

## 2 **High frequency oscillations in human memory and** 3 **cognition: a neurophysiological substrate of engrams?**

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### 6 **Abstract**

7 Despite advances in understanding the cellular and molecular processes underlying memory and  
8 cognition, and recent successful modulation of cognitive performance in brain disorders, the  
9 neurophysiological mechanisms remain underexplored. High frequency oscillations beyond the  
10 classic electroencephalogram spectrum have emerged as a potential neural correlate of  
11 fundamental cognitive processes. High frequency oscillations are detected in the human mesial  
12 temporal lobe and neocortical intracranial recordings spanning gamma/epsilon (60-150 Hz),  
13 ripple (80-250 Hz) and higher frequency ranges. Separate from other non-oscillatory activities,  
14 these brief electrophysiological oscillations of distinct duration, frequency and amplitude are  
15 thought to be generated by coordinated spiking of neuronal ensembles within volumes as small  
16 as a single cortical column. Although the exact origins, mechanisms, and physiological roles in  
17 health and disease remain elusive, they have been associated with human memory consolidation  
18 and cognitive processing. Recent studies suggest their involvement in encoding and recall of  
19 episodic memory with a possible role in the formation and reactivation of memory traces. High  
20 frequency oscillations are detected during encoding, throughout maintenance, and right before  
21 recall of remembered items, meeting a basic definition for an engram activity. The temporal  
22 coordination of high frequency oscillations reactivated across cortical and subcortical neural  
23 networks is ideally suited for integrating multimodal memory representations, which can be  
24 replayed and consolidated during states of wakefulness and sleep. High frequency oscillations  
25 have been shown to reflect coordinated bursts of neuronal assembly firing and offer a promising  
26 substrate for tracking and modulation of the hypothetical electrophysiological engram.

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22 **Running title:** High frequency oscillations in human memory

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24 **Keywords:** network oscillations; intracranial EEG; local field potential; cognition; sharp-wave  
25 ripples; memory consolidation

26 **Abbreviations:** iEEG = intracranial electroencephalogram; HFO = high frequency oscillation

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# 1 Neurophysiological activities bridging neural oscillations 2 and neuronal spiking as a window into memory and 3 cognition

4 Intracranial electrophysiological recordings and stimulation have provided unprecedented access  
5 to study the neural activities that underlie the most complex and abstract functions of the human  
6 brain <sup>1-4,5,6,7,8</sup>. Local field potential (LFP) recordings reflecting the activity of neural populations  
7 associated with memory and cognitive functions can be either oscillatory or non-oscillatory. The  
8 emergence of high-density macro-, meso-, and micro-electrode arrays now enables recording the  
9 wide range of various LFP activities <sup>9-12</sup>. Still, probing specific electrophysiological activity  
10 recorded at various scales to determine their origins remains a major challenge <sup>13-15</sup>, as is  
11 mapping these to particular processes underlying our cognition.

12 At the high resolution end of the electrophysiological activity spectrum, neuronal action potential  
13 spiking, also known as the single unit activity, has been linked to mental representations of  
14 abstract concepts and proposed as a building block for our thinking and declarative memory <sup>8,16-</sup>  
15 <sup>18</sup>. These single neuron spiking activities are confined to extracellular field potentials sampled on  
16 a micrometer scale from electrode contacts in the immediate vicinity of a spiking cell <sup>19</sup>. On the  
17 other end of the spectrum (Fig. 1 top), there are LFP oscillations in the classic EEG spectrum  
18 generated by coordinated synaptic currents of large neural populations. The oscillations are  
19 traditionally classified into distinct frequency bands, commonly referred to as brain waves or  
20 rhythms, which are thought to be generated at different scales of neural organization. Low  
21 frequency oscillations of the delta or theta bands engage larger volumes and spatially extended  
22 neural networks, whereas higher frequency rhythms in the gamma bands are more local and  
23 confined to more specific neuronal ensembles <sup>20,21</sup>. Between the two ends of this spectrum,  
24 linking the low ranges of the classic EEG bands (<60 Hz) and the high ranges of detecting the  
25 neuronal action potentials (>600 Hz), there is a wide frequency span of electrophysiological  
26 activities, including high frequency oscillations (HFOs) and other non-oscillatory sources of  
27 spectral power (Fig. 1 bottom). The HFOs may serve as a bridge to link the ‘building blocks’ of  
28 single neuron spiking with large-scale LFP activities reflected in neural network oscillations <sup>22</sup>.



1 In this review, we will first define the terms for various types of electrophysiological activities  
2 captured by extracellular LFP recordings and the vast frequency spectrum between EEG  
3 oscillations and the neuronal spiking. Various terms that are used for similar LFP activities in  
4 overlapping frequency ranges have been a matter of recent controversy in dissociating sharp-  
5 wave ripples from high frequency oscillations or broadband power increases<sup>23,24</sup>. These previous  
6 reviews were focused on the lower ranges of the high gamma and ripple frequency spectrum,  
7 treating HFOs in the ranges beyond 250 Hz as predominantly related to the pathophysiology of  
8 epilepsy. Here, we focus on the HFOs across the wide frequency range between the EEG  
9 oscillations and neuronal single unit activity. We will review the basic physiology of HFOs with  
10 reference to the other high frequency LFP activities with particular focus on human studies to  
11 support the proposed terms and definitions and to provide background for the  
12 neuropsychological theories of neuronal assemblies and engrams posed in the title question.

13 This will set the scene for discussing what is known about the roles of HFOs in memory and  
14 cognition. Several recent studies have reported HFOs in the ripple frequency and beyond to be  
15 associated with memory encoding, reactivation, recall and consolidation across hippocampal-  
16 cortical networks<sup>25-33</sup>. We will conclude by discussing the title proposal that HFOs can provide  
17 electrophysiological substrates of engrams, reconciling the single neuron research of abstract  
18 concept representations, spatiotemporal dynamics of high frequency LFP activities across the  
19 brain, and the most recent advancements in the engram research. Finally, we will conclude with a  
20 prospective use of HFOs to track large-scale dynamics across widespread networks of connected  
21 neural assemblies during memory and cognitive functions.

## 23 **Classification and definitions of electrophysiological** 24 **activities in the high frequency spectrum**

### 25 **HFOs are classified across overlapping frequency ranges based on** 26 **physiological properties**

27 Given the wide variety of LFP activities recorded in the high frequency range between the classic  
28 EEG bands on one end and the neuronal spiking on the other (Fig. 1), it has been a major



1 challenge to determine distinct boundaries and names in this previously uncharted territory. Over  
2 three decades of animal and human studies, describing both physiological and pathological,  
3 oscillatory, non-oscillatory, induced/evoked and spontaneous phenomena, have produced  
4 nomenclature and definitions that are not fully consistent and standardized in one unified view. A  
5 consensus statement recently published by a representative group of researchers defining sharp-  
6 wave ripples in the context of other high frequency LFP activities in humans, non-human  
7 primates and rodents <sup>23</sup>. The statement addresses the key problems in the field related to signal  
8 processing and exclusion of artifacts, methods for detection and analysis of LFP ripples,  
9 anatomical localization in the hippocampus and neocortex, and relationship to other  
10 physiological and pathological discharges in the spectrum. Some of these problems were also  
11 discussed in a special volume of reviews dedicated to the high frequency oscillations <sup>24,34,35</sup>. Both  
12 the recent statement and the previous reviews pertained mainly to the high gamma and ripple  
13 frequency ranges, aiming to separate them from other pathological discharges and non-  
14 physiological artifacts. Oscillations beyond these ranges were mostly treated as related to the  
15 pathophysiology of epilepsy <sup>36</sup>.

16 Bottom panel of Figure 1 summarizes the proposed classes of distinct high frequency activities,  
17 including both oscillatory and non-oscillatory sources of LFP spectral power. The lower end of  
18 the HFO spectrum is dominated by several classes of gamma and ripple frequency ranges, which  
19 are highly overlapping within a span extending from 60 to 150 Hz. On the higher end, the  
20 frequency boundaries for fast and ultra-fast ripples and their relationship with and influence from  
21 neuronal spiking activities <sup>37,38</sup> have not been clearly defined.

22 There has been more in-depth research into the contribution of neuronal spiking to spectral LFP  
23 activities recorded in the gamma and ripple frequency ranges <sup>39,40</sup>. The lower frequency ranges  
24 (> 60 – 250 Hz) are more clearly charted and classified on the spectrum based on their  
25 physiological phase coupling with oscillations in the classic EEG bands like the theta rhythm <sup>41–</sup>  
26 <sup>43</sup>. Hence, three frequency subbands were proposed within a wider gamma range: low (30–90  
27 Hz) and fast/epsilon band (~90–150 Hz), which are separate from the overlapping ripple range  
28 (~140–220 Hz) <sup>35</sup>.

29 Focusing on the oscillations, distinct classes have been separated based on the underlying neural  
30 mechanisms of their generation. One of the first such distinctions was made in rodent



1 hippocampus between ripples occurring at 140-200 Hz range, which were associated with sharp-  
2 wave bursts of neuronal spiking and relatively high amplitude of oscillations visible in the raw  
3 signal, compared to fast gamma/epsilon oscillations of lower frequencies (100-130 Hz), which  
4 were not associated with the sharp-wave bursts but shared common neuronal mechanisms with  
5 the ripples<sup>44</sup>. A later study confirmed that these two classes of HFO are quantitatively distinct  
6 but share similar neuronal networks and mechanisms<sup>45</sup>. Both studies found that the ripples (140-  
7 220 Hz) and fast gamma/epsilon (90-140 Hz) oscillations had different anatomical localizations  
8 in the hippocampal subfields and the connected neocortical areas.

