interest in expanding prosumer installations with energy storage modules. This article presents the authors' concept and expected functionalities of a prosumer system equipped with energy storage based on theoretical assumptions, simulation analyses, and experimental research. Additionally, it covers the design and functionality of a hybrid converter; its experimental validation, including an analysis of operational modes; the development of a control algorithm under real conditions; and the efficiency testing of the device.

Keywords: direct-current fast charging; energy storage systems; AC-DC-DC converter; hybrid inverter; hybrid power systems; distributed power generation



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1. Introduction

The perception of electricity production and distribution has undergone a significant transformation among producers, system operators, and ordinary users in recent times. The energy transformation has indeed become a reality affecting all power grid users [1,2]. The primary driving force behind the changes occurring in the power system is the dynamic development of renewable energy sources. In just a few years, photovoltaic installations, whether with a capacity of several or several hundred kilowatts, have shifted from being an expensive technological novelty to becoming a commonplace and profitable tool for electricity generation [3]. In Poland as well, there has been a notable increase in the number of renewable energy source (RES) installations, including photovoltaic farms and small home installations with capacities of up to 15 kWp [4]. Home installations have become a permanent feature of the European residential and agricultural landscapes. With the growth of prosumer installations and ongoing technological advancements, the complexity and functionality of these installations continue to increase.

The complexity and implemented functionalities of prosumer installations are influenced by the changing legal environment and the limited capacity of distribution grids, which restricts the ability to connect new sources. The amendment of regulations regarding the accounting of energy produced and fed into the grid by prosumers, including the introduction of dynamic tariffs, aims to increase the level of the self-consumption of energy and maximize the use of available energy at its point of generation [5,6]. This is primarily due to the current condition of low-voltage distribution grids, whose expansion cannot keep up with the dynamic growth of additional renewable energy sources [7–9]. This issue is particularly significant in locations dominated by residential buildings. Because of the significantly varying hourly energy demand profiles within households and energy

production from photovoltaic installations, it is necessary to store energy effectively at the point of its generation [10–13]. A typical daily energy profile for a household with a 10 kWp PV installation, considering energy production and consumption, recorded in Poland on an April day in 2022, is illustrated in Figure 1.

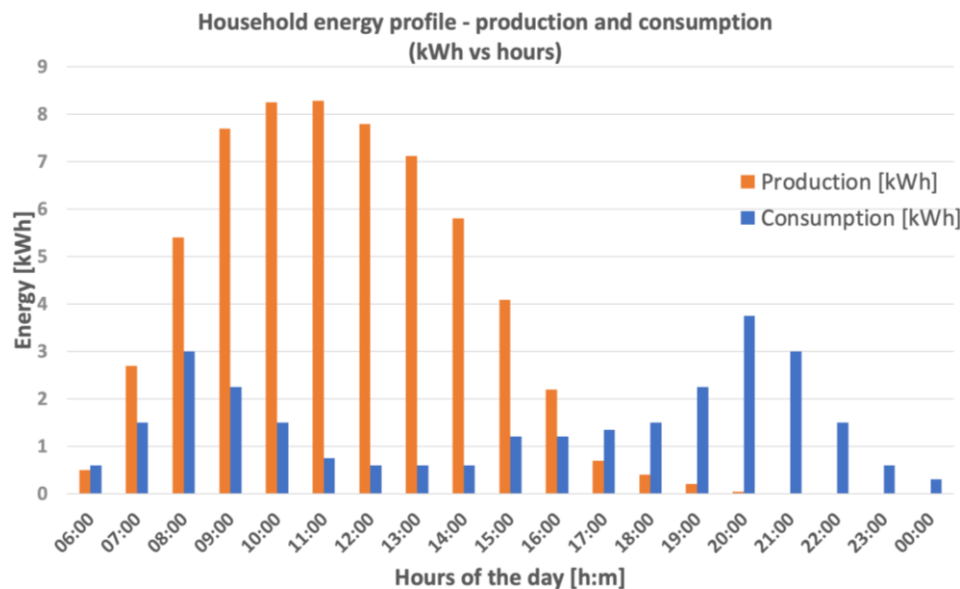


Figure 1. Typical energy profile of a house with a 10 kW peak photovoltaic installation, oriented southeast, recorded in Poland on one day in April 2022. Orange bars—energy production; blue bars—energy consumption. Energy production and consumption expressed in kWh over time.

Based on the presented figure, it can be concluded that in order to effectively use the energy available from a renewable source, it must be stored. The technology best suited for widespread adoption in this field is battery energy storage. As a result, modern prosumer systems come equipped with energy storage systems. The energy storage device can be connected to the home AC grid using a DC microgrid or together with a renewable energy installation. One of the devices enabling RES installations to function with energy storage and efficiently manage available energy across various operating modes is a hybrid AC-DC-DC inverter [14–17]. Furthermore, with the implementation of proper grid-side converter control and the utilization of advanced algorithms, it becomes feasible to stabilize the voltage at the grid connection point, thereby positively influencing its characteristics and enhancing the capacity to connect subsequent devices [18–21].

2. Prosumer System Configuration

Requirements

The basic configuration of the prosumer system, equipped with a renewable energy source (PV), a hybrid inverter (HI), energy storage (ES), and energy measurement at the point of common coupling (EMPC), is shown in Figure 2. Thanks to the use of an appropriate configuration and control algorithm, the system can operate in the following modes:

- Energy from renewable sources can be used to supply local loads;
- The ES can be charged (drawing energy from the RES or the grid);
- The energy produced by the RES can be transferred to the power grid;
- Additionally, it is possible to disconnect from the grid and supply the local loads (utilizing energy from RES installation and energy storage), operating in off-grid mode (island mode of operation);



- With variable energy prices in the grid (dynamic tariffs), it is also possible to implement price arbitrage and aim to minimize the energy consumed from the grid, as further discussed in [22–26].

