

# Shear fracture of longitudinally reinforced concrete beams under bending using Digital Image Correlation and FE simulations with concrete micro-structure based on X-ray micro-computed tomography images

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## Abstract

The paper presents experimental and numerical investigations of the shear fracture in rectangular concrete beams longitudinally reinforced with steel or basalt bar under quasi-static three point bending. Shear fracture process zone formation and development on the surface of beams was investigated by Digital Image Correlation (DIC) whereas thorough analyses of 3D material micro-structure, air voids, width and curvature of shear cracking were carried out by X-ray micro-computed tomography (micro-CT). Moreover, the 2D shear fracture patterns in beams were numerically simulated with the finite element method (FEM) using isotropic coupled elasto-plastic-damage constitutive model for concrete enhanced by a characteristic length of micro-structure. Concrete meso-structure was modelled as a random heterogeneous four-phase material composed of aggregate particles, cement matrix, ITZ zones and air voids on the basis of X-ray micro-CT images. Experimental and numerical results revealed a satisfactory agreement regarding to the mechanism of failure, load-bearing capacity as well as cracking pattern.

**Keywords:** Damage mechanics, Digital Image Correlation, Shear fracture, Meso-scale finite element method (FEM), X-ray micro-CT

## 1. Introduction

Shear failure is one of the ways of destruction of reinforced concrete elements subjected to bending, mainly in the nearest neighbourhood of the support zones. This type of failure may results in a reduction of the load capacity based on the longitudinal reinforcement and is particularly dangerous in structures without transversal reinforcement. The issue of estimating the shear capacity of bending elements is one of the most complex phenomenon in reinforced concrete theory due to the complexity of the failure mechanism in the support area that is caused by the simultaneous occurrence of bending moment and shear force. Experimental research in this field started, on a large scale, in the mid-twentieth century [1-12] and it is still continued which indicates that the problem of safe and economical shear dimensioning has not been satisfactory resolved and is still valid. An extensive database of experimental research results as well as new theoretical works result in and increasingly in-depth description of the mechanism of destruction of reinforced concrete elements and the emergence of new calculation methods for estimating material bearing capacity. Significant changes in this respect can be observed, among others, in the dimensioning of bended elements taking into account shear forces, which is commonly called shear dimensioning. The understanding of a shear phenomenon is of a major importance to ensure safety of the structure and to optimize material behaviour [13-19]. Standard rules for dimensioning reinforced concrete elements are also evolving [20-23].

Shear cracking formation and evolution can be observed by my means of Digital Image Correlation. In fact, DIC is one of the most popular techniques due to its simplicity and low cost, however, no information about internal material micro-structure and properties (width and shape) of fracturing could be obtained. Up to now, our experimental studies allowed to successfully capture fracture zone development and its evolution using Digital Image Correlation (DIC) during different mechanisms:

53 plain concrete subjected to three-point bending [24], plain concrete under tension splitting [25], steel  
54 or basalt fibrous concrete during wedge splitting [26, 27]. However, in order to broaden the horizons  
55 about internal material micro-structure concerning, for instance, shape and distribution of air voids  
56 and aggregate particles as well as gather more valuable data about width and curvature of shear  
57 cracks, 3D analyses were carried out by X-ray micro-computed tomography. The recent use of X-ray  
58 micro-CT has gained apparent success in obtaining the real structure or phases in cement-based  
59 materials like concrete. Our tomography system SkyScan 1173 has already been successfully used for  
60 observations of the evolution of a concrete fracture process during: three-point bending in plain  
61 concrete [24], tension splitting in plain concrete [25], uniaxial compression in plain concrete [28],  
62 compressive fatigue in plain concrete [29], three-point bending in plain concrete under constant  
63 scanning [30] and for steel or basalt fibrous concrete subjected to wedge splitting [26, 27]. X-ray  
64 micro-computed technique is also very popular technique of fracture investigations among other  
65 researchers [31-38].

66  
67 Simultaneously, in FE simulations of reinforced concrete members the complexity of failure  
68 mechanisms and crack pattern has to be incorporated as well. Within continuum mechanics,  
69 localisation zones (i.e. cracks and shear zones) can be modelled with two alternative ways i.e. using  
70 classical Finite Element Method (FEM) where displacement field continuity is not violated e.g.  
71 [39-44] or eXtended Finite Element Method (XFEM) where description of cracks/shear zones in form  
72 of zero width displacement jumps can be introduced with aid of e.g. interface cohesive elements  
73 [45-47] or strong discontinuity approach [48-49]. Since, in RC members several different failure  
74 mechanisms can be observed: steel yielding, concrete cracking in tension, concrete crushing in  
75 compression and shear failure modes, the observed crack pattern is usually very complex. In contrast  
76 to plain concrete, cracks are not independent but they join and intersect. Thus, FEM models are more  
77 commonly used in numerical simulations of RC members, while application of discrete cracks is less  
78 popular. Constitutive laws for concrete within FEM incorporate strain softening into material  
79 description. It causes the mesh-dependency problem of numerical calculations because the boundary  
80 value problem becomes ill-posed. To handle this issue classical continuum laws have to be enriched  
81 with a characteristic length. The characteristic length that reflects the heterogeneous meso-structure  
82 of the material can be taken into account in constitutive equations by applying, for instance, the non-  
83 local theory in the integral form that is simultaneously one of the most valuable and physical methods  
84 of regularization for heterogeneous materials. The heterogeneity of tested material has a strong  
85 impact on the local phenomena such as the mechanism of the initiation, growth and formation of  
86 fracture which finally are responsible for the macroscopic behaviour of material. Thus, to properly  
87 describe fracture, it is necessary to take into account realistic material micro-structure while FE  
88 modelling. At the meso-scale, four phases like cement matrix, aggregate particles, interfacial  
89 transition zones ITZs and macro-voids can be separated. The material behaviour at the meso-scale  
90 may be described with finite element FE models [50-53] and discrete models [28, 54-57] with the  
91 material meso-structure directly taken from micro-CT images.

## 92 93 **2. Significance of research**

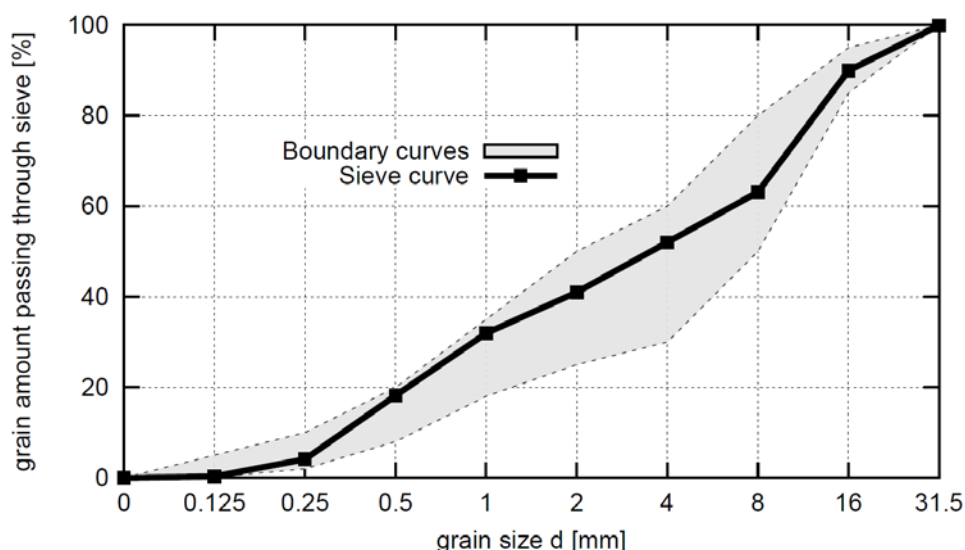
94  
95 The current paper is experimentally and numerically oriented. Presented research, concerning  
96 concrete reinforced with steel or basalt bar, is an extension of our previous experimental and  
7 numerical investigations of fracture properties that were carried out for plain or fiber reinforced  
8 concrete [24-30]. The micro-CT images were adopted to create the model of concrete with actual  
9 meso-structure. Each material component in the image-based model was segmented and its own  
0 mechanical parameters were defined. Such model was incorporated into FE modelling to numerically  
1 evaluate the material strength and fracture evolution process. This knowledge is important to better  
2 understand a fracture process for enhancing life of reinforced concrete members and structures.  
3 Summarizing, there are 2 main objectives of this study that also represent its novelties:  
4

- 105 (1) Detailed and thorough experimental investigations of a complicated shear fracture process  
 106 conditions in reinforced concrete beams under bending using 2D Digital Image Correlation  
 107 and 3D X-ray micro-CT computed tomography.  
 108  
 109 (2) FE simulations of reinforced concrete beams subjected to 3-point bending using a meso-scale  
 110 continuum non-local four-phase model of concrete assembled from aggregate grains, cement  
 111 matrix, ITZ zones and air voids. The geometry of concrete micro-structure was directly taken  
 112 from X-ray micro-CT images.