9 In general, there is ample evidence for different types or classes of HFOs based on their  
10 anatomical locations, neural substrates and mechanisms of generation<sup>35</sup>. Separating these based  
11 on frequency boundaries into distinct gamma, ripple and higher frequency ranges appears  
12 challenging and cumbersome because the boundaries are highly overlapping (Fig. 1).

### 13 **Defining human HFO types in specific frequency ranges and** 14 **anatomical localization.**

15 It would seem from these original studies in the rodent hippocampus and mesial temporal  
16 neocortex that ripples and gamma HFOs should be easily distinguishable based on either the  
17 frequency range (higher for ripples), mechanism of generation (e.g. presence of a LFP sharp-  
18 wave) or anatomical location (e.g. hippocampal subfields). In the human hippocampus, however,  
19 ripples with the greatest amplitude were detected in 80-140 Hz frequency range<sup>31,46-48</sup>, which is  
20 overlapping with the high gamma range (70-150 Hz). Sharp-wave bursts have not been  
21 commonly used for detection of ripples or separation from high gamma LFP activities in these  
22 original or subsequent studies since they were conducted in people with epilepsy, who have  
23 epileptiform sharp-waves (a.k.a. interictal epileptiform spikes) often accompanied by a high  
24 frequency oscillation. Differentiating pathological epileptiform sharp-wave transients and  
25 physiological sharp-waves and the associated HFOs is a challenge<sup>25-28,31-33</sup>. In these cognitive  
26 studies, therefore, the only criterion used to distinguish ripples from high gamma or epsilon  
27 oscillations is the anatomical localization in the hippocampus or, more recently, in its CA1  
28 subfield. But since gamma and ripple HFOs share common cellular mechanisms<sup>44,45</sup>, they are  
29 virtually impossible to separate without the main distinguishing sharp-wave feature.



1 Ripples are also recorded outside of the hippocampus proper or the connected mesial temporal  
2 lobe structures. One of the first reports of neocortical ripples in rats described them as ‘spike-  
3 and-wave discharges’ with oscillations in the fast ripple frequency range <sup>49</sup>. Neocortical ripple  
4 oscillations were later found to be synchronized with the hippocampal ripples especially during  
5 sleep following learning <sup>50</sup>, congruent with their proposed roles in hippocampal-cortical transfer  
6 of information related to memory consolidation <sup>51-53</sup>. Recent studies in humans report similar co-  
7 occurrence of hippocampal and cortical ripples during sleep and cognitive tasks <sup>26,29,30</sup>.

8 Furthermore, ripples are commonly recorded both in the epileptic and non-epileptic hippocampus  
9 and neocortex <sup>32,46,48,54-58</sup> and it remains a matter of controversy whether distinct classes of  
10 physiological and pathological HFOs can be separated by frequency, amplitude or any other  
11 characteristic. The same term ‘ripples’ and especially ‘fast-ripple’ has thus been used to describe  
12 a pathological class of events in the hippocampus and the neocortex without a clearly established  
13 relationship to the physiological hippocampal-cortical interactions.

14 Altogether, classifying various gamma, ripple and fast ripple HFOs types based on anatomical  
15 location, states of sleep or wakefulness, physiological or pathological roles is an even more  
16 cumbersome task in human studies, where there is less mechanistic insight than in the animal  
17 models.

## 18 **Using frequency range instead of a definite class is a more replicable** 19 **alternative**

20 What we are left with is a general category of high frequency oscillations with specific labels  
21 used for approximate frequency ranges, as presented in Figure 1. Naming frequency ranges with  
22 explicitly specified low- and high-end boundaries provides a more replicable and robust  
23 approach than attempting to identify a distinct class of events across animal and human studies.

24 For instance, instead of labeling a given class of oscillations like ripples in humans based on the  
25 sharp-wave ripple complexes in rodents, one can objectively define the ripple frequency range  
26 that was used. For instance, stating that: ‘oscillations were detected in a ripple frequency range  
27 (80-150 Hz)’ would be a more objective and replicable alternative to: ‘ripples were detected  
28 between 80-150 Hz frequency range’. The former only claims that the detected events were  
29 actual oscillations as opposed to other non-oscillatory sources of spectral power in this frequency





1 range but claims no particular class of LFP activity. The latter explicitly claims that the detected  
2 events were ripples, presumably corresponding to the sharp-wave ripples in rodents, as opposed  
3 to other high gamma/epsilon oscillations.

4 Definite statements about an HFO type like ripples should only be made if supported with  
5 enough evidence <sup>23,24,35</sup>, e.g. concurrent detection of sharp-wave transients and micro-electrode  
6 recordings of single unit spiking <sup>25,34,59,60</sup> that would correspond to the patterns reported in rodent  
7 electrophysiology. For this reason, we suggest using the general term HFOs without making  
8 connotations to any specific class or frequency range of oscillations - pathological or  
9 physiological, unless explicitly stated. Since the gamma, ripple, and fast ripple oscillations share  
10 common neuronal mechanisms of generation, it seems appropriate to refer to them with the  
11 general term HFOs in particular frequency ranges. Emerging computational tools <sup>61,62</sup> and  
12 analysis methods <sup>63</sup> for automated and objective classification of various HFO types offer a  
13 promising future direction for defining and differentiating distinct types of HFOs.

14 Having established the basic definitions, we will now turn to the neural correlates of HFOs and  
15 set the scene for addressing the title question about their role in human engram processes.

## 17 **Neuronal assembly origins and mechanisms of HFO** 18 **generation**

### 19 **HFOs are generated by coordinated spiking of local neuronal** 20 **assemblies**

21 Early studies of HFOs in epilepsy patients using macro- and micro-contact electrodes showed  
22 that oscillations recorded in the ripple and fast ripple frequency ranges are very local. Given that  
23 an individual 'fast ripple' HFO (250-600 Hz) can be detected on a single micro-wire but not on  
24 any of the neighboring ones in the same bundle, it was estimated that these are generated within  
25 1 cubic millimeter of neural tissue <sup>47,64</sup>. The origins of these fast ripple HFOs would thus be  
26 confined to a volume as small as a single cortical column, as in the case of other micro-scale  
27 electrophysiological discharges recorded both in people with epilepsy and in some cases patients  
28 with chronic pain and no history of epilepsy <sup>65</sup>.





1 HFOs are thought to be generated by neural ensembles coordinated together at a range of local  
2 anatomical scales and network architectures, and detected in the field potentials sampled with  
3 micro-, meso-, or macro-electrode contacts (Fig. 2). A smaller and more local ensemble confined  
4 to a single cortical column would generate an HFO in the higher frequencies of, e.g., the fast  
5 ripple range, detected only on a single micro-contact, whereas a gamma-frequency HFO  
6 originating from a larger ensemble more widely spread across cortical columns (Fig. 3A) would  
7 be detected on several micro- and on macro-contacts<sup>66-68</sup>. This gradation of anatomical scale  
8 along the frequency range of HFOs, starting from ‘macro’ oscillations on the low end through to  
9 ‘micro’ electrophysiological activity on the high end, fits into the picture of bridging network  
10 oscillations and single unit activities (Fig. 1).

11 On the micro-scale of single neurons, it is known that HFO generation is associated with distinct  
12 firing patterns of inhibitory interneurons that gate firing of the excitatory pyramidal cells. The  
13 original studies of ripple and fast ripple HFOs in epilepsy patients showed synchronized firing of  
14 neurons around the amplitude peaks<sup>47,59,69</sup> that were found to be phase-locked to the cycle of the  
15 HFO. This coordinated firing to the phase of a given ripple HFO was observed among a large  
16 proportion of excitatory pyramidal cells<sup>25,29,60</sup>, which was preceded by bursts of interneuron  
17 firing<sup>59</sup>. Analogous pattern of firing was reported in rodents<sup>70</sup> - pyramidal cells were spiking at  
18 the negative phase of the oscillation with the preceding inhibitory cell rhythmic firing matching  
19 the ripple frequency.

20 In addition to this ‘in-phase’ mechanism of generation, HFOs in the ripple and fast ripple  
21 frequency ranges can be generated through ‘out-of-phase’ population firing<sup>71,72</sup>, which explains  
22 the emergent HFO frequencies beyond the limits of the refractory periods of individual neurons.  
23 Separating these different mechanisms may not be possible using spectral methods, but should be  
24 feasible with high-density microelectrode recordings of the underlying neuronal spiking, like in  
25 case of laminar multielectrode arrays across the superficial and deep cortical layers<sup>73</sup>.

26 Rodent studies using optogenetic activation or silencing of specific types of cells showed that  
27 local activation of a small group of excitatory cells is sufficient to induce ripple HFOs artificially  
28<sup>74</sup>. A similar HFO induction was achieved by locally activating parvalbumin-positive  
29 interneurons, which resulted in the phase-locking of neuronal spiking and induction of gamma  
30 oscillations<sup>75,76</sup>. Hence, even though the original human studies were performed in people with



1 epilepsy, the cellular mechanisms agree with the physiological origins unveiled in these rodent  
2 studies. Recent investigations of physiological firing patterns underlying ripple HFOs during  
3 human memory and cognitive processes <sup>25,29,30,60</sup> confirm this coordinated neuronal spiking to  
4 specific phases of the oscillation.