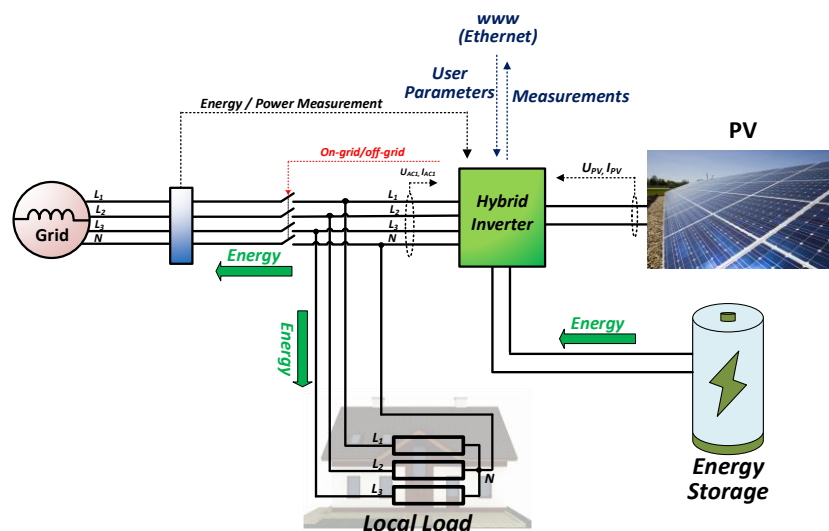


Figure 2. Prosumer installation equipped with a hybrid inverter, photovoltaic installation, battery storage, and energy measurement at the point of common coupling.

Due to the number of possible operating modes and scenarios and the possibility of providing additional demand-side response (DSR) services to the grid operator, the complexity of such a system is high and requires the use of a supervisory control system. The energy management system (EMS) can control the system and additionally use data related to the weather forecast, the condition of the energy storage system, or the changing prices in the energy market. At present, EMS functionalities can be implemented by a hybrid inverter control algorithm, as well as the supervisory controller communicating with the system components [27–31].

A highly significant component of a prosumer hybrid system is energy storage. Currently, there are various types of energy storage technologies available. Energy can be stored in electrochemical batteries, in heat or cold storage systems, as kinetic energy, or in other carriers. Energy storage technologies can include other promising technologies, such as recycled batteries, supercapacitors, hybrid supercapacitors, sodium-ion batteries, flow batteries, and small hydrogen fuel cells [32–40]. However, due to the prevalence and commercial availability of technologies, as well as their prices, electrochemical batteries are the most popular, particularly lithium-ion and valve-regulated lead–acid (VRLA) batteries. The selection of battery technology, storage capacity, and nominal power is influenced by numerous factors [11–13]. Currently, the most crucial factor affecting battery selection is the price and the safety level of the system, given the early stage of market development. Consequently, the market for residential energy storage systems has been dominated by lithium iron phosphate (LFP) batteries, which can perform up to 6000 charge cycles—roughly equivalent to a potential operational lifespan of about 10 years in a household setting. Considering the energy consumption profile in a household, these batteries typically have capacities of up to 20 kWh and instantaneous power not exceeding 10 kW. Usually, due to the charging and discharging current values, these batteries operate at voltages ranging from 200 to 700 V.

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3. Hybrid Inverter

A hybrid inverter is a device that cooperates with renewable energy installations and energy storage, enabling their integration with the AC grid. Thanks to the internal DC microgrid, it is possible to connect renewable energy installations (usually PV systems) and batteries while managing energy flows. Additionally, from the grid perspective, both sources are connected at a single point. One drawback of such a solution is the need to replace the inverter if one wishes to expand an existing renewable energy installation with an energy storage system. Furthermore, the potential positive impact on the distribution grid is limited due to the inverter’s installation location. The system consists of several stages of energy conversion based on AC-DC and DC-DC converters [17,41–43].

A. Hardware Description

The proposed concept of a hybrid inverter is depicted in Figure 3. On the grid side, the primary device is an AC-DC converter, typically employing a four-leg topology in energy storage solutions to facilitate islanding mode, allowing the system to operate “off-grid”. In island-mode operation, the converter serves as the voltage source for local loads. The frequency and RMS voltage values must be maintained in accordance with the grid code requirements. Furthermore, the device must synchronize with the grid upon returning to “on-grid” operation. The use of a four-leg topology allows for stable operation in the presence of unbalanced loads on the local load side and the control of the neutral wire current. Additionally, independent control for each phase of the input voltage enables voltage stabilization and balancing at the point of connection to the grid, which has a positive impact on the distribution grid.

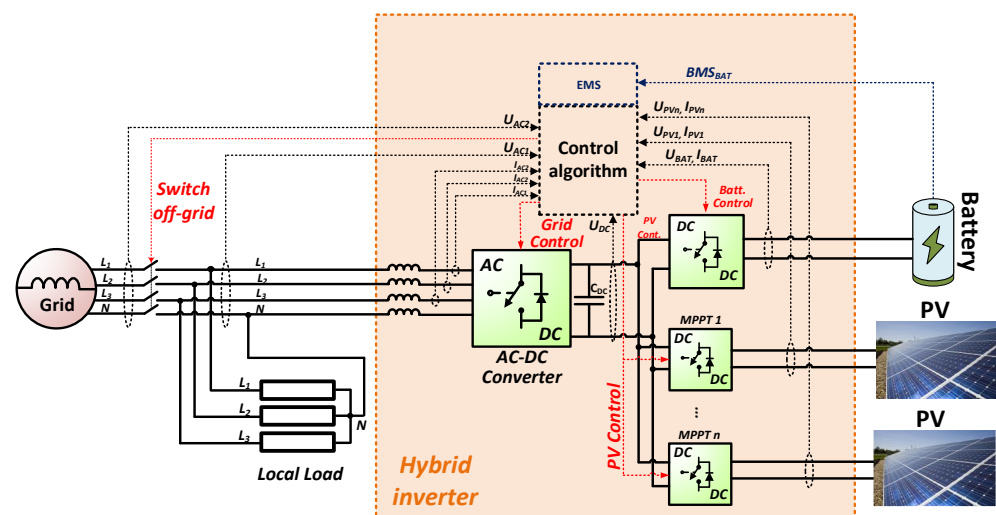


Figure 3. Proposed hybrid inverter topology.