### 114 3. Experimental program

#### 116 3.1. Specimen preparation

118 The used aggregate sieve curve and mix proportions are depicted in Figure 1 and Table 1. The sand  
 119 point was assumed to be 41% and the water to cement ratio was established at  $w/c=0.50$ . The  
 120 aggregate grain curve was located between boundary curves that ensure proper workability and  
 121 consistency of fresh concrete mix with low demand for cement and water as well as minimal air  
 122 content. A well-designed grain size curve reduces also shrinkage strain of a fresh concrete during  
 123 first stage of hardening.  
 124



125  
 126  
 127 **Figure 1:** Distribution of aggregate particles

128  
 129 **Table 1:** Concrete recipe details

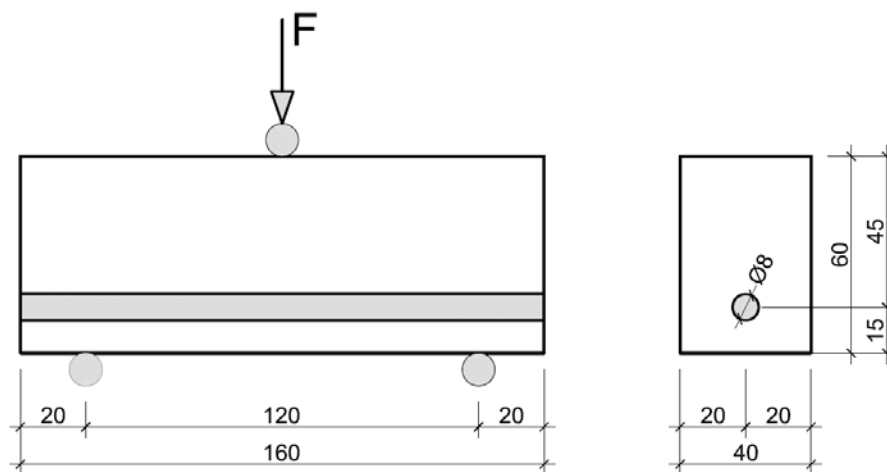
Concrete components	Concrete mix ( $d_{50}=2$ mm, $d_{max}=16$ mm)
Cement CEM II/A-LL 42.5R (c)	300 kg/m <sup>3</sup>
Sand (0 - 2 mm)	735 kg/m <sup>3</sup>
Gravel aggregate (2 - 8 mm)	430 kg/m <sup>3</sup>
Gravel aggregate (8 - 16 mm)	665 kg/m <sup>3</sup>
Superplasticizer (s)	1.8 kg/m <sup>3</sup>
Water (w)	150 kg/m <sup>3</sup>

130 Before molding procedure, the fresh concrete mix properties were validated on the basis of Vebe  
 131 slump test, Vebe time test and air content pressure test [58]. Tests revealed appropriate concrete mix  
 132 workability and air content. Both these parameters are very important since they influence final  
 133 properties of hardened concrete such as strength, permeability and durability. Properties of fresh  
 134 concrete used in experiments are presented in Table 2.

136 **Table 2:** Properties of fresh concrete mix

Concrete mix	Temperature [°C]	Vebe slump test [mm]	Vebe time [s]	Air content [%]	Density [kg/m <sup>3</sup> ]
Plain concrete mix (Table 1)	14.5	130	3.40	3.24	2322.0

137 Finally, 2 cubic concrete blocks with dimensions of 500×500×200 mm reinforced with steel or basalt  
 138 bar of diameter equal 8 mm were prepared. The reinforcement ratio value was  $\rho=2.1\%$ . Due to the  
 139 declaration of conformity of the manufacturers the mechanical properties of reinforcement were as  
 140 follows: the tensile strength of basalt  $f_{yb}=1100$  MPa, the tensile strength of steel  $f_{ys}=650$  MPa.  
 141 modulus of elasticity of composite basalt bars was  $E_b=70$  GPa and the modulus of elasticity of steel  
 142  $E_s=200$  GPa. The basalt bars contained 80% of the basalt fibers and 20% of the epoxy resin. For the  
 143 first 7 days, blocks were stored in a climatic chamber at temperature about 20°C and humidity 95%  
 144 [59] to avoid the surface evaporation and autogenous shrinkage. Afterwards, the rectangular  
 145 reinforced concrete beams (length 160 mm, span  $L=120$  mm, height 60 mm, effective height  $d=45$   
 146 mm, width  $b=40$  mm) were cut out on the 28<sup>th</sup> day after concreting from the prisms with the tolerance  
 147 of dimensions equal  $\pm 0.2$  mm. The distance of force from the support was assumed to be  $a=60$  mm  
 148 therefore the ratio between the beam shear span  $a$  and effective height  $d$  was equal  
 149  $\eta=a/d=60/45=1.33$  (Figure 2) The concrete cover from the bar centre to the concrete surface was 15  
 150 mm. A relatively small geometry of reinforced concrete specimens (resulting also in a rather thin  
 151 concrete cover) were used in order to provide the ability to scan them in the micro-CT system.  
 152 Geometry of the tested specimens is presented in Figure 2.



155 **Figure 2:** Geometry of the concrete specimen reinforced with steel or basalt bar

156 Mechanical tests (on plain concrete specimens) carried out on 6 cubic specimens with the dimensions  
 157 of 15×15×15 cm on 28<sup>th</sup> day after concreting, revealed that the average uniaxial compressive strength  
 158 [60] was equal  $f_c=47.60$  MPa with the standard deviation of 1.66 MPa and the average splitting  
 159 strength [61] was equal  $f_{ct}=3.46$  MPa with the standard deviation of 0.19 MPa. The Young's modulus  
 160 equal  $E=36.1$  GPa with the standard deviation of 2.29 GPa and the Poisson's ratio equal

164  $\nu=0.22$  with the standard deviation of 0.03 were obtained on the basis of tests carried on 3 cylinder  
165 specimens 15×30 cm. The average flexural strength [62], tested on 3 concrete beams 15×15×60 cm,  
166 was  $f_{cf}=3.60$  MPa with the standard deviation of 0.2 MPa.  
167

### 168 **3.2. Digital Image Correlation**

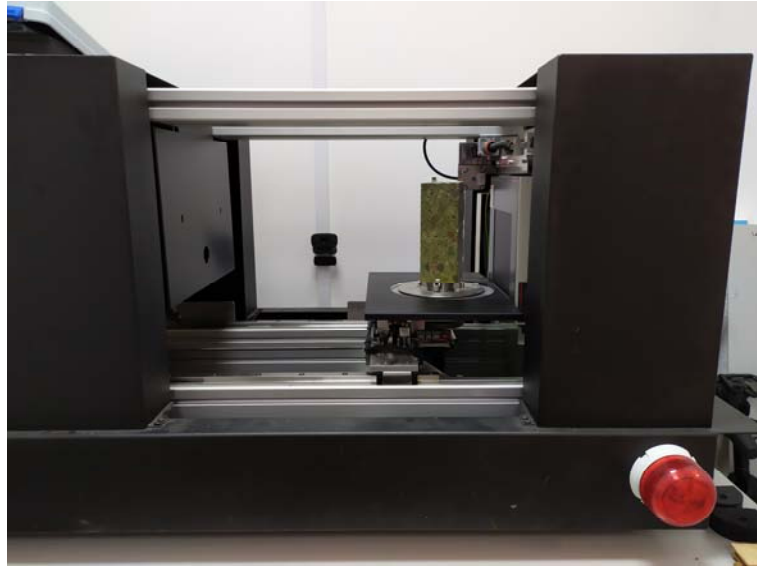
169  
170 Digital camera NIKON D800 with 36 megapixel matrix was used to visualise 2D fracture process  
171 evolution in reinforced concrete beams subjected to 3-point bending by means of Digital Image  
172 Correlation (DIC). Photographed area was about 160×80 mm that results in a pixel size equal 15  $\mu\text{m}$   
173 and length resolution equal approximately 65 pixel/mm, however, an analysed area was limited to  
174 120×60 mm. Digital camera was placed perpendicularly to the sprayed surface of the tested beams.  
175 The digital images were taken continuously during entire test with 6 seconds interval. Testing station  
176 used for DIC experiments is presented in Figure 3.  
177



178  
179  
180 **Figure 3:** View on the DIC testing station. Digital NIKON D800 camera is mounted on a tripod  
181 perpendicularly to the concrete beam placed in Instron 5569 static machine  
182

### 183 **3.3. X-ray micro-CT scanning**

184  
185 X-ray micro-CT SkyScan 1173 scanner with 0.2 mm brass filter was used to investigate 3D material  
186 micro-structure and fracture properties. The X-ray source voltage and the current were equal 130 keV  
187 and 61  $\mu\text{A}$ , respectively. The voxel size of the X-ray micro-CT was 39.68 microns whereas the  
188 shutter speed equaled 5000 ms. The sample was scanned at 360 degrees with a single rotation step of  
189 0.4 degrees. An oversize scanning option was used to visualise entire beam samples that was  
190 originally too long to fit in the micro-CT field of view. The samples were scanned in 2 sub-scans by  
191 moving the rotation table down after the first sub-scan was finished. The reconstruction was done  
192 sub-scan by sub-scan with the necessary adjustment in the file sequences to form a complete stack of  
193 3D volume ideally. Experimental procedure started from initial micro-CT scan of non-cracked  
194 samples in order to gather all necessary information, concerning initial porosity and material  
195 microstructure. After the mechanical testing, entire procedure was repeated for a cracked samples.  
196 Testing station used for micro-CT experiments is presented in Figure 4.