5 The origins of any one HFO can, therefore, be traced to coordinated firing of a subset of neurons.  
6 In contrast to the sharp-wave bursts, which are driven by synchronized firing across a large  
7 number of neurons in a given population, the ripple oscillation itself involves only a  
8 subpopulation of cells <sup>44,45,77</sup>. The coordinated firing of the cells underlying HFOs, aka the neural  
9 ensembles or assemblies, can be sampled in the extracellular field potential at various spatial  
10 scales, depending on the electrode contact type used (Fig. 3A). For example, HFOs in the fast  
11 ripple range were found to be more local and confined to even a single micro-contact compared  
12 to the equivalent events detected in the ripple frequencies on two or more contacts <sup>64</sup>. On the  
13 other side of the scale, oscillations in the gamma and ripple frequency ranges are commonly  
14 detected simultaneously on several micro- and macro-contacts sampling from much wider  
15 volumes of neural tissue compared to more local fast ripples <sup>64,66</sup>.

## 16 **HFOs are detected as bursts of spectral power in discrete frequency** 17 **range and duration**

18 Several distinct HFOs are typically detected on an electrode contact in different frequency  
19 ranges. An example is presented in Fig. 3B with four HFO detections in the gamma and  
20 epsilon/ripple frequencies. Each has a discrete duration, lasting typically between 20-50 ms and  
21 centered around a particular peak-amplitude frequency (marked in blue), with exception of non-  
22 oscillatory detections that span a broad range of frequencies (red). The former (oscillations) can  
23 be observed in the unfiltered raw signal, whereas the latter are associated with a sharp transition  
24 in the filtered signals (Fig. 3B). Such broadband increases of high-frequency power can result  
25 not only from the sharp transitions in the signal (e.g. interictal epileptiform spikes) but also from  
26 other sources, including muscle, eye-movement and blinking artifacts <sup>78-82</sup>, or neuronal firing  
27 <sup>39,40,83</sup>. Individual HFO detections, on the other hand, result in a confined increase in power  
28 around a narrow span of the peak-amplitude frequency. They can be described as discrete bursts  
29 of oscillation lasting at least 4 cycles <sup>46,66,84</sup> that occur spontaneously or in response to, e.g.,  
30 cognitive stimulation.



1 The HFO bursts were first shown in the human cortex in response to presentation of visual  
2 stimuli <sup>32,85</sup>. Analogous bursts were associated with working memory performance in non-human  
3 primates <sup>86,87</sup>. Most of the physiological HFO detections were found to have the same properties  
4 of discrete bursts, which were distinct from the ones with a broad frequency span <sup>32,33</sup> like the  
5 example in red from Fig. 3B.

## 6 **Bursts of HFO power are physiologically distinct from broadband** 7 **power increase**

8 It is important, however, to distinguish the actual bursts of physiological oscillations from other  
9 sources of increased power in the high-frequency ranges mentioned above <sup>23,24,34</sup>. Spectral power  
10 across these ranges is known to generally correlate with neuronal firing <sup>37-40,60,81,82,88</sup>. This firing  
11 may not necessarily be related to an actual oscillation like in the case of phase-locking of action  
12 potentials to ripple HFOs. Spikes of action potentials in a signal can result in an increase of  
13 spectral power across a wide range of frequencies, including gamma and even lower EEG bands  
14 <sup>83</sup>. Although micro-contact recordings of the local field potential are especially affected by this  
15 phenomenon, given that the sharp waveforms of action potential spikes are recorded in these  
16 high-impedance signals, this so-called ‘spike-bleeding’ can also affect macro-contact recordings  
17 but may be removed by detecting broad frequency spans of increased spectral power or phase-  
18 coupling to slower oscillations <sup>33,83,89</sup>.

19 A recent study in the macaque visual cortex identified two distinct sources of increased power in  
20 the high gamma frequency range in response to light flash stimulation<sup>90</sup>: initial ‘early’ neuronal  
21 firing in deep cortical layers and ‘late’ dendritic field potentials in the superficial layers. This  
22 finding in non-human primates is reminiscent of the findings in the human visual cortex using  
23 word names displayed on the screen for memory encoding <sup>33</sup>. Even though the human study was  
24 limited to macro-contact recordings, it could still separate an early increase in detections with a  
25 broad frequency span on individual trials, which could correspond to enhanced neuronal firing in  
26 response to stimulus presentation. This early response was followed by a later more gradual  
27 increase in HFO detections with a confined frequency span centered around the peak power of  
28 each detection. The latter oscillatory detections outnumbered the former non-oscillatory power  
29 increases in the low gamma band. This disproportion was gradually decreasing with more events  
30 of broad frequency span detected in the high gamma and epsilon ranges <sup>33</sup>. Ripple and fast ripple



1 frequency ranges would contain proportionally more non-oscillatory power increases, which is  
2 expected as the frequencies approach the ranges used for detecting single unit action potentials  
3 (> 600 Hz). The oscillatory and non-oscillatory components can be separated based on the  
4 spectrogram characteristics of each detection<sup>33,83</sup>.

5 It does not mean, however, that action potential firing is not contributing to the oscillatory  
6 events. Both local dendritic currents and action potentials from cells as far from the recording  
7 micro-contact as >0.1 mm were found to contribute to the power of ripple oscillations in rodents  
8<sup>91</sup>. In humans, a recent careful examination of power increases in 80-120 Hz range recorded on  
9 macro-contacts in the temporal cortex confirmed that these comprise several individual HFO  
10 bursts detected on micro-contacts, which, in turn, are related to bursts of coordinated action  
11 potential firing<sup>60</sup>. On the micro-scale, these results are congruent with the original studies in  
12 human epilepsy, concluding that subsets of excitatory pyramidal cells would synchronize their  
13 spiking at specific windows of inhibitory cell firing<sup>47,59</sup>, locking to a particular phase of the  
14 oscillation.

## 15 **Individual HFO bursts can be used to trace specific neural** 16 **assemblies?**

17 The results by Tong et al.<sup>60</sup> reconcile the previous observations that increased power across a  
18 broad range of frequencies is composed of multiple HFO bursts detected at discrete frequencies  
19<sup>32,33,85</sup>. In Figs 2 and 3 we summarize the general mechanism from micro-scale ensembles of  
20 firing neurons, through bursts of individual HFOs detected in particular trials at specific  
21 frequencies, to the resultant trial-averaged enhanced power across a broad frequency range.  
22 Coordinated firing in response to a stimulus presentation gives rise to HFOs at particular  
23 frequencies depending on the size and spread of the underlying neural ensemble (Fig. 3A, C).  
24 Other ensembles generate HFOs at particular frequencies in response to stimuli on subsequent  
25 trials. Eventually, multiple trials result in a uniform shift in power across a broad frequency  
26 range of the spectrum relative to a pre-stimulus baseline (Fig. 3C). Detections from specific trials  
27 can be displayed together as points at their corresponding peak-amplitude on a cumulative time-  
28 frequency plot, producing a pattern closely overlapping with the trial-averaged power  
29 spectrogram (Fig. 3D).



1 This is an explanation for the resultant broadband shift in power across the high-frequency  
2 spectrum associated with cognitive and motor tasks and increased neural firing <sup>92–95</sup>, which  
3 argued against oscillations at particular frequency bands. If the intermediate step of detecting  
4 individual bursts of oscillations on a trial-by-trial basis is skipped, the overall trial-averaged  
5 power will be most highly correlated with general firing rates in the entire neural population  
6 without any common temporal pattern or coordination to oscillations. If, however, independent  
7 constituent bursts of oscillations and the underlying firing in subsets of neural ensembles are first  
8 resolved one-by-one, then multiple patterns of coordinated activity emerge. In this large-scale  
9 mechanism, coordinated electrical activity from multiple neural sources generating oscillations at  
10 distinct frequencies could explain the broadband shifts in power across the spectrum <sup>24</sup>. Separate  
11 sources of HFO bursts detected at various frequencies remain to be demonstrated on the macro-  
12 and micro-recording scales.

13 Assuming that individual HFOs can indeed be separated based on their spectral features <sup>96–98</sup>  
14 and thus identify particular sources of LFP activities, it should be possible to resolve the  
15 neurophysiological substrates of memory and cognition proposed in our title question. High  
16 frequency LFP activities were suggested to track particular neuronal assemblies on the level of  
17 micro-contact local field potential in rodents <sup>91</sup>. Intracranial recordings in non-human primates  
18 <sup>86,87</sup> and in human patients <sup>22,32,85</sup> can also resolve distinct bursts in the frequency-time space of  
19 individual trials, which could hypothetically be the features of particular neuronal assemblies <sup>24</sup>.  
20 HFO bursts beyond the ripple frequency range, which were shown to be generated very locally  
21 on the scale of a single cortical column <sup>64</sup>, would correspond to arguably the fundamental level of  
22 neural organization and information processing <sup>99</sup>. In the next section, we will review the roles of  
23 temporal coordination in gamma and higher frequencies in supporting processes of memory and  
24 cognition.