On the renewable source side, unidirectional, non-isolated DC-DC converters are utilized. The number of converters depends on the number of Maximum Power Point Tracking (MPPT) systems. In this concept, a crucial component is the bidirectional DC-DC converter, responsible for voltage matching between the converter's DC bus and the battery, as well as controlling the battery charging or discharging current. Depending on the chosen system configuration and battery voltage level, it can be a bidirectional non-isolated DC-DC converter or a galvanically isolated converter, often taking the form of a dual-active-bridge (DAB) converter [41,42].

Figure 3 illustrates the current and voltage measurements within the system, which are necessary for the control of individual components. An additional active element is a switch that allows for disconnecting the converter from the grid and switching to island operation, ensuring uninterrupted power supply to the local load through the use of an advanced control algorithm, which seamlessly transitions between on-grid and off-grid modes.

As a separate component, an energy management system is included, which can be implemented through the converter algorithm based on current and voltage measurements. However, enhancing the level of intelligent energy management in the system, such as by utilizing additional data, often requires the incorporation of an external EMS controller.

B. Control Algorithm

In the provided solution, the control algorithm consists of multiple blocks, depending on the operational mode of the system. The control of the AC-DC grid converter is carried out based on measurements of the primary currents and voltages, which are used for the transformation to the rotating d-q coordinate system [21,31,43]. When operating with the power grid (in on-grid mode), the reference values for individual phase currents are determined based on the preset voltage in the DC circuit and the measured grid angle. The control system diagram for grid operation is depicted in Figure 4.

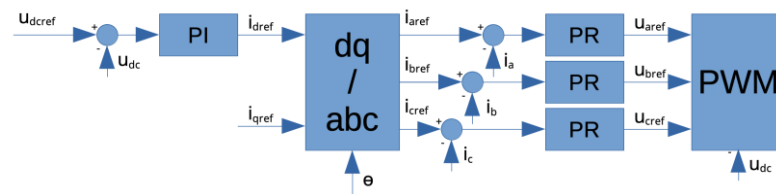


Figure 4. Control strategy of AC/DC converter for on-grid operation.

In the case of operation in the off-grid mode, the priority is to ensure the parameters of the generated voltage. The phase voltage values are the set points for the resonant regulators used for this purpose. The diagram of the control system in the case of the off-grid mode is presented in Figure 5.

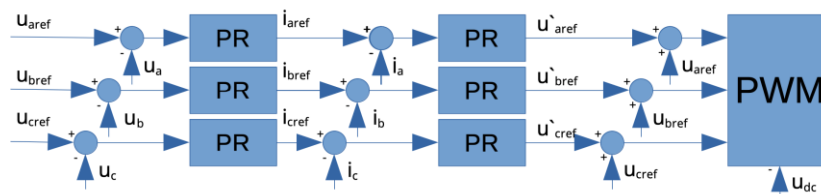


Figure 5. Control strategy of AC/DC converter for off-grid operation.

The battery charging/discharging current is regulated by a bidirectional DC-DC converter. In the analyzed case, it is a system without galvanic separation. Therefore, the reference value of the battery current is determined from the measured voltages in the DC link. The scheme of the control algorithm is shown in Figure 6.

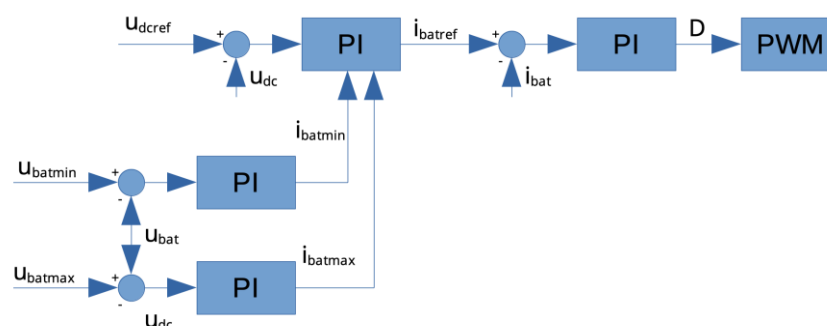


Figure 6. Control strategy of DC/DC converter used for battery charging/discharging.

The last component of the system is the maximum power tracking system implemented by a unidirectional DC-DC converter. In the analyzed case, the MPPT algorithm applies to a photovoltaic installation. The algorithm is implemented on the basis of the measured electrical values of PV panels, taking into account the DC voltage in the converter's intermediate circuit. The circuit diagram is shown in Figure 3.

4. Simulation Study

The simulation studies concerned the grid part of the system (AC-DC converter) due to the complexity of the analyzed system and the control algorithm used. DC-DC converters were developed as part of previous work and were integrated at the device prototype stage. In order to verify the assumptions and theoretical analyses, a simulation model of the three-level AC-DC converter with four active legs was developed in the PLECS environment and tested. The simulation model consists of a grid model with voltage asymmetry, an asymmetric load model connected deep within the grid, a converter model, and a voltage source connected directly to the converter's intermediate DC circuit. A view of the developed simulation model is shown in Figure 7, where Figure 7a shows the converter power circuit and Figure 7b shows the implemented control structure.