**Figure 4:** Tested sample mounted on the rotation table of micro-CT 1173 Skyscan X-ray micro-tomograph testing station

### 3.4. Numerical Finite Element approach

#### Non-local model for concrete

The FE analyses were conducted with a coupled isotropic elasto-plastic-damage approach for concrete. The comprehensive description, advantages and drawbacks of the above mentioned model were outlined in detail in [63]. This constitutive model has already been successfully applied to various RC members, e.g. RC beams under mixed shear-tension failure [64], and composite RC-EPS slabs and walls under shear failure [65, 66]. The constitutive law couples continuum damage mechanics with elasto-plasticity. The idea follows the proposal by Pamin and de Borst [67] and is based on strain equivalence hypothesis. Elasto-plasticity is defined in effective stress space. Rankine and Drucker-Prager criteria with linear hardening (with the modulus equal to  $E/2$  where  $E$  stands for Young modulus) are assumed for elasto-plasticity. The softening of the material is described via isotropic damage with an equivalent strain measure  $\tilde{\varepsilon}$  defined in total strains taken after Mazars [68]:

$$\tilde{\varepsilon} = \sqrt{\sum \langle \varepsilon_i \rangle^2} \quad (1)$$

where  $\varepsilon_i$  is a principal strain. The state variable  $\kappa$  and two additional quantities  $\kappa_t$  and  $\kappa_c$  to describe stiffness degradation in tension and softening, respectively, are defined as:

$$\kappa = \max_{\tau \leq t} \tilde{\varepsilon}(\tau), \quad \kappa_t = r\kappa, \quad \kappa_c = (1-r)\kappa \quad (2)$$

where  $r$  is a triaxiality factor [69, 70] calculated via:

$$r(\boldsymbol{\sigma}) = \frac{\sum \langle \sigma_i \rangle}{\sum |\sigma_i|} \quad (3)$$

where  $\langle \sigma_i \rangle$  stands for a positive value of principal stress  $\sigma_i$  and  $|\sigma_i|$  denotes an absolute value of a principal stress  $\sigma_i$ .

230 The degradation parameter  $D$  is described via the following formula:

231

$$232 \quad D = 1 - (1 - s_c D_t)(1 - s_t D_c) \quad (4)$$

233

234 where  $s_c$  and  $s_t$  are splitting functions. The degradation parameter in tension  $D_t$  is defined as [71]:

235

$$236 \quad D_t = 1 - \frac{\kappa_0}{\kappa_t} \left( 1 - \alpha + \alpha \exp(-\beta(\kappa_t - \kappa_0)) \right) \quad (5)$$

237

238 with state variable  $\kappa_t$  and parameters  $\kappa_0$ ,  $\alpha$ ,  $\beta$ . The degradation parameter in compression is calculated  
239 using the relationship after [72]:

240

$$241 \quad D_c = 1 - \left( 1 - \frac{\kappa_0}{\kappa_c} \right) \left( 0.01 \frac{\kappa_0}{\kappa_c} \right)^{\eta_1} - \left( \frac{\kappa_0}{\kappa_c} \right)^{\eta_2} \exp(-\delta_c(\kappa_c - \kappa_0)) \quad (6)$$

242

243 with state variables  $\eta_1$ ,  $\eta_2$  and  $\delta_c$ .

244

245 Note that the same value of  $\kappa_0$  is used to calculated both degradation parameters  $D_t$  and  $D_c$ . Full  
246 description and more details of the performance of the model can be found in [63].

247

248 Numerical simulations with classical continuum constitutive laws with softening do not produce  
249 reliable results. Obtained outcomes are mesh dependent, because the boundary value problem is  
250 ill-posed. In order to restore the well posedness of the boundary value problem information about  
251 a characteristic length of the micro-structure has to be added. One of the possibilities comes with the  
252 integral non-local theory. It replaces a local value of the variable controlling the softening of the  
253 material by its non-local counterpart, calculated as an averaged quantity over neighbours.

254

255 To introduce regularization into the formulation only the damage part was “made non-local” since  
256 the elasto-plastic part of model produces no softening (it includes only hardening). Thus, the damage  
257 part (which is responsible for material softening) was modified by replacing the local equivalent  
258 strain measure by its non-local counterpart calculated according to the formula:

259

$$260 \quad \bar{\varepsilon}(\mathbf{x}) = \frac{\int_V \omega(\|\mathbf{x} - \boldsymbol{\xi}\|) \tilde{\varepsilon}(\boldsymbol{\xi}) d\boldsymbol{\xi}}{\int_V \omega(\|\mathbf{x} - \boldsymbol{\xi}\|) d\boldsymbol{\xi}} \quad (7)$$

261

262 Where  $\mathbf{x}$  is a considered point and  $\boldsymbol{\xi}$  are neighbour points. As a weighting function  $\omega$  Gauss  
263 distribution function is used:

264

$$265 \quad \omega(r) = \frac{1}{l_c \sqrt{\pi}} \exp\left(-\left(\frac{r}{l_c}\right)^2\right) \quad (8)$$

6

7 where  $l_c$  denotes the characteristic length of the microstructure.

8

9 It should be noted that in practice the averaging is restricted to the small area around the considered  
0 point (the influence of neighbor points at the distance of  $r=3 \times l_c$  is only of 0.01%). To calibrate the  
1 non-local model, usually, the inverse identification process of experimental data may be used to

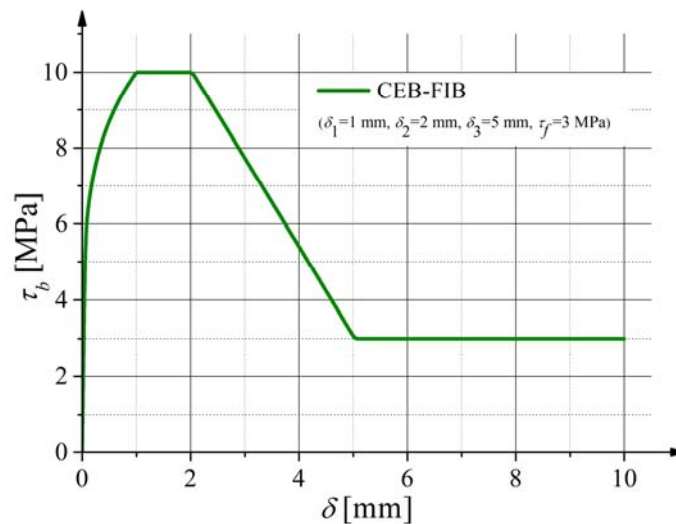
272 determine a value of  $l_c$ . The nonlocal averaging should also properly describe the fracture process  
 273 zone experimentally observed in heterogeneous materials [73]. Additionally, in order to counteract  
 274 excessive energy dissipation, additional improvement such as distance-based and stress-based model  
 275 or local correction approach need to be incorporated [74]. In turn, when calculating non-local  
 276 quantities close to internal barrier the so-called “shading effect” is also taken into account.  
 277

## 278 FE input data

279  
 280 In FE-calculation two different models for concrete were assumed to simulate the evolution of the  
 281 localized zones i.e. macro-scale and meso-scale model. The general scheme for both approaches is  
 282 presented in Figure 6. The FE discretization for macro-scale approach is presented in Figure 6a. The  
 283 FE mesh included 9600 plane stress square elements for concrete and 160 one-dimensional truss  
 284 elements for reinforcing bars. The size of finite elements was about 1 mm, and it was smaller than the  
 285 characteristic length  $l_c=1.5$  mm. For describing the interaction between concrete and reinforcement, a  
 286 bond-slip law followed CEB-FIP Code [75] was defined. The CEB-FIP bond-slip law describes  
 287 relationship between the bond shear stress  $\tau_b$  and slip  $\delta$  with the following formulae:  
 288

$$289 \quad \tau_b = \begin{cases} \tau_{\max} \left( \frac{\delta}{\delta_1} \right)^\alpha & 0 < \delta \leq \delta_1 \\ \tau_{\max} & \delta_1 < \delta \leq \delta_2 \\ \tau_{\max} (\tau_{\max} - \tau_f) \frac{\delta - \delta_1}{\delta_3 - \delta_2} & \delta_2 < \delta \leq \delta_3 \\ \tau_f & \delta_3 < \delta \end{cases} \quad (9)$$

290 This bond-slip law describes 4 different phases by taking hardening/softening into account in the  
 291 relationship. Based on preliminary simulations [63] the following bond parameters were taken:  
 292  $\tau_{\max}=10$  MPa,  $\tau_f=3$  MPa,  $\delta_1=1$  mm,  $\delta_2=2$  mm,  $\delta_3=5$  mm and  $\alpha=0.2$ . The graphical interpretation of  
 293 assumed bond-slip law for given set of material parameters is depicted on Figure 5.  
 294



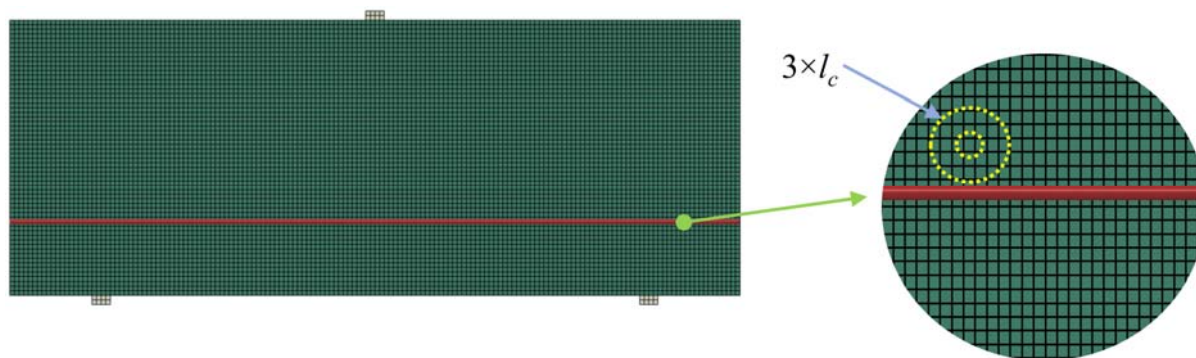
**Figure 5:** Bond stress-slip relationship  $\tau_b = f(\delta)$  by CEB-FIP code, for assumed material parameters

5  
 6  
 7  
 8  
 9 The bond interaction was assumed as an interface with a zero thickness along a contact line where the  
 0 relationship between the shear traction and slip was defined. In turn, the meso-scale approach takes  
 1 into account concrete heterogeneity. The exemplary FE mesh with distinguished four phases:  
 2 angularly-shaped aggregate particles (marked in brown), cement matrix (marked in blue), ITZ zones