25

# 1 **A fundamental role of high frequency oscillations in memory** 2 **and cognitive processing**

## 3 **Synchrony and oscillations in high frequency ranges are pivotal to** 4 **the neuropsychological models of neuronal assemblies**

5 The temporal coordination of neuronal firing was originally proposed to explain cognitive  
6 processing in neuropsychological theories developed by Jerzy Konorski <sup>100</sup> and Donald Hebb <sup>101</sup>.  
7 They envisioned that neurons that are active at the same time will develop connections and form  
8 assemblies, which inspired the famous phrase: ‘cells that fire together, wire together’ and  
9 introduced the concept of synaptic plasticity. Thus, assemblies would synaptically connect  
10 together cells that encode the same stimulus like in case of the concept cells <sup>8,16–18</sup>. One of the  
11 first pieces of experimental evidence for such temporal coordination was reported in the cat  
12 visual cortex, where neurons responding to the same visual features of the presented stimulus  
13 would synchronize their firing to provide a unified representation of the attended stimulus,  
14 leading to the idea of binding various sensory features by synchrony or temporal correlation <sup>102–</sup>  
15 <sup>104</sup>. In other words, neurons that encode features of the same stimulus correlate their firing in  
16 time and form distinct assemblies, which were shown to oscillate at gamma frequencies in these  
17 pioneering experiments. The assemblies of neurons co-firing with gamma rhythmicity would  
18 also be organized into cortical columns <sup>99</sup> that were particularly well mapped in the visual cortex.  
19 These seminal research studies led to several hypotheses about the role of oscillations in the  
20 gamma frequencies that have remained a topic of interest and some controversy <sup>105,106</sup>.

21 We already mentioned the role in sensory object representation, which was also described as  
22 perceptual binding <sup>107</sup>. Since these oscillations are not limited to sensory cortical areas, a more  
23 general role in integration and communication of information processing across the brain was  
24 proposed <sup>108–110</sup>. Integrating information processing across the sensory and higher order  
25 association areas is especially important for cognitive functions like attention or working  
26 memory <sup>111</sup>, where interplay with low frequency oscillations particularly in the theta frequency  
27 band is pivotal <sup>112–115</sup>.





1 Taken together, these hypotheses point to a fundamental role in cortical computations <sup>116</sup> that  
2 presents a tangible substrate for the information processing and synaptic plasticity foreseen in the  
3 original neuropsychological theories of Konorski and Hebb. This fundamental role may extend to  
4 high frequency oscillations in general. The HFO bursts in the gamma ranges of the spectrum last  
5 approx. 50-200 ms of temporally coordinated firing activities among the underlying neural  
6 assemblies, which was proposed to constitute the basic units or ‘packets’ of cortical information  
7 encoding <sup>117,118</sup> and a sufficient pattern for inducing synaptic plasticity <sup>119,120</sup>. Hence, an  
8 individual HFO burst may provide a viable unitary event for cognitive processing, congruent  
9 with the proposed anatomical substrate of neuronal assemblies <sup>121</sup> at the scale of a cortical  
10 column.

## 11 **Synchronous and asynchronous high frequency LFP activities need** 12 **to be considered and reconciled**

13 This fundamental role may only apply to the actual oscillatory events as opposed to other non-  
14 oscillatory sources of high-frequency power. There has been an on-going debate whether the  
15 electrophysiological signals recorded in the gamma frequency range and above comprise  
16 temporally coordinated ‘synchronous’ or stochastic ‘asynchronous’ LFP activities <sup>95,97</sup>. In the  
17 light of the above-mentioned explanation of multiple discrete oscillations and their underlying  
18 distinct assemblies that together give rise to a broadband increase in power across entire neural  
19 populations (see Figure 3), the seemingly opposite views can be reconciled within the proposed  
20 large-scale frame of reference. At the micro-scale of neuronal assemblies generating individual  
21 HFO bursts, as measured in the cat visual cortex or in people with epilepsy, the temporal  
22 coordination of firing to specific phases of one oscillation can easily be detected and quantified.  
23 On the other hand, this can be much harder on the macro-scale with a multitude of assemblies  
24 each coordinated to a different oscillation. A plausible explanation proposed that there is no one  
25 global ‘clock’ or ‘metronome’ at the macro-scale of LFP activities that comprise various distinct  
26 assembly units each synchronized locally<sup>122</sup>. Hence, the scale at which a given signal is recorded  
27 needs to be considered and caution applied when dissociating HFO bursts from other LFP  
28 activities.

29 Evoked responses to stimulus presentation are one example of non-oscillatory  
30 electrophysiological activity. Although neural mechanisms of the evoked and induced responses





1 were shown to be related <sup>123</sup>, the former is known to occur first with short latencies and ‘locked’  
2 in time to stimulus presentation and the latter occurs at longer latencies not ‘locked’ to the  
3 stimulus. Induced responses in the EEG gamma frequency range were demonstrated by Tallon-  
4 Baudry and colleagues at around 280 ms latency from stimulus presentation and were modulated  
5 by perceptual binding of its visual features into coherent representations of illusory or real  
6 triangles, which was not observed for the evoked responses around the same latencies <sup>124</sup>. A  
7 similar study was conducted with intracranial EEG recordings showing that the evoked responses  
8 were more stereotypical with a constant latency of approx. 100 ms from stimulus onset, in  
9 contrast to the induced responses in the gamma frequencies that varied from 200 to 500 ms on a  
10 trial-by-trial basis <sup>85</sup>. Compared to the evoked response, the induced bursts of gamma oscillations  
11 were detected at various latencies, frequencies and amplitudes on a given trial, as shown in this  
12 and subsequent studies <sup>32,33,86,87</sup>. One of these subsequent studies dissociated the actual HFO  
13 bursts from other non-oscillatory detections with a broad frequency span and found that only the  
14 latter were phase-locked to the evoked response <sup>33</sup>, even though both correlated with amplitude  
15 of the evoked response potential. This confirms that the two response types are related to  
16 common neural mechanisms but are qualitatively different and separable <sup>123</sup>. In contrast to the  
17 evoked response, the HFO bursts are induced at various magnitudes (related to the total number  
18 and amplitude of each detection), at a range of frequencies (around the peak amplitude of each  
19 burst), and at different latencies on any one trial depending on a given brain region.

## 20 **Spatiotemporal dynamics of high frequency power tracks cognitive** 21 **processing across the brain**

22 Across the brain, there is a temporal pattern of the induced responses following an anatomical  
23 order of brain regions, where HFO bursts are induced along a hierarchical sequence of  
24 information processing. In response to visual stimuli, they are first induced in the primary visual  
25 areas of the occipital cortex, followed by higher order sensory processing areas in the ventral  
26 visual stream, hippocampus and amygdala, and finally induced with the longest latencies in the  
27 association areas of the temporal and prefrontal cortex <sup>32</sup>. Studies that used broadband gamma  
28 power as a general index of neural activation confirmed this hierarchical sequence of induced  
29 LFP activities in the gamma frequency range <sup>125–128</sup>, proceeding from an early sensory stages in  
30 the posterior anatomical areas through to late association stages all the way to the most anterior



1 areas of the frontal pole (Fig. 4). When this broadband, trial-averaged response is visualized on a  
2 universal brain surface it gives an impression of a ‘wave’ of information processing sweeping  
3 through the cortical surface in a posterior-to-anterior direction<sup>98</sup>. This ‘wave’ of high-gamma  
4 spectral power was found to be induced independent from similar waves observed earlier in the  
5 lower frequency bands<sup>98,129</sup> and showed characteristic properties along the processing stream. Its  
6 amplitude was the highest in the beginning of the stream, where the latencies were the shortest  
7 with small variance, and decreased gradually toward the most anterior ends, where the latencies  
8 were the longest with a greater variance (Fig. 4B). Overall, the high-gamma power responses  
9 become less stereotypical proceeding from early to late processing in the stream, which is  
10 explained by the greater trial-by-trial variance in latencies of behavioral responses in a task.

11 Not all cortical areas show these induced responses - the exact localization depends on stimuli  
12 and tasks applied. The example in Fig. 4 used visually presented words as stimuli, in a task for  
13 subsequent memory recall - the identified areas with the greatest response on trials with  
14 successfully remembered words relative to the forgotten ones were localized in the visual areas  
15 processing word shapes, like the lingual gyrus of Brodmann areas 19 and 20 (Fig. 4c-d); even  
16 greater magnitude of the induced responses predicting successful memory performance were  
17 found in the language processing areas of the left lateral prefrontal cortex anterior to the Broca’s  
18 field<sup>98,127,130</sup>. Therefore, even this broadband power signal averaged across a wide range of high  
19 frequency LFP activities (typically 60-150 Hz) can accurately track and localize cognitive  
20 processing across the brain. It has been one of the main signals for mapping not only cognitive  
21 but also other sensory and motor processes<sup>24,131–133</sup>, being highly correlated with blood  
22 oxygenation in active brain regions detected by neuroimaging methods<sup>134–137</sup>. Hence, the high  
23 frequency power has been used as a general index of neuronal firing to map discrete regions  
24 involved in sensory, cognitive or motor functions.

## 25 **What cognitive processes are reflected by the high frequency LFP** 26 **activities?**

27 High frequency LFP activities can tell us more than just map areas of increased neuronal firing  
28 and blood oxygenation when processing stimuli or executing motor commands. For instance,  
29 broadband power in the high gamma frequency range induced in distinct areas of the association  
30 cortex reflects higher-order cognitive processes. It was associated with conscious visual



1 awareness of stimuli presented in a perception task, in contrast to the same LFP activities  
2 recorded in the sensory visual areas <sup>138</sup>. A similar example is perception of the dreaming contents  
3 during sleep <sup>139</sup>. Hence, it is not only a general spectral feature of neural activation or  
4 information processing in each brain area, but a signal of actual cognitive processes related to  
5 ongoing mental states.