In the initial phase of the simulation work, various operating modes were studied at nominal values, and open-loop regulation was employed to determine the current and voltage values in the designed converter. Based on steady-state simulations under nominal conditions, the selection of DC circuit capacitance, nominal current values for semiconductor power components, and the parameters of magnetic elements (current, inductance) was made, along with the selection of LC filter components on the grid side.

In the next step of the simulation model for the AC-DC converter, a closed-loop control structure was implemented based on voltage-oriented control with vector modulation, where reference values are provided in the rotating d-q coordinate system. During the simulation analysis, the gains of the PI current regulators in the d and q coordinates were adjusted to obtain satisfactory dynamics and the shape of the grid-side current. In the next step, the gain of the DC-link voltage PI regulator was selected. The proper operation of the closed-loop control system was verified under both static and dynamic conditions.

In the next step, the simulation study focused on analyzing the impact of the converter on the power grid and the possibility of stabilizing the grid parameters through the applied control algorithm extended with voltage stabilization functionality. The research was conducted in a system where the inverter is connected to both the grid and renewable energy sources, while loads are also connected further into the grid. The aim of the research was to analyze the improvement in grid parameters achieved by the converter's voltage stabilization algorithm in order to maintain the operational parameters of loads using energy from renewable sources.

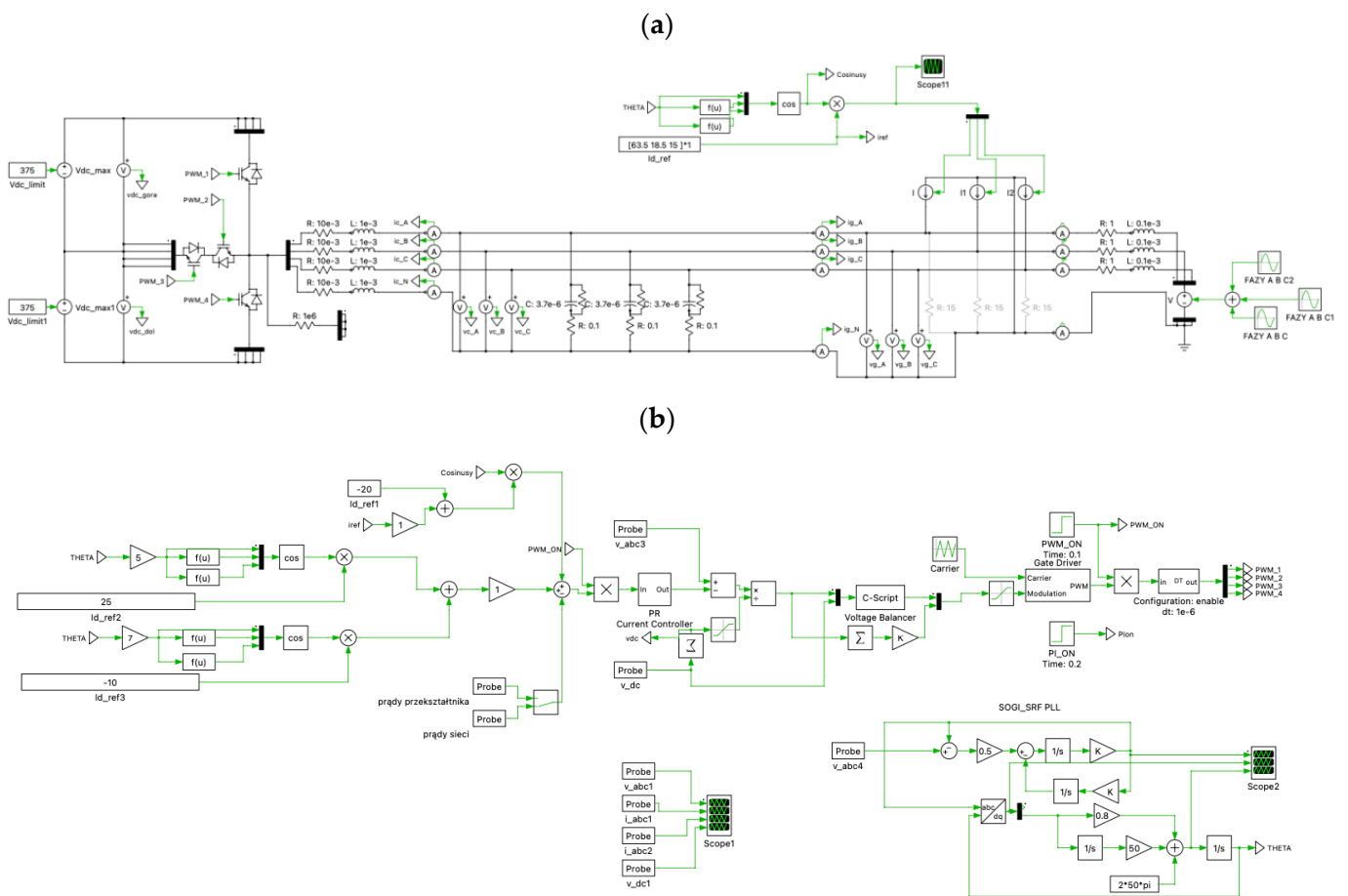


Figure 7. Simulation model of analyzed 4-leg, 3-level AC/DC converter module with control strategy developed in PLECS; (a) power circuit of the converter, (b) control algorithm based on VOC implemented in PLECS.

Figure 8 shows the operation of the converter in the static state, supplying the load connected deep in the grid from a renewable source and stabilizing the grid voltage asymmetry. Figure 8a shows the waveforms of grid currents and voltages in a situation where the converter is not operating, and the load is connected to the grid and is powered directly by the grid. Then, Figure 8b shows the situation in which the converter operates and transmits energy to the grid to power the connected load: the currents drawn from the grid by the load at the measurement point are equal to zero. Figure 8c shows a similar situation when a 30% dip occurs in one phase. The system compensates the grid voltage to the value of the set current limit, and only the difference between the converter and load currents is taken from the grid.