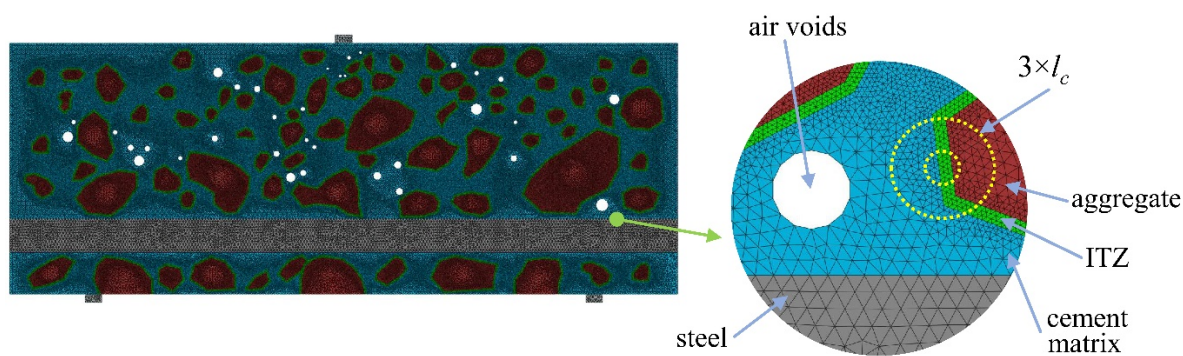


303 (marked in green) and air voids (white round spots) is shown in Figure 6b. The aggregate grains  
 304 (assumed as the particles with the size  $\geq 2.0$  mm) were embedded in the specimen and were  
 305 described by a linear elastic model. The location, shape, size and distribution of aggregate grains and  
 306 air voids for all four beams directly corresponded to the concrete images from micro-CT scanning.  
 307 The images taken in the middle of cross section were assumed as a basis to build the geometry of the  
 308 FE meshes. The width of ITZs around aggregate particles was constant – 0.5 mm (based on [54]).  
 309 The FE-meshes included in total about 300.000 triangular elements. The size of finite elements was:  
 310 0.2–0.7 mm (aggregate), 0.2–0.7 mm (cement matrix) and 0.2 mm (ITZs) (Figure 6b). Thus, for each  
 311 phase the size of finite elements was smaller than the characteristic length  $l_c=1.5$  mm. The size of the  
 312 characteristic length was based on our previous experiments on concrete beams [24]. In order to  
 313 simplify the FE mesh creation the large air voids (with diameter 0.8–4 mm) were only taken into  
 314 account, since the effect of small air voids on the crack propagation was negligible [54]. As a  
 315 consequence the area of air voids (modelled as the empty spots) in the numerical models were  
 316 smaller by about 40% as compared with the measured one. The reinforcement bar was also modeled  
 317 with 2D triangular elements (Figure 6b). The calculations were carried out under plane stress  
 318 conditions. Similarly as for macro-scale model a bond-slip law followed CEB-FIP Code [75] was  
 319 defined to describe interaction between concrete and reinforcement. For both approaches the punctual  
 320 supports and the point of application of load were induced with aid of small steel plates in order to  
 321 avoid local concrete crushing. FE analyses were conducted with the coupled isotropic elasto-plastic-  
 322 damage model for concrete. The material parameters for each individual phase of meso-scale  
 323 approach: aggregate, cement matrix and ITZs are presented in Table 3. Additionally, plastic  
 324 hardening moduli  $H_p=18$  GPa was assumed for elasto-plasticity. The modulus of elasticity of  
 325 aggregate grains (composed of 55% of granite, 30% of limestone, 13% of sandstone and 2% of  
 326 basalt) was calculated as the mean value of the moduli of the individual rock components [54]. In  
 327 turn, for macro-scale approach the material parameters for concrete were assumed similar as for  
 328 cement matrix in meso-scale approach. The material constants, for meso-scale approach, were  
 329 determined by means of two independent simple monotonic tests: uniaxial compression test and  
 330 three-point bending. In turn, assumed for macro-scale approach, the material parameters for concrete  
 331 similar as for cement matrix in meso-scale approach were driven by our preliminary calculations.  
 332 This simplification provides a satisfactory agreement between both approaches regarding calculated  
 333 load-displacement curves. In order to simulate the behaviour of steel and basalt bar, an elastic-  
 334 perfectly plastic constitutive model was assumed. The modulus of elasticity  $E_s=200$  GPa and  
 335  $E_b=70$  GPa and yield stress  $\sigma_{ys}=650$  MPa and  $\sigma_{yb}=1100$  MPa were assumed for steel and basalt,  
 336 respectively.

337  
 338 All numerical calculations were executed in Abaqus Standard commercial code [76]. To introduce  
 339 the constitutive model for concrete, model for reinforcement, bond-slip law and non-local averaging  
 340 the user constitutive law definition (UMAT) and user element definition (UEL) subroutines were  
 341 introduced.



(a)



(b)

**Figure 6:** Exemplary FE mesh discretization for: (a) macro-scale model and (b) meso-scale model

**Table 3:** Material parameters assumed in FE calculations on meso-scale approach

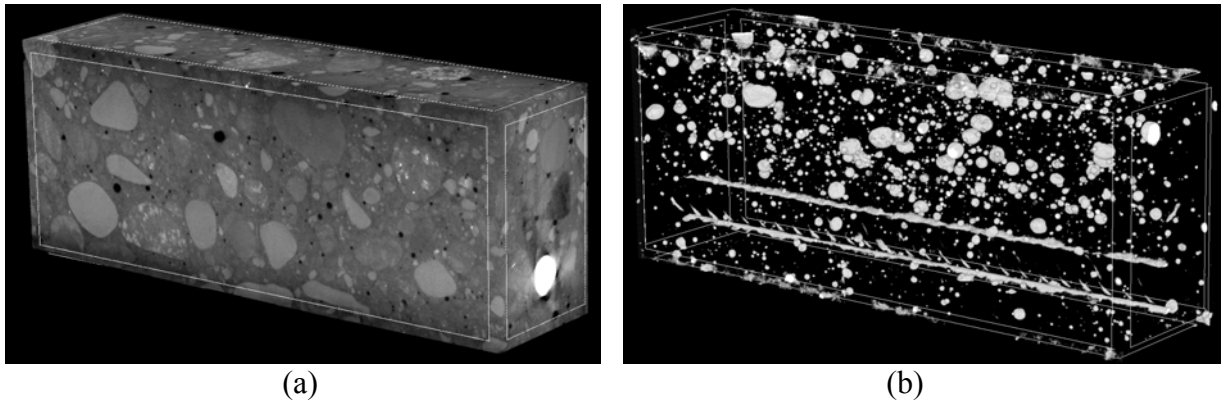
Material constant	Aggregate	Cement matrix	ITZ
Modulus of elasticity $E$ (GPa)	47.2	36.1	18.1
Poisson's ratio $\nu$ (-)	0.2	0.2	0.2
Initial yield stress (tension) $\sigma_{yt}^0$ (MPa)	-	3.5	2.8
Initial yield stress (compression) $\sigma_{yc}^0$ (MPa)	-	50	40
State variable $\kappa$ (-)	-	$8.0 \times 10^{-5}$	$5.9 \times 10^{-5}$
Damage parameter (tension) $\alpha$ (-)	-	0.95	0.95
Damage parameter (tension) $\beta$ (-)	-	150	150
Damage parameter (compression) $\eta_1$ (-)	-	1.0	1.0
Damage parameter (compression) $\eta_2$ (-)	-	0.15	0.15
Damage parameter (compression) $\delta_c$ (-)	-	150	150

## 4. Experimental results and discussion

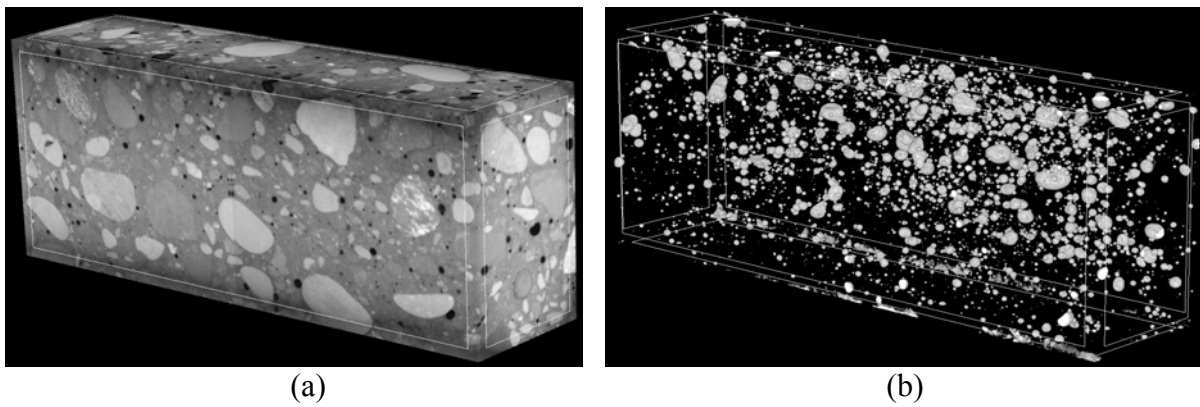
### 4.1. Initial void analysis

Figures 7 and 8 and Table 4 show the initial 3D air void content and air void distribution in non-cracked steel and basalt reinforced concrete measured by micro-CT. Air voids were separated using threshold value between 0-60 (within the whole 0-255 scale) and were treated in two ways i.e. as open pores that cross the boundaries of VOI (Volume of Interest) or closed pores that are entirely embedded in VOI. Based on that assumption, air volume in non-cracked concrete specimens reinforced with steel bar varied from 7418.98 mm<sup>3</sup> to 7564.93 mm<sup>3</sup> that corresponds to 3.05% and 3.11% of total air volume, whereas closed porosity varied from 2.45% to 2.55% and open porosity varied from 0.56% to 0.60%. Similarly, air volume in non-cracked concrete specimens reinforced with basalt bar varied from 7969.58 mm<sup>3</sup> to 8362.21 mm<sup>3</sup> that corresponds to 3.45% and 3.62% of total air volume, whereas closed porosity varied from 2.63% to 2.92% and open porosity varied from 0.70% and 0.82%. Thus, it could be noticed that total porosity of concrete beams reinforced with steel bar was smaller by about 15% than porosity of concrete beams reinforced with basalt bar. However, measured porosity values are quite similar and, moreover, show a satisfactory agreement with air content of fresh concrete obtained in the air pressure method. Figure 7b shows that despite careful concreting and compacting of fresh mix some air is left along the steel bar ribs. Presence of