6 Even in the sensory areas this signal is well known to be modulated by attention, visual  
7 awareness, and vigilance states. At its fundamental level of the individual HFO bursts, a recent  
8 study in the primate visual cortex showed that the rate of bursting was modulated by the stimulus  
9 properties and attention to a given receptive field <sup>140</sup>, linking ripple-frequency HFOs with goal-  
10 directed perceptual processes. The original studies in humans showed that the rate of induced  
11 HFOs was different in response to emotionally charged versus neutral or familiar versus new  
12 stimuli <sup>22,32</sup> across all cortical areas. There are also more HFO bursts induced in the visual cortex  
13 on trials with stimuli that were subsequently remembered compared to the ones that were  
14 forgotten <sup>33</sup> - a phenomenon known as the ‘subsequent memory effect’ <sup>141</sup>. The occurrence and  
15 number of HFO bursts is therefore associated with memory and cognitive processes and may  
16 explain the effects observed on the level of averaged broadband power.

17 What process then does an individual HFO burst reflect? So far, we have established that they  
18 are generated at a microscale of coordinated local neural assemblies, which in case of the  
19 frequencies beyond the ripple range could be contained within volumes of a single cortical  
20 column. The bursts are induced with activation of particular brain regions in response to, e.g.,  
21 sensory stimulation at various latencies and peak-amplitude frequencies. On the macroscale of  
22 brain regions, they follow a hierarchical order or sequence of information processing and are  
23 associated with memory and cognitive functions, which modulate the rates of their occurrence.  
24 In the final part of this article, we will address the title hypothesis that the bursts reflect a basic  
25 engram process.

26



# 1 **A neurophysiological substrate of engram processing?**

## 2 **HFOs are associated with encoding and recall of human memories**

3 Engram is defined as a neural substrate responsible for storing and recalling a memory trace <sup>142</sup>.  
4 Then, the basic requirement for an engram activity is to manifest at the time of encoding and  
5 recalling memory - there are multiple activities engaged during encoding or recall of a memory  
6 but only the ones that are consistently present in both can be specific to engram processing. If we  
7 thus follow assumptions of the Konorski-Hebb theories that neural assemblies provide feasible  
8 substrates for engrams, then their coordinated electrophysiological activities, emerging from  
9 coincident neural firing, could be traced as a feature of an engram process. HFO bursts would be  
10 a suitable candidate for such a spectral feature of coordinated firing as engrams are formed and  
11 reactivated when memories are encoded and recalled.

12 Our original studies in epilepsy patients <sup>32,33</sup> showed that bursts of HFOs in the gamma and  
13 higher ranges are induced during encoding and recall of memorized stimuli. The studies in  
14 primates <sup>86,87</sup> detected them also throughout delay phases of a working memory task, suggesting  
15 that memory traces for memorized stimuli are maintained in the form of such discrete  
16 synchronous events rather than continuous neuronal firing <sup>143</sup>. Still, this is not enough to claim  
17 that an HFO burst underlies an engram activity for a specific stimulus.

18 A series of recent studies in epilepsy patients shed light on the hypothetical engram activity.  
19 Inspired by the role that sharp-wave ripples in rodents play in forming and retrieving memories  
20 for places <sup>52,53,144,145</sup>, Norman and colleagues showed that ripple HFOs, which are induced in the  
21 hippocampus in response to presentation of images, are then re-occurring immediately preceding  
22 spontaneous recall of the remembered ones <sup>28</sup>. This spontaneous (not stimulus-induced) increase  
23 in the HFO rate before recollecting a memory was specific to images that induced more bursts  
24 during encoding - only those images that were presumably 'well' encoded or attended were  
25 subsequently freely recalled (the subsequent memory effect) heralded by an increased rate of  
26 bursting. Although the authors did not resolve individual HFO bursts in the neocortex, they  
27 found that broadband power in the high gamma range coincided with the ripple bursts in the  
28 hippocampus and used them to match the encoding and recall patterns for specific images  
29 remembered.



1 Vaz and colleagues found in a similar study with epilepsy patients that neocortical HFOs in the  
2 anterior temporal lobe are underpinned by sequences of neuronal spiking, which are repeatedly  
3 detected during encoding, throughout delay, and before recall of word stimuli<sup>25</sup>. The sequences  
4 of spiking, which was locked to phases of the burst oscillation, were specific to the word stimuli  
5 remembered and predicted failed memory performance when the order of a sequence was  
6 disrupted. This is the first study to show in humans that HFO bursts of sequential neuronal firing  
7 are replayed in the neocortex with encoding, maintenance and retrieval of remembered stimuli,  
8 like in case of the rodent hippocampal sharp-wave ripple recordings<sup>146-150</sup>. The authors found  
9 that these neocortical bursts of coordinated sequential firing are preceded by HFOs in the  
10 hippocampus, which explains at a finer resolution the coupling between hippocampal HFOs and  
11 neocortical high gamma power reported in the study by Norman *et al.*<sup>27,28</sup> Strength of this  
12 hippocampal- neocortical HFO coupling predicted successful memory retrieval. Taken together,  
13 during memory performance HFO bursts reflect phase-locked sequential neural firing  
14 coordinated in time between hippocampus and neocortex that is specific to particular memory  
15 items and indicative of their successful retrieval.

## 16 **Memory-related HFOs are observed across widespread cortical** 17 **areas during wakefulness and sleep**

18 Since engrams are formed not only ‘online’ during memory performance but also ‘offline’ when  
19 memories are stored and consolidated, an engram activity should be detected also during resting  
20 wakefulness or sleep when they are reactivated as we think or dream about a particular memory.  
21 Coordinated hippocampal-cortical HFO bursting has now been reported also outside of task  
22 performance throughout states of wakefulness and sleep<sup>29,30</sup>. Dickey and colleagues first  
23 characterized ripple HFOs detected during non-REM sleep in terms of their anatomical location  
24 in the neocortex and their detection rates, as well as the duration, peak frequency and amplitude  
25 of each detected burst<sup>29</sup>. Their findings were in agreement with the previously reported detection  
26 rates and general properties like amplitude, duration, and oscillation frequency of individual  
27 cortical HFO bursts during memory performance<sup>32,85</sup>.

28 More importantly, the study provided more in-depth and detailed insight into their neuronal  
29 mechanisms and possible roles in memory consolidation. The authors detected the ripple bursts  
30 and the underlying neuronal firing during sleep and wakefulness across all cortical areas, though



1 less densely in the association cortex. One of the previous studies showed that these general rates  
2 can be modulated by cognitive states with more bursts detected in the sensory areas during  
3 encoding of stimuli than during recall and, *vice versa*, more in the association areas during recall  
4 than during encoding<sup>32</sup>. Dickey and colleagues did not focus their study as much on the state  
5 modulation but rather provided exquisite detail of the neurophysiological mechanisms of each  
6 burst, demonstrating phase-locking of putative pyramidal and interneuron spiking to the high-  
7 frequency oscillation, which is consistent with the previously proposed mechanism of HFO  
8 generation<sup>47,64,151</sup>. This coordinated timing of neuronal firing was concluded to be ‘optimal’ for  
9 facilitating neuronal plasticity, as reported for the hippocampal sharp-wave ripples<sup>119,120</sup>, and  
10 thus ideally suited to support memory and cognitive functions required for an engram activity  
11 during both ‘online’ encoding and recall, and ‘offline’ storage and consolidation of memorized  
12 information.

13 In a parallel study, Dickey and colleagues reported more evidence for the essential role of the  
14 hippocampal-cortical ripple bursts in the hypothetical engram processes<sup>30</sup>. They report that the  
15 ripple bursts detected across multiple cortical areas or even hemispheres co-occur together,  
16 phase-locking with a consistent lag. Interestingly, the neocortical bursts co-occurred with the  
17 hippocampal ripple HFOs as well but were not phase-locked to these oscillations, suggesting that  
18 the phase-locking is mediated by more direct cortico-cortical connections. This precisely  
19 synchronized bursts of neural co-firing was increased preceding memory recall, making the  
20 authors suggest that their observations support the hypothesis for the role of synchrony in  
21 retrieval of particular memories and, more generally, the role in binding perceptual and  
22 mnemonic representations<sup>102–104</sup>. All in all, the burst co-firing was detected both during ‘online’  
23 retrieval of memories and ‘offline’ during wakefulness outside of any task and in sleep, making a  
24 compelling case for a viable engram activity reflecting encoding, retrieval, as well as the storage  
25 and consolidation processes.