The following waveforms presented in Figure 9 show the dynamic state and illustrate the operation of the algorithm to stabilize grid parameters. Figure 9a shows the operation of the source, which increases the grid voltage with the converter operating. In the last part of the waveform, the grid stabilization algorithm is activated (lowering the voltage), which results in an increase in the converter currents and a reduction in the effective value of the grid voltage. Figure 9b shows the operation of the grid stabilization algorithm when a 30% voltage dip occurs in one of the phases. The operation of the stabilization algorithm is visible through the increased and asymmetric currents of the analyzed AC/DC converter.

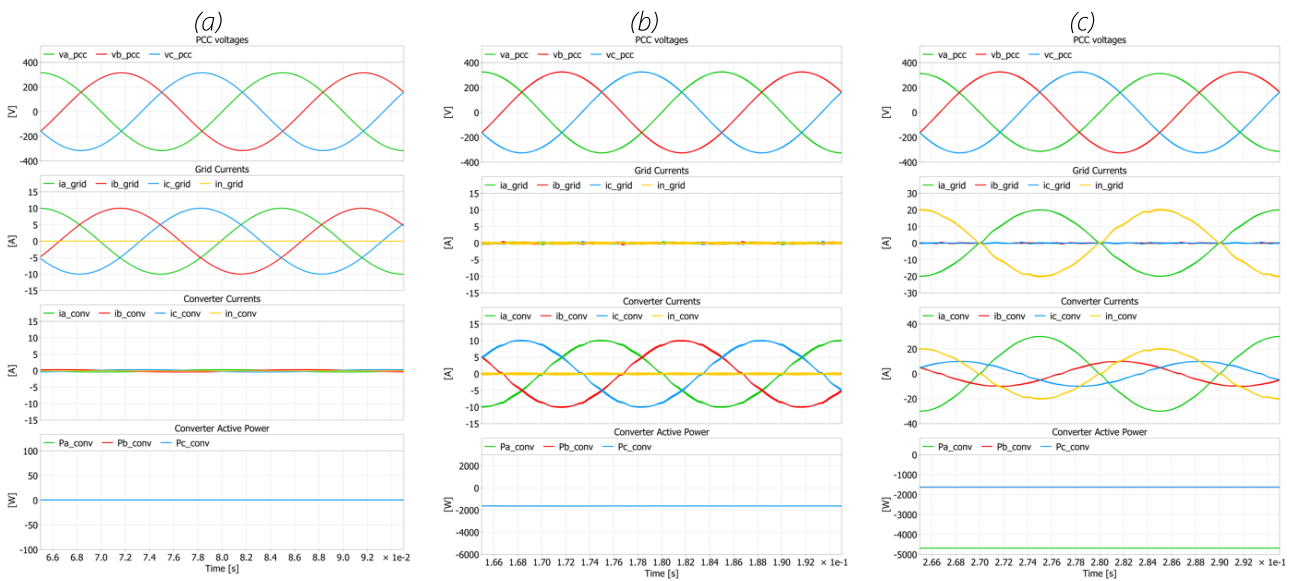


Figure 8. Operation of the AC/DC converter in the static state, supplying the load connected to the AC grid from a renewable source and stabilizing the grid voltage dip: (a) the converter is not operating, and the load is connected to the grid and is powered directly by the grid; (b) the converter operates and transmits energy to the grid to power the connected load; (c) a 30% dip occurs in one phase, and the load is supplied by the converter. From the top: grid voltages at the point of common coupling (PCC), grid currents, converter currents, converter power (for individual phases).

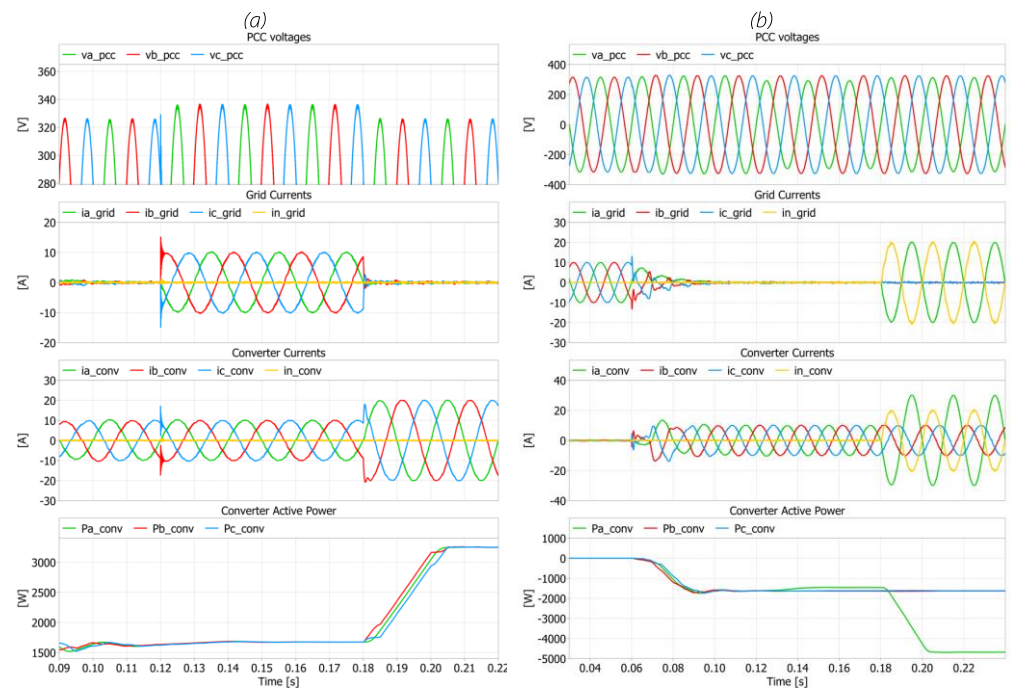


Figure 9. Operation of the AC/DC grid converter to stabilize the grid voltage in the dynamic state: (a) operation of the source, which increases the grid voltage with the converter operating. In the last part of the waveform, the grid stabilization algorithm is activated (lowering the voltage), which results in increasing the converter currents and reducing the effective value of the grid voltage; (b) operation of the grid stabilization algorithm when a 30% voltage dip occurs in one of the phases. The operation of the stabilization algorithm is visible through the increased and asymmetric currents of the analyzed AC/DC converter. From the top: grid voltages, grid currents, converter currents, converter power (for individual phases).