370 air along the steel bar ribs can lead to the deterioration of contact between concrete and  
 371 reinforcement. This phenomenon was not observed in terms of basalt bar (Figure 8b).  
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 376 **Figure 7:** Non-cracked images of steel reinforced concrete beam by 3D micro-CT: (a) general view  
 377 and (b) distribution of pores  
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 382 **Figure 8:** Non-cracked images of basalt reinforced concrete beam by 3D micro-CT: (a) general view  
 383 and (b) distribution of pores  
 384

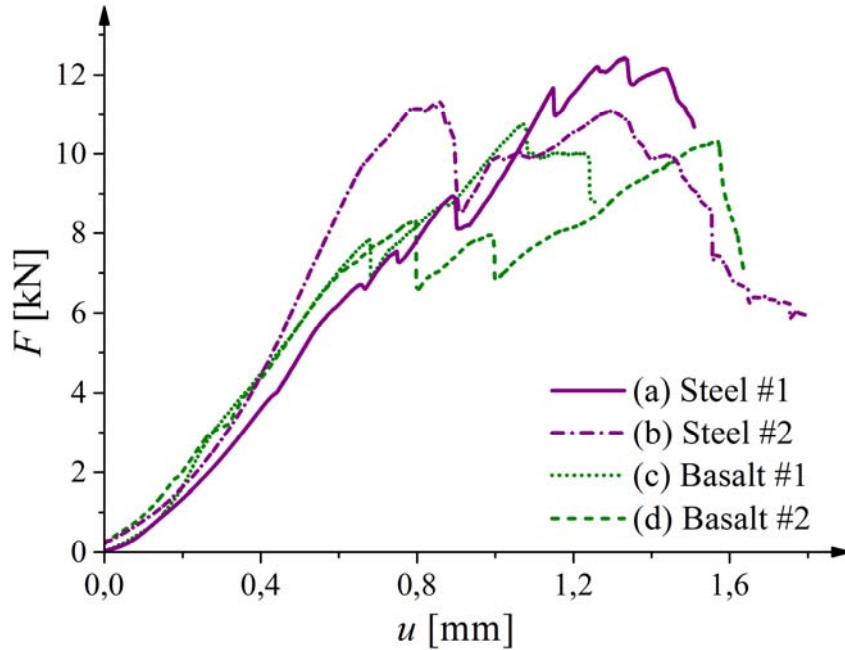
385 **Table 4:** Air void analysis in non-cracked specimens by micro-CT

Specimen number	Volume of pores [mm <sup>3</sup> ]	Volume of pores [%] within entire sample volume	Volume of closed pores [%] within entire sample volume	Volume of open pores [%] within entire sample volume
Steel #1	7418.98	3.05	2.45	0.60
Steel #2	7564.93	3.11	2.55	0.56
Basalt #1	8391.96	3.45	2.63	0.82
Basalt #2	8805.48	3.62	2.92	0.70

386  
 7 **4.2. Material strength**  
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9 The quasi-static tests with reinforced concrete beams were performed with a controlled displacement  
 0 rate of 0.05 mm/min. Figure 9 presents vertical force  $F$  versus deflection  $u$  curves obtained for  
 1 concrete reinforced with steel or basalt bar subjected to three-point bending. Maximum vertical force  
 2 of concrete reinforced with steel bar was 12.41 kN ( $u = 1.33$  mm) and 11.33 kN ( $u = 0.87$  mm)  
 3 whereas of concrete reinforced with basalt bar was 10.75 kN ( $u = 1.07$  mm) and 10.29 kN

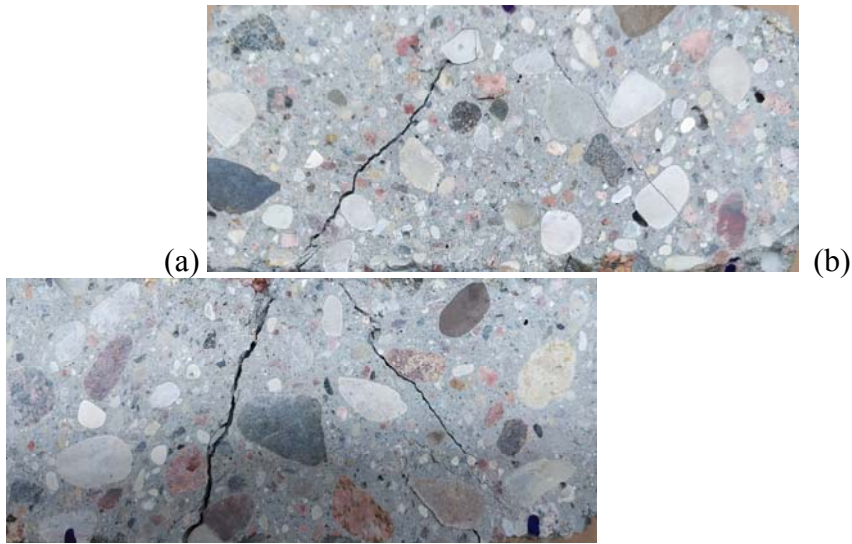
394 ( $u = 1.57$  mm). It means, that average deflection in concrete beams reinforced with basal bars equal  
 395 1.32 mm was about 20% higher than the average deflection in concrete beams reinforced with steel  
 396 bar equal 1.10 mm. Initially one or two bending cracks appeared close to the mid-span for all tested  
 397 beams. Then, inclined shear cracks occurred that continuously evolved in length and width along  
 398 with the deformation process. Tests were stopped before failure in order to allow beams to be  
 399 scanned in one piece in micro-CT. However, after scanning beams were once again loaded in order to  
 400 observe failure mechanism. In all cases, failure took place in a rapid brittle way due to a diagonal  
 401 shear crack moving through the beam compressive zone towards the loading point.  
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**Figure 9:** Experimental vertical force – deflection diagrams for concrete beams reinforced with  
 405 (a), (b) steel and (c), (d) basalt reinforcement  
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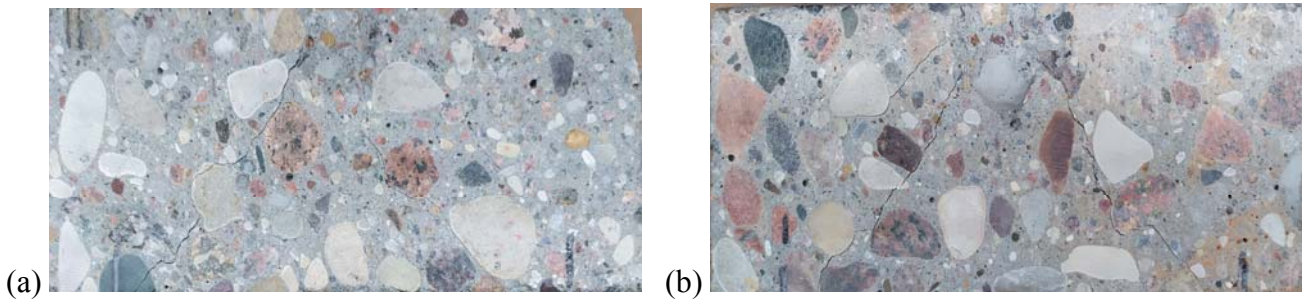
408 The pattern of cracks on the back surface (not sprayed and photographed during DIC investigations)  
 409 of the beams, observed before micro-CT scanning, are presented in Figures 10 and 11. Failure crack  
 410 was strongly non-symmetric on both sides of beams in terms of the distance from the support and  
 411 inclination to the horizontal line. The details of experimental results are presented in Table 5.  
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**Figure 10:** Crack patterns on the surface of concrete beams reinforced with steel bars:  
(a) Steel #1 and (b) Steel #2

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**Figure 14:** Crack patterns on the surface of concrete beams reinforced with basalt bars:  
(a) Basalt #1 and (b) Basalt #2

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**Table 5:** Location and inclination of the failure crack in tested beams

Specimen number	Distance of failure crack from the support (front, sprayed side)	Inclination of failure crack (front, sprayed side)	Distance of failure crack from the support (back, not sprayed side)	Inclination of failure crack (back, not sprayed side)
Steel #1	0 mm	32°	5 mm	45°
Steel #2	5 mm	28° – 90°	30 mm	72°
Basalt #1	25 mm	56°	10 mm	48°
Basalt #2	3 mm	33°	15 mm	56°

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The shear strength of the RC beams with a rectangular cross-section without shear reinforcement can be analytically calculated according to EC 1992-1-1:2004 [20], ACI 318 or alternative ACI method [21]. According to EC 1992-1-1:2004 [20], in case of elements without shear reinforcement, a following empirical formulae is proposed:

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431

$$\tau_c^{EC} = \left[ C_{Rd,c} k (100 \rho_l f_c)^{1/3} \right] \quad \text{where} \quad k = 1 + \sqrt{\frac{200}{d}} \leq 2 \quad (10)$$

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wherein  $f_c$  – compressive strength of concrete,  $\rho_l$  – reinforcement ratio (not greater than 0.02),  $d$  – effective height,  $a$  – distance of force from the support and  $b$  – beam width and  $k$  – size effect factor and  $C_{R,d,c}=0,18$ . According to ACI 318 [21] shear strength can be calculated as follows:

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$$\tau_c^{ACI} = 0.29 \sqrt{f_c} \quad (11)$$

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According to alternative ACI method [21] shear strength may be determined using following expression:

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$$\tau_c^{ACI(alternative)} = 1.02 f_c \eta_c (1 - \eta_c) \frac{1}{\eta_a} \quad (12)$$

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where  $\eta_c=c/d=0.333$  (for  $c=15$  mm – distance from beam bottom to the center of reinforcement) and  $\eta_a=a/d=1.33$ . In the theoretical calculations, the following values were assumed:  $f_c=47.60$  MPa,  $\rho_l=0.02$  and  $d=0.045$  m. The theoretical shear strength varied from 1.67 kN [20] to 8.29 kN [21] whereas the experimental ones varied from 2.86 kN to 3.45 kN. Calculations of shear strength following EC [20] and ACI [21] formulas underestimated whereas alternative ACI formulae [21] overestimated the experimental shear strength. Nevertheless, experimental results are within the range set by the standard formulas and observed discrepancies may be caused by a rather small geometry of the beams. The experimental and theoretical results were compared in Table 6.