## 26 **The role of HFOs in consolidation of human memories remains to be** 27 **directly tested**

28 The studies by Dickey et al.<sup>29,30</sup> and Vaz et al.<sup>25,26</sup> contributed unprecedented detail to the neural  
29 mechanisms for possible roles that HFO bursts could play in ‘online’ and ‘offline’ memory  
30 processing. However, none of these have directly tested their roles in the engram storage and





1 consolidation. One of the first studies to investigate the ‘online’ and ‘offline’ role of ripple HFOs  
2 in human memory consolidation found that the hippocampal and cortical HFO bursts predicted  
3 post-sleep recall of memory items, which were encoded before sleep <sup>31</sup>. This and subsequent  
4 studies confirmed that the hippocampal-cortical HFO bursts are not only coordinated to each  
5 other but are also coupled to delta slow-wave oscillations and cortical sleep spindles <sup>29,31,152,153</sup>.  
6 This temporal coupling provides mechanistic evidence for the classic theories of how memories  
7 are transferred, stored and consolidated between the two structures in sleep and quiet  
8 wakefulness <sup>154–157</sup>. In rodents, the hippocampal and neocortical coupling of HFO bursts was  
9 shown to be strengthened during post-learning sleep and suggested to mediate transfer of  
10 memory traces across the two structures <sup>50</sup>. Disrupting this transfer process ‘online’ or ‘offline’  
11 with optogenetic or electrical stimulation is known to slow down learning and interfere with  
12 retrieval and consolidation processes <sup>51–53</sup>. Comparable causal evidence remains to be  
13 demonstrated in humans with direct electrical stimulation to either interfere or enhance memory  
14 processing <sup>4,158,159</sup>. So far, the engram processes proposed for the HFO bursts have not been  
15 directly tested in humans.

## 16 **Hypothetical neurophysiological correlates of engrams await further** 17 **verification**

18 In the animal models, the engram research has predominantly focused on the cellular and  
19 molecular substrates and mechanisms of synaptic plasticity <sup>160–162</sup> with very impressive  
20 demonstrations of manipulating, silencing or even *de novo* generation of ‘artificial’ memories.  
21 Pharmacological and imaging experiments in humans corroborate similar dynamics and  
22 manipulation of engram formation and consolidation <sup>163,164</sup> but evidence for analogous cellular  
23 and molecular substrates remains to be provided. How these basic cellular mechanisms relate to  
24 the electrophysiology of LFP activities remains elusive. One problem in linking the cellular  
25 mechanisms with the electrophysiological activities is that the former are spread across large  
26 neural populations distributed throughout the entire brain whereas the latter are sampled only in  
27 selected spatially confined areas of electrode contact implantation. A recent study in mice  
28 showed that almost half of all cortical and subcortical brain regions studied revealed molecular  
29 markers related to encoding of one memory trace, which were then reactivated during recall in  
30 another half of these <sup>165</sup>. Hence, a quarter of the studied regions participated in the formation and





1 reactivation of a single engram. The other quarter that was originally activated was not specific  
2 to the engram processes but related to non-specific sensory and other processes. Hence, the  
3 molecular activity was not specific to the engram processes selectively. In the same manner,  
4 HFOs can serve as a non-specific electrophysiological activity to track engram processes under  
5 particular definitions of memory encoding and recall.

6 Another problem is that within any one of these regions the configuration of engram cells may  
7 differ between memory encoding and recall. Electrophysiological recordings in rat hippocampus  
8 and prefrontal cortex performing a spatial working memory task showed that neural assemblies  
9 of cells firing together during encoding of either left or right lever are not the same as the  
10 assemblies reactivated during delay or recall of the lever position <sup>143</sup>. Nevertheless, the large-  
11 scale recordings of HFOs can potentially provide a less variable engram signal in time and space  
12 than the molecular or the electrophysiological activity of single cells.

13 In an ideal scenario, there would be one assembly of engram cells that is first active during  
14 encoding of a memory and then reactivated during its maintenance and recall. That assembly  
15 would generate HFOs detected at a consistent frequency and amplitude by a recording electrode  
16 contact. However, the engram activities appear highly dynamic in terms of their spatial  
17 localization in the brain, distance from the recording contacts, and across time of memory  
18 processing. The emerging neurotechnologies are only now making it possible to investigate  
19 engram stability over longer periods of time as new cells join and leave particular neural  
20 assemblies <sup>121</sup>. HFO localization was also shown to be dynamically changing with time even in  
21 the case of mapping epileptic discharges in the brain <sup>166</sup>. Memory and cognitive processes would  
22 arguably turn out to be much more dynamic and distributed across the brain. Tracking these  
23 highly dynamic bursts at a large-scale of LFP activities across anatomical space of the brain and  
24 chronically over time holds promise for capturing the underlying aberrant engram assemblies.

## 25 **Conclusions, outstanding questions and future perspective**

### 26 **Global sequences of HFO bursting are analogous to synfire chains of** 27 **single unit spiking**

28 In this review we have summarized the current evidence for HFOs supporting engram processes.  
29 Oscillations in these high frequency ranges meet the basic requirements for a fundamental



1 unitary activity that would coordinate assemblies of connected cells underlying the remembered  
2 information. They can be detected within a micro-scale of single cortical columns or on a macro-  
3 scale of multiple neighboring electrode contacts in case of the fast ripple and gamma frequency  
4 bursts, respectively. On the micro-scale, these oscillations are aligned with spiking of neuronal  
5 assemblies that underlie encoding and recall of specific memorized stimuli. On the macro-scale,  
6 the bursts contribute to the spectral power induced across sensory and higher order association  
7 areas as the visual, semantic or affective features of the remembered stimuli are processed.

8 This large-scale mechanism is summarized in Figure 5A, starting with a macro-scale view of the  
9 spectral power from multiple underlying meso-scale sources, which is propagated across  
10 occipital, temporal and frontal lobes. Each of these sources can theoretically be traced to neural  
11 assemblies connected on a micro-scale of individual cells that participate in processing (and  
12 binding?) sensory, semantic and affective features of an engram. The latest HFO studies show  
13 concurrent detection of ripple frequency bursts between multiple sensory and higher order  
14 association areas in the neocortex and the hippocampus<sup>29,30</sup>. These brief bursts of firing with  
15 coordinated timing across multiple areas provide an ideal substrate for supporting multisensory,  
16 abstract engram representations in the human brain and mind.

17 Exactly how such large-scale HFO burst dynamics would operate is an open question. One  
18 possibility is that they would form synfire chains analogous to the ones described for neuronal  
19 spiking<sup>167</sup>. In this scenario, each burst could be treated like a point process analogous to an  
20 action potential<sup>22</sup> but viewed on a macro scale like in Figure 5. HFOs detected at the same time  
21 on selected contacts from multiple cortical areas as memories are recalled (Fig. 5) would reflect  
22 sequences of spike firing observed on micro-scale of a single area<sup>25</sup>. We are now positioned to  
23 test these hypothetical mechanisms in the new large-scale, high-density, human brain recordings  
24 with combined macro-, meso- and micro-electrode contacts during memory tasks. These  
25 recordings present a unique opportunity to track such basic electrophysiological activities and  
26 ascribe them to the mental processes engaged in the formation and retrieval of memory items.



## 1 **Are pathological and physiological HFOs reflecting the same** 2 **underlying processes?**

3 One challenge is that the human recordings are mostly performed in people with epilepsy, which  
4 are known to generate pathological HFOs. Distinguishing the pathological and physiological  
5 bursts has been an on-going quest with various states of sleep, quiet wakefulness and cognitive  
6 performance proposed as viable approaches to separate them<sup>55–57,168–170</sup>. Another approach is to  
7 treat both as the same process that has been ‘hi-jacked’ in epilepsy pathophysiology but,  
8 otherwise, involves common neural substrates and mechanisms. For example, we proposed that  
9 the mechanisms of memory consolidation ascribed to ripple HFOs are engaged in the process of  
10 developing neural assemblies that underlie seizure generation<sup>171</sup>. Whether such ‘seizure  
11 engrams’ utilize common electrophysiological and synaptic mechanisms as those involved in  
12 memory processing remains to be established. There may be some HFO discharges that are  
13 specific only to epilepsy pathophysiology like the ultra-fast high frequency oscillations<sup>172</sup>,  
14 which were found almost exclusively in the areas associated with seizure generation. Where is  
15 the upper frequency boundary for the memory-related HFOs is another outstanding question with  
16 no clear answer so far.

## 17 **One HFO burst: one memory trace?**

18 Finally, a key question for the engram hypothesis is whether a given HFO could track a  
19 particular memory? Would it be specific to an engram or other non-specific process? Would it be  
20 one or more neural assemblies encoding a memory or several supporting multiple related  
21 memory traces? Would concept cells participate in the assemblies and their HFO generation and  
22 make them more specific? Would each assembly generate bursts at the same frequency  
23 consistently in time and anatomical location? An assembly of a given size could in theory  
24 oscillate together at a consistent resonant frequency, providing a signature frequency for the  
25 encoded information. In practice, however, the highly dynamic nature of neural assemblies with  
26 some cells joining and some leaving<sup>121</sup>, as memories are consolidated and reconsolidated over  
27 time<sup>173</sup>, makes it virtually impossible to track particular engrams with HFOs. They are, arguably,  
28 still a better feature than individual spiking cells in terms of their accessibility to record over a



1 large scale of electrophysiological signals, which are more stable and resistant to chronic  
2 biophysical changes at the recording site of an electrode contact.

3 Such considerations are critical for a potential use as features in brain-computer interfaces <sup>174</sup> to  
4 track and modulate memory processing. Our knowledge of how a given HFO can be traced to  
5 remembered stimuli is very limited compared to neuronal spiking. For example, it is known that  
6 individual concept cells can specifically encode an abstract representation <sup>17</sup> or that neural  
7 populations can sparsely encode specific stimulus features <sup>5,16</sup> but less is known about HFOs <sup>22</sup>.  
8 Would there be a ‘core’ neural assembly that participates in encoding most stimuli of the same  
9 type, e.g., words, or would there be separate assemblies for each word with some or no overlap  
10 between assemblies? These questions present testable predictions about the anatomical  
11 localization of HFOs detected on micro- or macro-scale, about their consistency of detection  
12 across words, and maybe even their characteristic frequencies. Predictions like that can be  
13 directly tested with brain stimulation to impair or enhance particular engrams as performed in the  
14 rodent cellular studies. The currently available experimental evidence is yet to show whether  
15 HFOs could be the neurophysiological substrate to track the human engrams.