5. Experimental Test Bench

To verify the performed analysis, an experimental model of the AC-DC-DC converter dedicated to hybrid prosumer installations was verified in laboratory research. For the purpose of verifying the functionality and operating modes of the hybrid inverter, a dedicated experimental test stand was created, a view of which is shown in Figure 10.

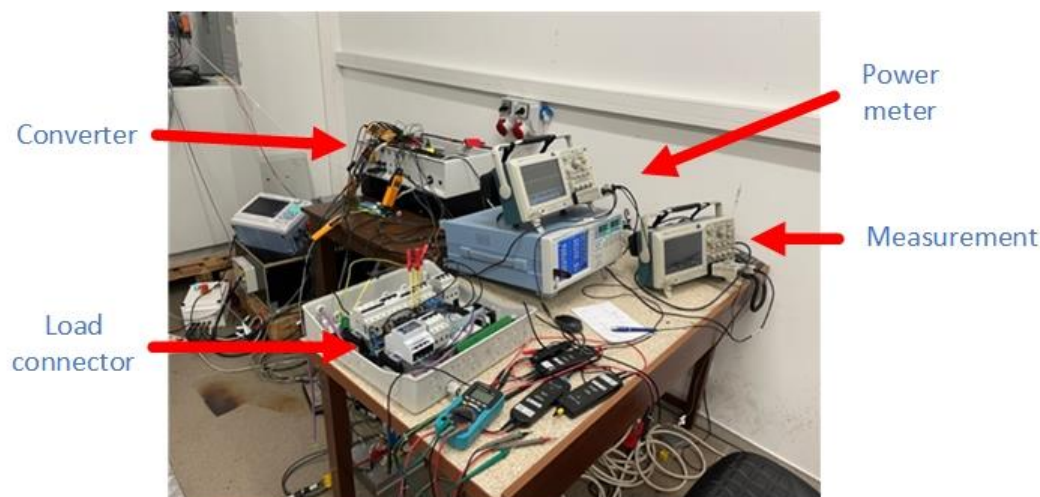


Figure 10. View of the experimental setup used during research analysis, consisting of hybrid inverter, LFP battery, load used for off-grid operation, Yokogawa WT1800 Precision Power Analyzer, Yokogawa DL850 grid analyzer (Yokogawa Test & Measurement Corporation, Tokyo, Japan) PV source, and oscilloscopes.

The stand consists of the tested 12 kW hybrid inverter, an energy storage device with a capacity of 10 kWh made with LFP technology, a renewable source model, a connection point to the power grid, and the local load (resistors). The system is connected to the actual LV grid, and for the purposes of precise measurement recording, high-class oscilloscopes, a grid analyzer, and a power analyzer were used. The conducted research was divided into two main stages: testing the functionality and operating modes of the inverter and analyzing the efficiency of the tested hybrid inverter. The parameters of the inverter are collected in Table 1.

Table 1. Basic parameters of the analyzed hybrid inverter.

Symbol	Parameter	Value
U_{PV}	PV-side voltage range	60–900 V DC
U_{MPPT}	MPPT voltage range	120–850 V DC
I_{PV-MAX}	PV max current	2×15 A
U_{OUT}	Output voltage	3×400 V, 50 Hz
I_{OUT}	Output nominal current	18 A
fsw	Switching frequency	16/32 kHz
P_{OUT}	Nom. power of AC/DC converter	12 kW
U_{BAT}, I_{BAT}	Battery voltage/current	48–600 V/50 A
P_{BAT}	Battery nominal power	10 kW
	Operation modes	on-grid/off-grid
	AC/DC converter topology	4-leg, 3-level
	Power switches	IGBT (AC/DC), SiC (DC/DC)

A. Functionality tests

In the initial stage of the research, the proper operation of the system in the static state was verified. The following operation modes were analyzed:

- Charging the energy storage (battery) from a renewable energy source;

- Charging the energy storage (battery) from the grid;
- Simultaneously charging the battery from the grid and a renewable energy source;
- Discharging the storage to the grid for local loads;
- Using the islanding operation mode (off-grid mode).

Figure 11 illustrates the off-grid operating mode with an asymmetrical load, which is the most challenging to implement for a hybrid system and requires a four-leg converter topology. The figure displays the current and voltage waveforms of an asymmetric load (U_{abc_load} , I_{abc_load}), as well as the battery current (I_{batt}) and voltage (U_{batt}) waveforms. Due to the significant imbalance in the load currents, considerable oscillation of the battery current is visible.