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**Table 6:** Shear strength  $\tau_c=V_{max}/(bd)$  ( $V_{max}=0.5P_{max}$ ) for RC beams according to EC2 (Eq. 10), ACI 318 (Eq. 11) and alternative ACI (Eq. 12)

Specimen number	Shear strength from experiments $\tau_c^{exp}$ [MPa]	Shear strength by Eq. 10 [20] $\tau_c^{EC}$ [MPa]	Shear strength by Eq. 11 [21] $\tau_c^{ACI}$ [MPa]	Shear strength by Eq. 12 [21] $\tau_c^{ACI alternative}$ [MPa]
Steel #1	3.45	1.67	2.00	8.29
Steel #2	3.15			
Basalt #1	2.99			
Basalt #2	2.86			

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### 4.3. Fracture evolution by DIC

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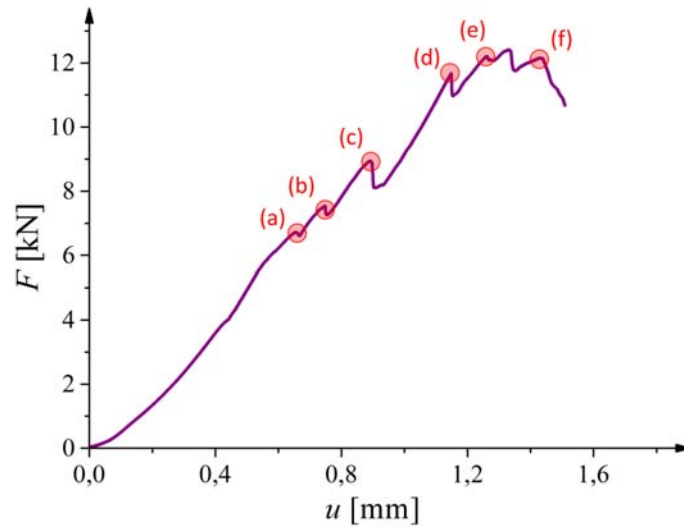
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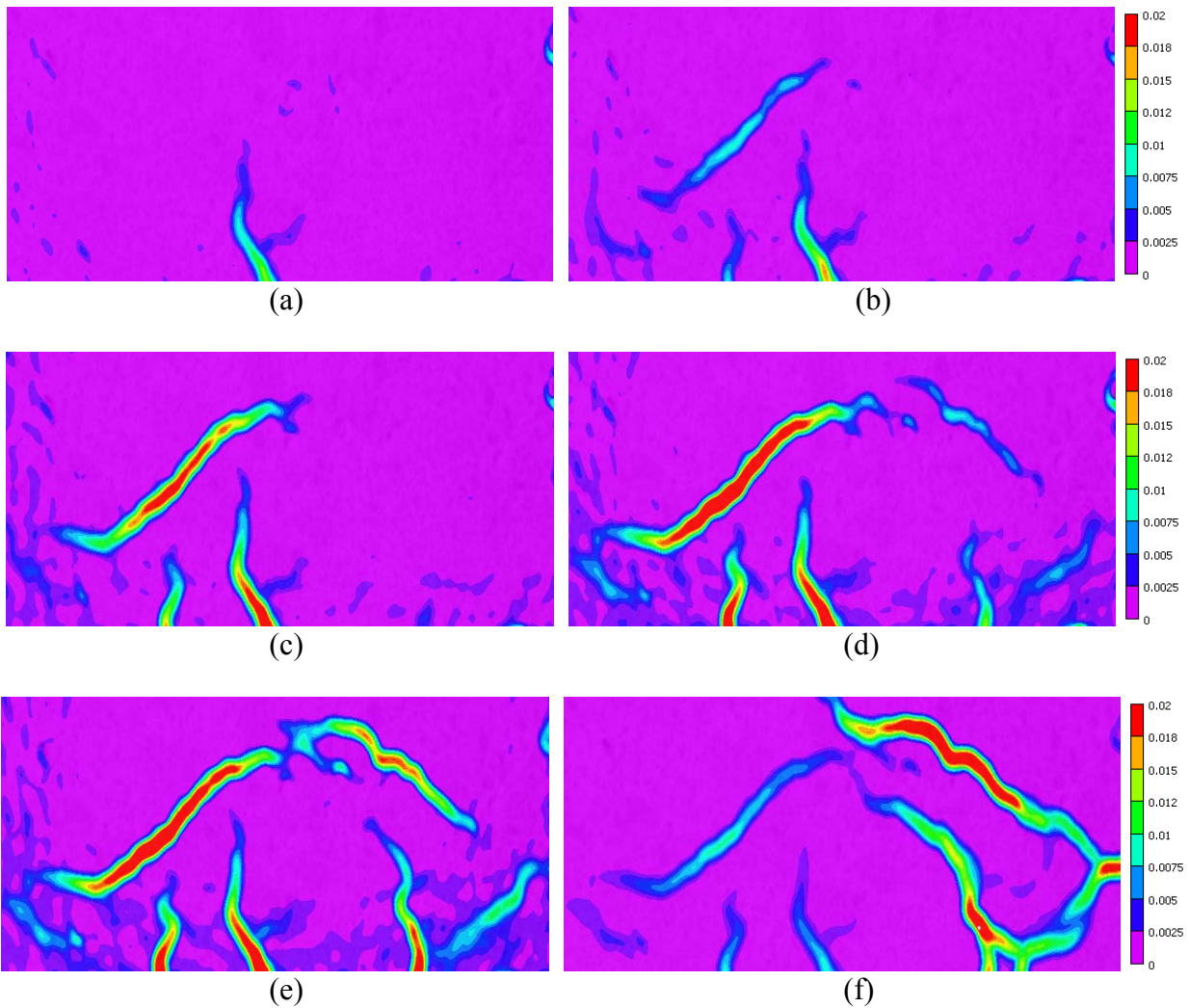
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The displacement and strain changes on the beam surface were measured using the square inspection window with the dimension of 120 pixels and step between centers of the inspection windows equal 5 pixels. Figure 12 presents a vertical force  $F$  versus deflection  $u$  with marked points indicating image shot whereas Figure 13 shows main strain intensity on the surface of concrete beam reinforced with steel bar. Based on the analyses of strain distribution, a similar mechanism for all tested beams was observed. At first, close to the 50% of the maximum vertical force, bending crack appeared (Figure 13a). Appearance of bending crack resulted in a jump visible on the force-deflection curve (Figure 12). After that force began to increase once again until the inclined crack formed (Figures 13b and 13c). Afterwards, additional inclined cracks appeared (Figures 13d and 13e) and evolved in width and length up to the failure (Figure 13f). Figure 14 depicts comparison between micro-CT and

468 DIC experimental results on the surface of tested beams. Both methods revealed a very good  
 469 similarity. Observed fracture zones appeared as vertical and inclined ones that were strongly curved  
 470 due to presence of aggregate particles.  
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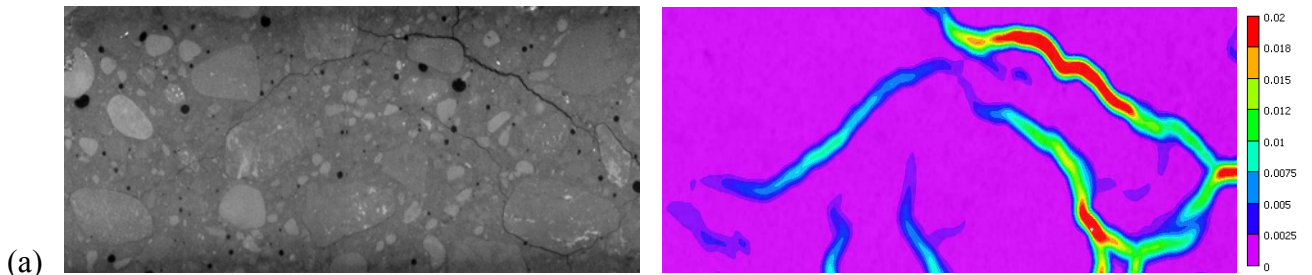
472  
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 474 **Figure 12:** Experimental vertical force – deflection diagram for steel bar reinforced concrete beam  
 475 with marked points indicating image shot  
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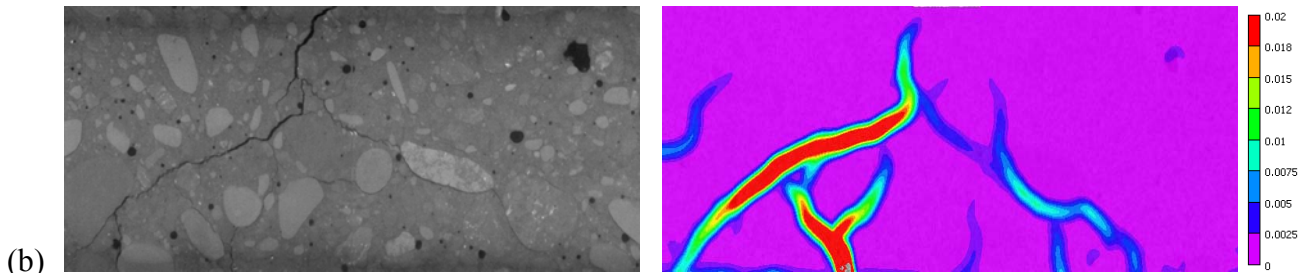
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**Figure 13:** Evolution of fracture process on the surface concrete beam reinforced with steel bar (Steel #1) based on the main strain intensity map