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## 27 **Competing interests**

28 The authors report no competing interests.



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- 24

## 1 **Figure legends**

2  
3 **Figure 1 High frequency LFP activities bridge neural oscillations with neuronal spiking**  
4 **across the large scale of brain electrophysiology.** (A) Four examples of electrophysiological  
5 activities recorded across a range of anatomical scales show gradually increasing focality and  
6 spatiotemporal granularity of the source neural populations, assemblies and single cells. (B) Span  
7 of LFP activities extends from slow rhythms to fast oscillations and waveforms of individual  
8 action potentials. (C) High frequency activities comprise a variety of overlapping frequency  
9 subranges used to classify physiologically HFOs and other LFP activities.

## 10 11 **Figure 2 Simplified model of generation and detection of high frequency oscillation bursts.**

12 Individual bursts of oscillations in the LFP signal are recorded from electrode contacts that are  
13 proximal to the source generator of a neuronal assembly. The assembly is composed of a network  
14 of connected neurons, which in case of fast ripple HFOs can be organized within a volume and  
15 cytoarchitecture of a single cortical column. Individual assembly neurons fire action potentials -  
16 measures as single unit activity (SUA) - with more or less coordinated timing of their spiking. If  
17 the spiking is temporally aligned it drives deflections in the LFP signal, compared to the periods  
18 when it is not coordinated in time and the LFP signal is flat. Repeated discharge of this  
19 coordinated assembly firing results in a burst of an oscillation with deflections corresponding to  
20 cycles associated with the temporally aligned spiking (arrows). Frequency of the emergent  
21 oscillation depends on the interval period between the coordinated spiking. If a given unit fires a  
22 burst of action potentials at intervals as small as the refractory period limit (approx. 2.5 ms), then  
23 the emergent oscillation can reach a peak frequency of 400 Hz. Notice that individual units in a  
24 given assembly can skip cycles of an oscillation because other units are firing at that time.  
25 Hence, the emergent frequency of an HFO can exceed the limit of maximum burst spiking  
26 frequency of any one unit, which explains the 'in-phase' and 'out-of-phase' mechanisms of HFO  
27 generation<sup>71,72</sup>. The outcome of this coordinated SUA is detected in the LFP signal as an  
28 oscillation upon crossing of an arbitrary amplitude threshold of detection (dashed line), which is  
29 usually set above 3 standard deviations from the signal mean. Duration of a given burst can thus  
30 be determined between the detection thresholds of a given burst with at least four cycles, lasting



1 approximately between 50-100 ms<sup>33</sup>. Hence, these bursts can be treated as discrete binary  
2 events, much like the spiking activity<sup>175</sup>, centered around the peak amplitude at a given frequency.

3  
4 **Figure 3 Discrete bursts of HFOs constitute spectral power responses across high frequency**  
5 **ranges.** (A) Electrode contact type determines cortical volume of the recorded neural ensemble  
6 generating a particular HFO. (B) Example macro-contact detections of three example HFO  
7 detections (zoomed in red) in distinct frequency ranges. (C) Diagram explains a broadband  
8 increase in average high frequency power by pooling constituent HFO bursts from the  
9 underlying neural assemblies. (D) Spectrogram of trial-averaged high frequency power induced  
10 by cognitive stimulation closely overlaps with the cumulative plot of HFO detections (black  
11 dots) from all trials on the right. In case of this prefrontal macro-electrode contact the highest  
12 frequencies of the induced power were observed up to 1000 Hz (black arrow) and the rate of  
13 induced HFO detections remained elevated even after the stimulus presentation time (white  
14 arrow). Adapted from Kucewicz *et al.*<sup>32,33</sup>

15  
16 **Figure 4 Spectral power in the high frequency range tracks memory processing.** (A)  
17 Normalized high gamma/ripple frequency responses averaged from over 100 subjects around  
18 encoding of word stimuli shows a continuous wave of induced power across Brodmann cortical  
19 areas, which were sorted according to peak latency. (B) The earliest induction of power in the  
20 visual sensory areas (warm colors) reveals gradually sharper responses of greater peak power  
21 than the higher order association areas (colder colors) with more widely distributed and thus  
22 lower peak responses. (C) A wave of the induced high frequency power propagates in the  
23 posterior to anterior direction of the hierarchical processing stream of the early and late encoding  
24 phases. (D) The greatest differences between the power induced during encoding of the  
25 subsequently remembered and forgotten words were localized in the occipitotemporal areas of  
26 the ventral visual processing stream and in the left anterior prefrontal cortex rostral to the  
27 language-processing Broca's area. Adapted from Kucewicz *et al.*,<sup>127</sup> Marks *et al.*<sup>98</sup> and Topcu *et*  
28 *al.*<sup>176</sup>

29





1 **Figure 5 Large-scale dynamics reconciles local and global spatiotemporal coordination of**  
 2 **HFO bursts across the brain.** (A) Schematic model of high frequency power induced in the  
 3 occipital, temporal and frontal lobes that proposes a simplified mechanism of temporally  
 4 coordinated HFO bursting between locally and globally connected neural assemblies. (B)  
 5 Rasterplot shows coordinated HFO bursting between macro-contacts (rows) implanted across  
 6 cortical lobes (color-coded as in 'a') of an example patient, which were sorted in descending  
 7 order of temporal correlation during free recall of one word from memory (vertical line indicates  
 8 start of uttering the recalled word). Notice temporally coordinated bursting between the three  
 9 cortical areas within approx. 1 second from the recall utterance followed by diminished bursting  
 10 activity.  
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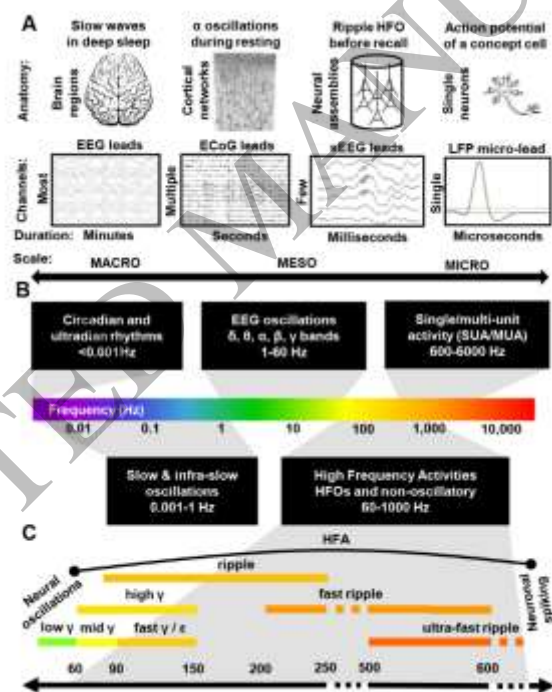


Figure 1  
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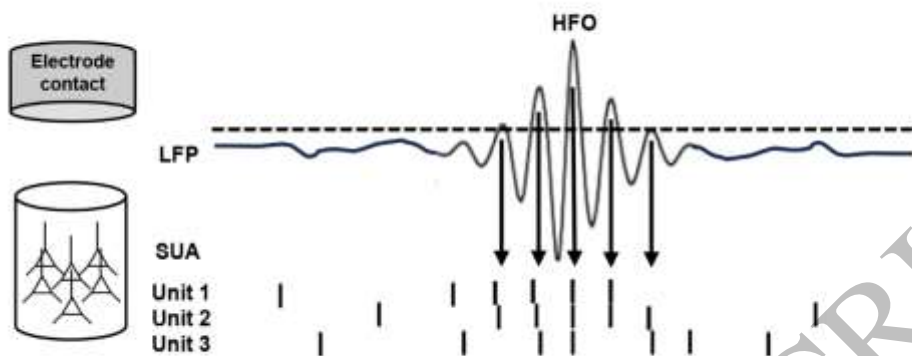