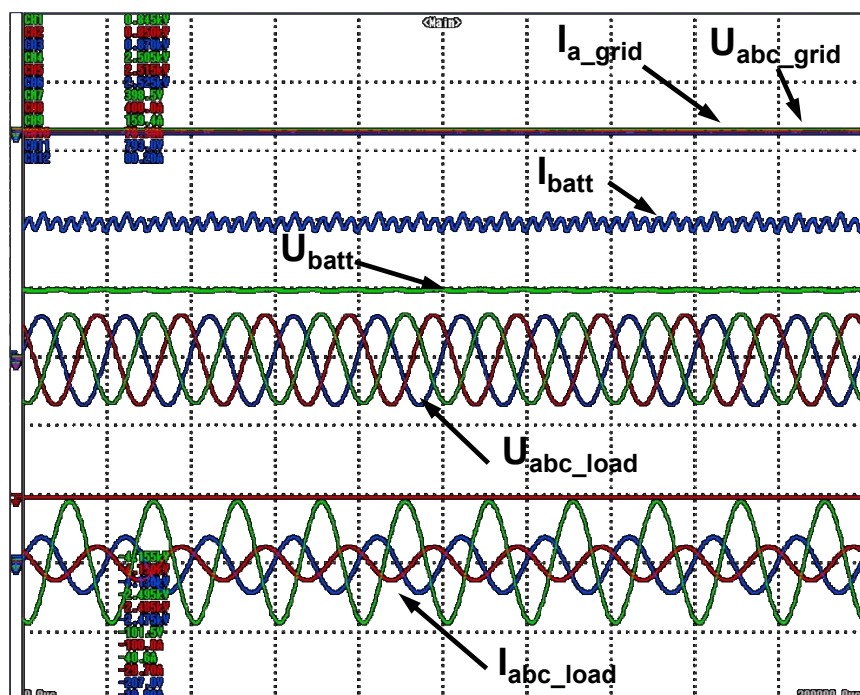


Figure 11. Operation of the hybrid inverter during steady state in off-grid mode with asymmetrical load. From the top: grid current and voltage, battery current, battery voltage, local load voltage, local load current.

In the subsequent stage of the research, the operation of the inverter in the dynamic state was examined. Figure 12 displays the oscillograms recorded during the transition of the operating mode from the on-grid mode (with battery charging) to the off-grid mode supplying local loads (battery discharging). The waveforms of the battery voltage, battery current, PV string current, and voltage are depicted in Figure 12a. It can be observed that the battery current changes direction, shifting from the charging to discharging mode. Subsequently, Figure 12b illustrates the current and voltage waveforms of one of the phases on the grid side, as well as the current and voltage waveforms of one phase of the load.

During subsequent tests, the transition of the operating mode from on-grid to off-grid was observed with an asymmetrical local load. The waveform of the battery current and voltage, the string voltage of photovoltaic panels, and the voltage of one phase of the grid are presented in Figure 13a. A noticeable step change in the direction of the battery current is evident, shifting from the charging to discharging mode with a high imbalance in the load currents, resulting in a significant current ripple in the battery current. In turn, Figure 13b illustrates the local load phase current waveforms and the voltage waveform of one of the load phases.



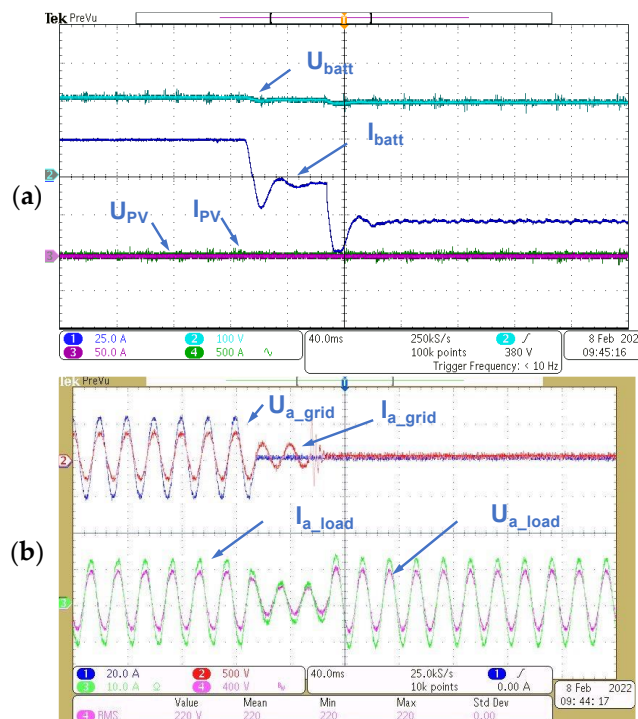


Figure 12. Operation of the hybrid inverter during step change in operation modes: from on-grid and battery charging to off-grid and battery discharging. From the top: (a) battery voltage, battery current, PV voltage, PV current; (b) grid current and voltage of one phase and voltage and current of local load (one phase).

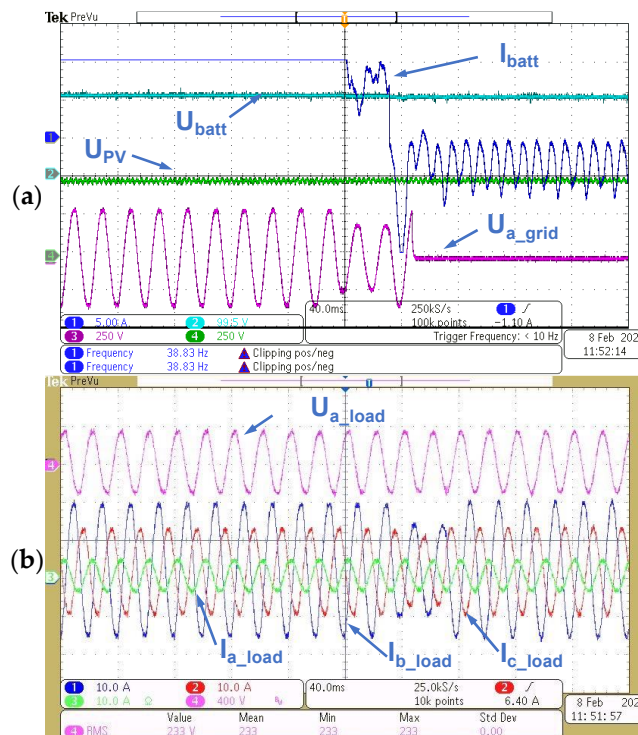


Figure 13. Operation of the hybrid inverter during step change in operation modes: from on-grid and battery charging to off-grid and battery discharging on asymmetrical load. From the top: (a) battery voltage, battery current, PV voltage, grid voltage of one phase; (b) local load voltage of one phase and current of local load.