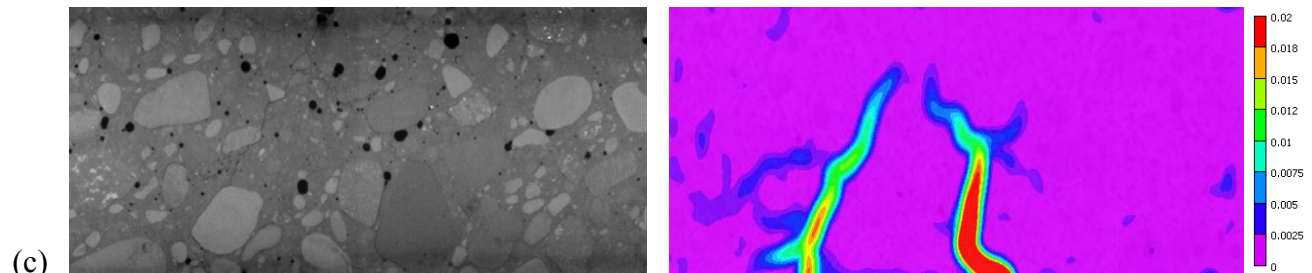
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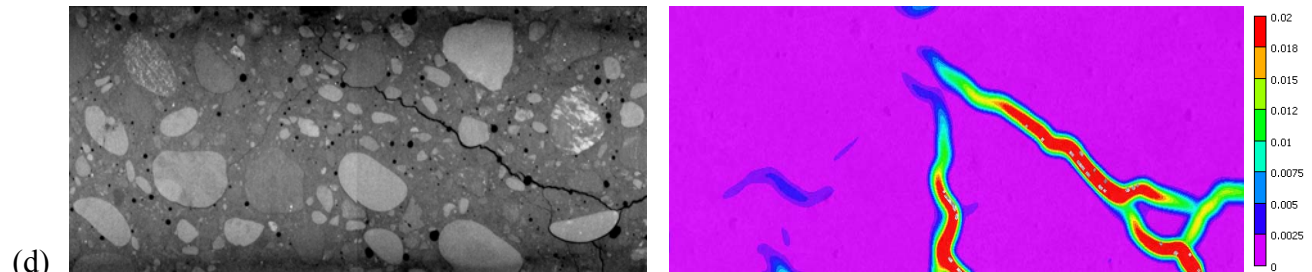
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**Figure 14:** Comparison of: (A) micro-CT and (B) DIC technique results for concrete beams reinforced with (a), (b) steel bar (Steel #1 and #2) and (c), (d) basalt bar (Basalt #1 and #2)

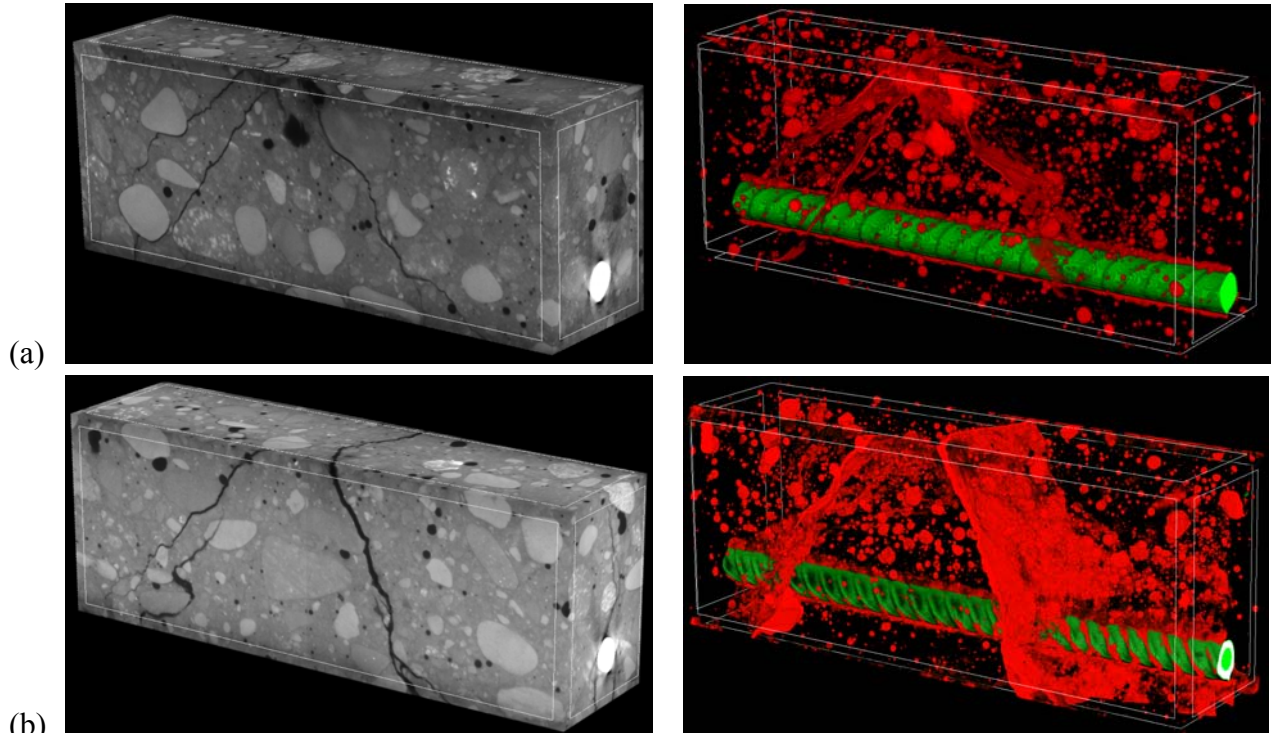
#### 4.4. Fracture phenomenon by micro-CT

Mechanism of failure during loading process was similar for concrete reinforced with steel and basalt bars. Cracks had a non-uniform width and were strongly curved along sample depth, width and height (Figures 15 and 16). Since the basalt bars have approximately the same density as cement matrix it was technically not possible to distinguish them from concrete and visualise in green like steel bars. The volume of cracks in concrete beams reinforced with steel bars was in the range from 7783.85 mm<sup>3</sup> to 11748.74 mm<sup>3</sup> that corresponds to 3.20% and 4.83% of the entire sample volume, whereas the volume of cracks in concrete beams reinforced with basalt bars was in the range from 11700.10 mm<sup>3</sup> to 14327.15 mm<sup>3</sup> that corresponds to 4.81% and 5.89% of the entire sample volume. It means that average volume of cracks in concrete beams reinforced with basalt bars equal 5.35% was by about 33% higher than the average volume of cracks in concrete beams reinforced with steel bars equal 4.01%. Maximum crack width in concrete beams reinforced with steel bars was equal



513 0.85 mm and 1.73 mm whereas in concrete beams reinforced with basalt bars was equal 1.24 mm and  
 514 1.46 mm, respectively. Average crack width in concrete beams reinforced with basalt bars equals  
 515 1.35 mm was by about 5% higher than the average crack width in concrete beams reinforced with  
 516 steel bars equal 1.29 mm. Slightly higher value of average maximum crack width and visibly greater  
 517 average volume of cracks in concrete beams reinforced with basalt bars might be caused by the larger  
 518 average deflection observed in experiments. All experimental results concerning air pores volume,  
 519 crack width and volume of cracks are presented in Table 7.  
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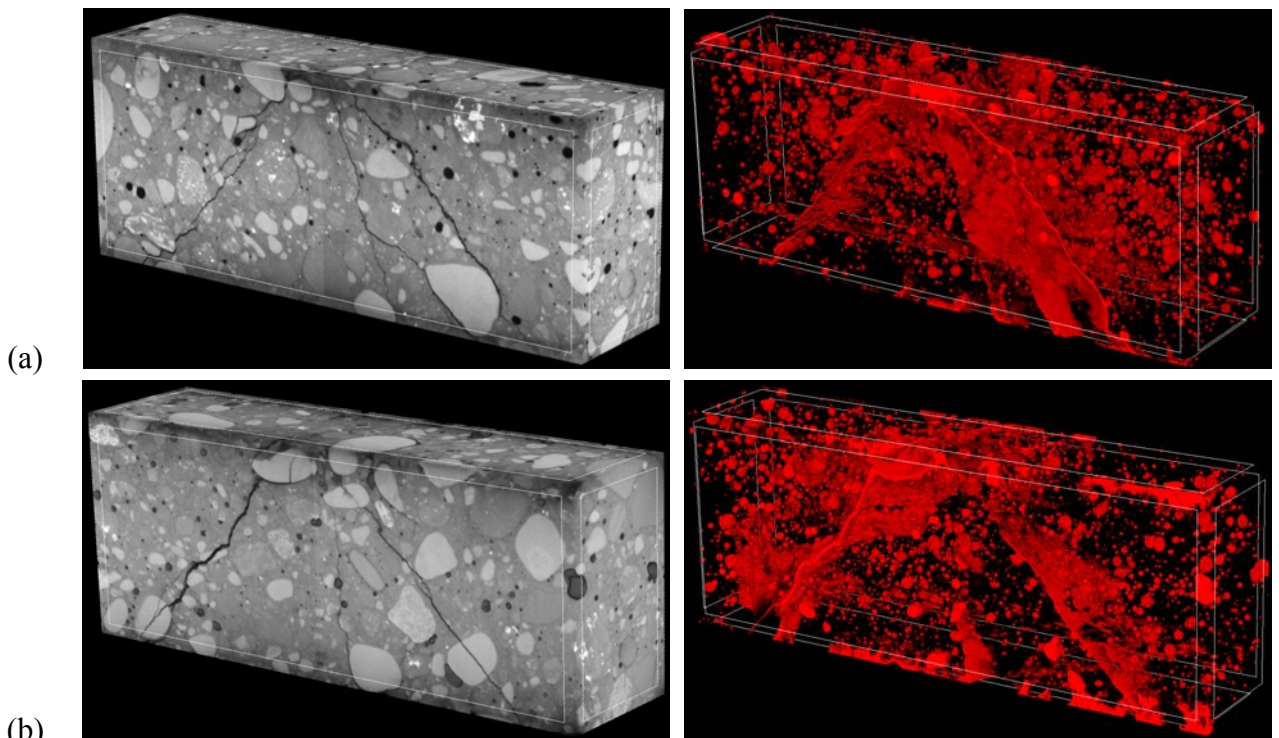
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**Figure 15:** 3D micro-CT images of cracked concrete beams reinforced with steel bar:  
 (a) Steel #1 and (b) Steel #2 (air pores and cracks in red, steel bar in green)