Figure 2  
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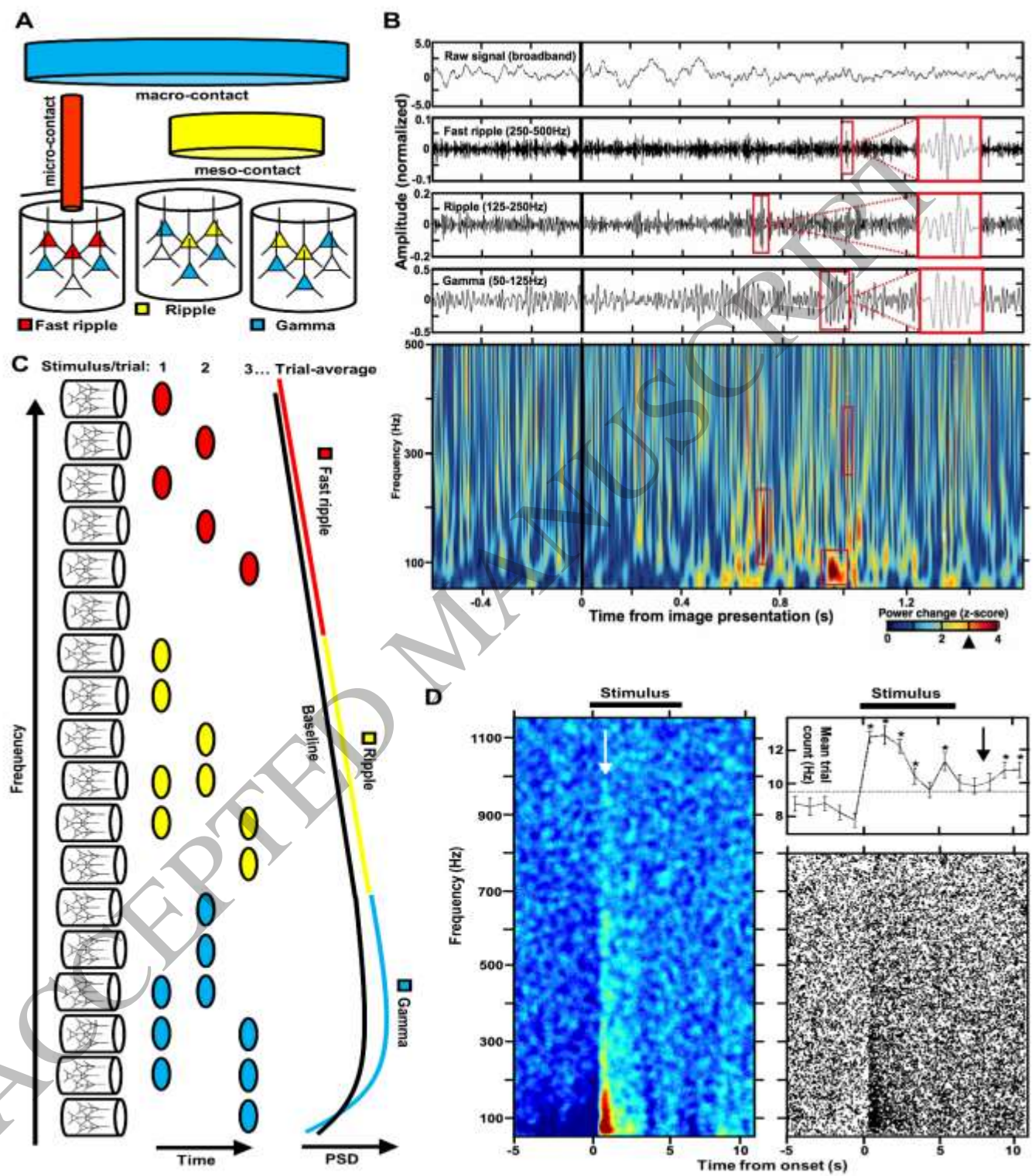


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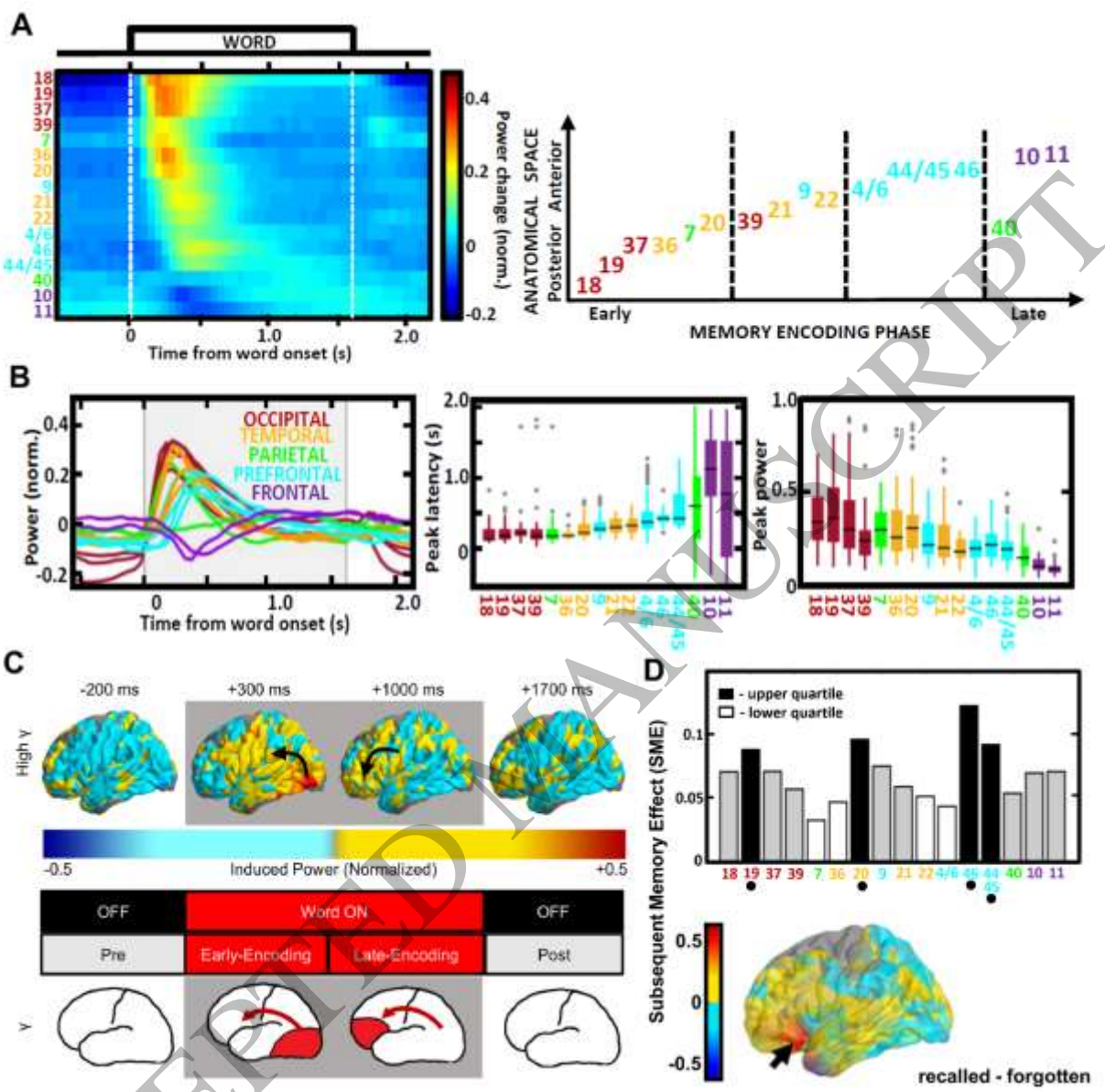


Figure 4  
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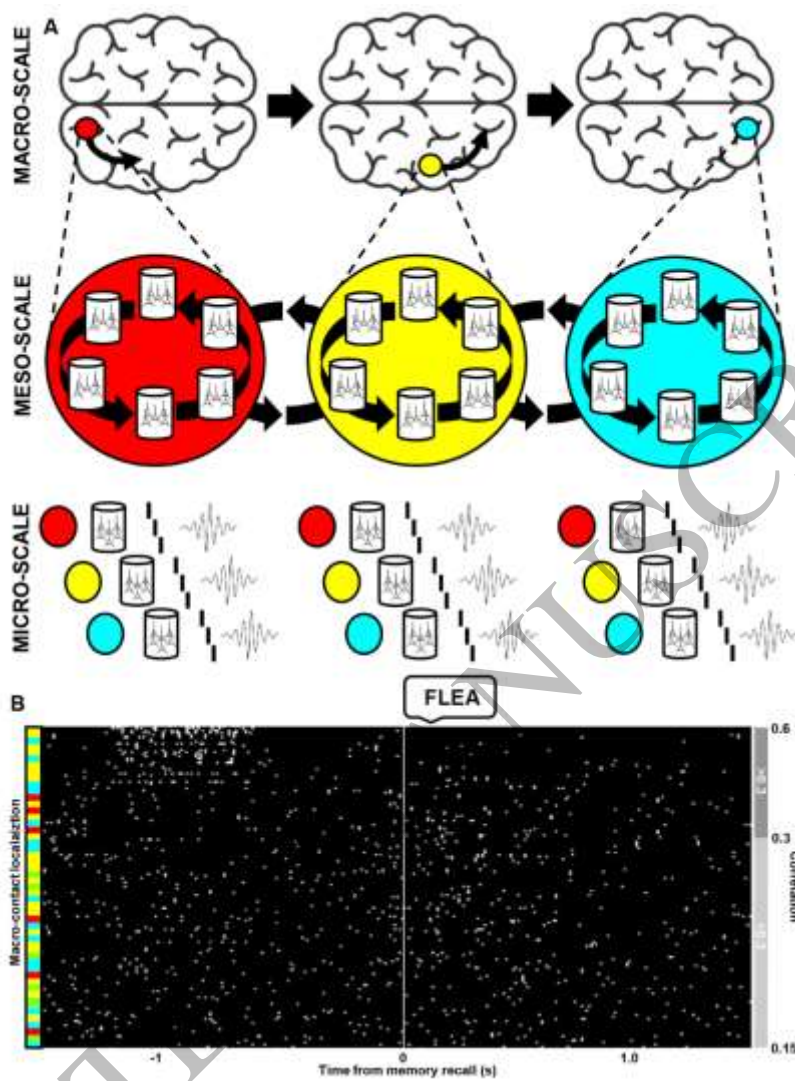


Figure 5  
112x144 mm (x DPI)

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