B. Efficiency tests

As part of the hybrid inverter analysis, the system's efficiency was also measured, considering the entire voltage conversion path. The results were recorded in the static state using a Yokogawa WT1800 power analyzer. The setup of the measurement stand is illustrated in Figure 14. The inverter's efficiency was assessed during the conversion of energy from a renewable source (Figure 14a) and during the conversion of energy from a battery storage (Figure 14b).

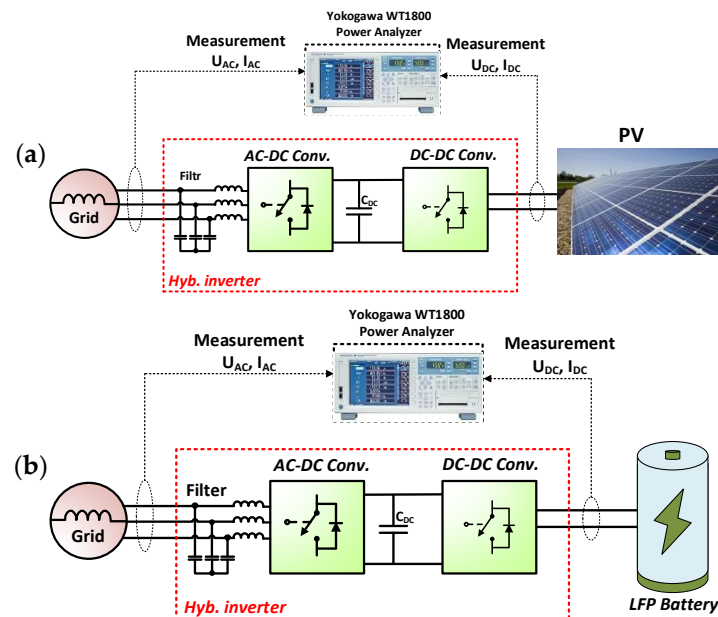


Figure 14. Scheme of the test bench for efficiency analysis of the hybrid inverter; (a) operation with renewable source—PV panels; (b) operation with battery for charging and discharging modes.

The recorded efficiency characteristics are presented in Figure 15. With two energy conversion stages (AC-DC and DC-DC converters), the inverter achieves an efficiency of 97.5% at rated values (for two-stage energy conversion from PV panels to the AC grid, bypassing the batteries). In the process of converting energy from the battery, the efficiency is lower (at the level of 95.5%), which results from the applied topology of the DC-DC bidirectional converter and a different voltage range in which the battery operates (the battery's nominal rated voltage is 200 V).

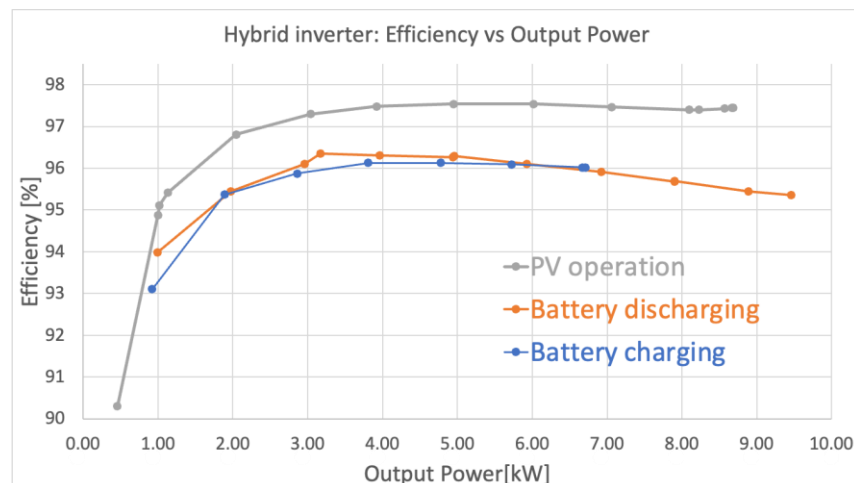


Figure 15. Efficiency characteristics of the analyzed hybrid inverter.

6. Discussion and Conclusions

This article introduces the concept of a hybrid inverter, considering the potential operating modes of the device. It outlines the individual components of the system, along with the employed control algorithms. The positive influence of the converter with a dedicated regulation algorithm on the power grid was verified through numerous simulation tests. Subsequently, using a dedicated laboratory setup, the system's performance was validated through a series of experimental tests. The results obtained confirm the anticipated functionalities and parameters of the hybrid inverter.

Throughout the execution of this study, a complete research cycle, commonly employed in the technical sciences, was undertaken. The authors' contribution lies in presenting the solution concept along with the utilized control algorithms. The functionalities and attributes of the proposed solution have been substantiated through various analytical studies, the development of a dedicated simulation model, and simulation tests. To validate the implementation potential of the presented concept, experimental tests were conducted, affirming the device's operation under conditions resembling real-world scenarios.

As part of the presented work, the authors have proposed and substantiated a thesis regarding the positive impact of a prosumer converter with an energy storage device on the distribution grid, utilizing advanced system control methods. An area requiring further exploration is off-grid operation in the presence of significant imbalances in the load currents. The recorded battery current exhibits notable oscillations with a 100 Hz component. To optimize battery operation parameters and enhance its service life, efforts are needed to minimize this component when operating on separate loads. In the process of converting energy from the battery, the system efficiency is less than 2% compared to the grid supply. Future work will concentrate on assessing the impact of the DAB converter in various topologies on the system's operation.

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