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**Figure 16:** 3D micro-CT images of cracked concrete beams reinforced with basalt bar:  
(a) Basalt #1 and (b) Basalt #2 (air pores and cracks marked in red)

**Table 7:** Volume of pores, cracks and maximum crack width in reinforced concrete beams measured by micro-computed tomography

Specimen number	Volume of pores and cracks [mm <sup>3</sup> ]	Volume of pores and cracks [%]	Volume of cracks [mm <sup>3</sup> ]	Volume of cracks [%]	Maximum crack width [mm]
Steel #1	15202.83	6.25	7783.85	3.20	0.85
Steel #2	19313.67	7.94	11748.74	4.83	1.73
Basalt #1	20092.06	8.26	11700.10	4.81	1.24
Basalt #2	23132.63	9.51	14327.15	5.89	1.46

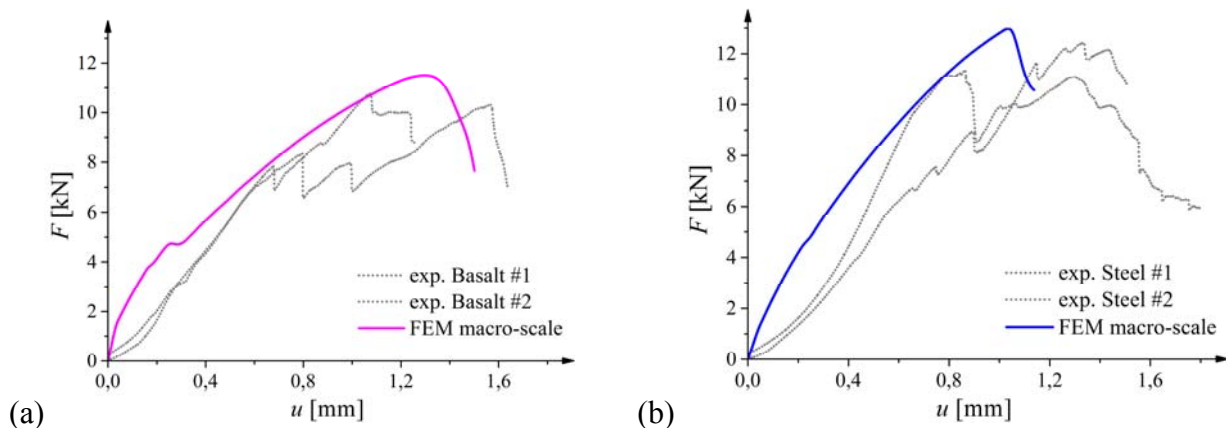
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## 5. Numerical results and discussion

### 5.1. Macro-scale model

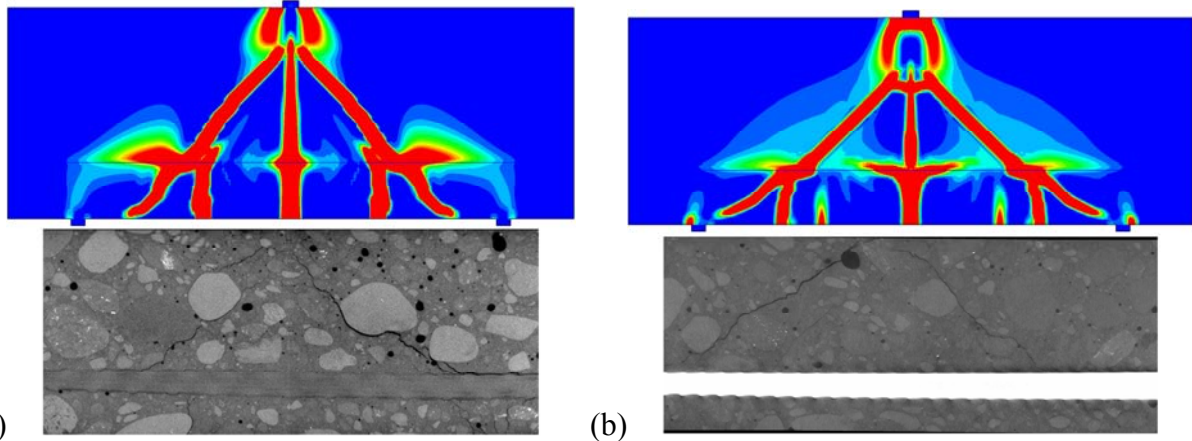
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The FE results within macro-scale model are presented in Figures 17 and 18. Figure 17 shows numerically calculated force-displacement curves in comparison with experimental ones. The ultimate vertical forces are quite good reproduced in FE analyses using macro-scale approach. The maximum difference was 9.3% and 12.2% for beam with basalt and steel reinforcement, respectively. The numerically calculated values were higher than experimental ones and both FE curves were more stiff in comparison to curves from experiments. The contours of non-local equivalent strain measure are presented in Figure 18. In numerical calculations the critical diagonal shear localization zones were obtained for both beams, which is, in general, consistent with experimental crack pattern. The inclination of diagonal shear zone was 47° for basalt reinforcement and 42° for steel. Similar observation may be seen from experiments, i.e. critical cracks for beams with basalt reinforcement were steeper in comparison with cracks in beams with steel reinforcement. The average inclination (average value for front and back side for two specimens – Table 5) of failure cracks in experiments was 48° and 44° for beams with basalt and steel bars, respectively. The overall calculated number of localized zones was smaller in comparison with real i.e. experimental number of cracks. Moreover, in numerical calculations, in contrast with experiments, for both types of reinforcement the pronounce bending localized zone was observed in the central part of the beam.



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**Figure 17:** Force – deflection curves for macro-scale model in comparison with experimental outcomes for beams with: (a) basalt and (b) steel reinforcement.



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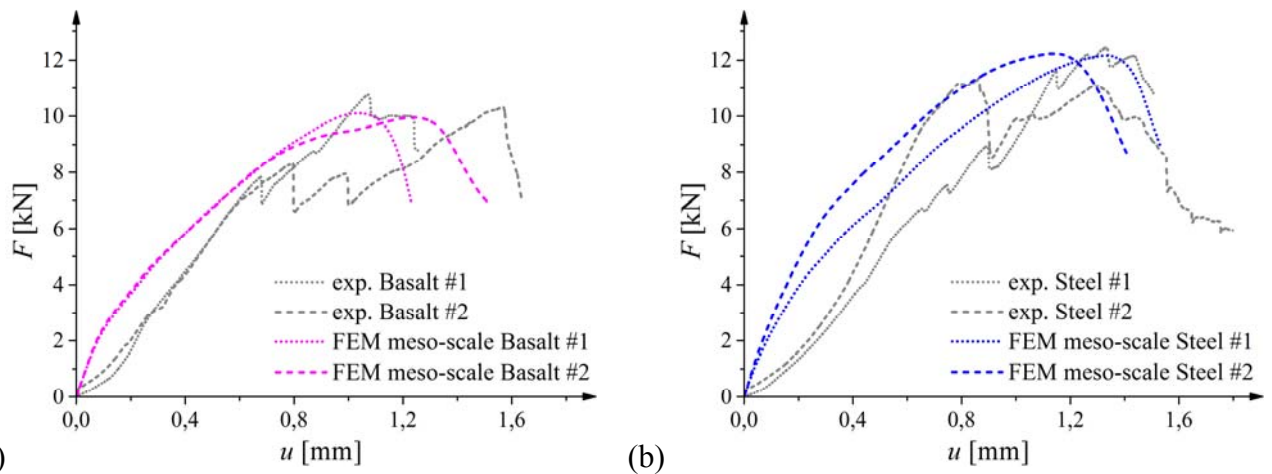
**Figure 18:** Contours of non-local equivalent strain measure  $\bar{\epsilon}$  from macro-scale FE analyses compared with experimental cracks pattern at failure for beams with: (a) basalt and (b) steel reinforcement.

## 5.2. Meso-scale model

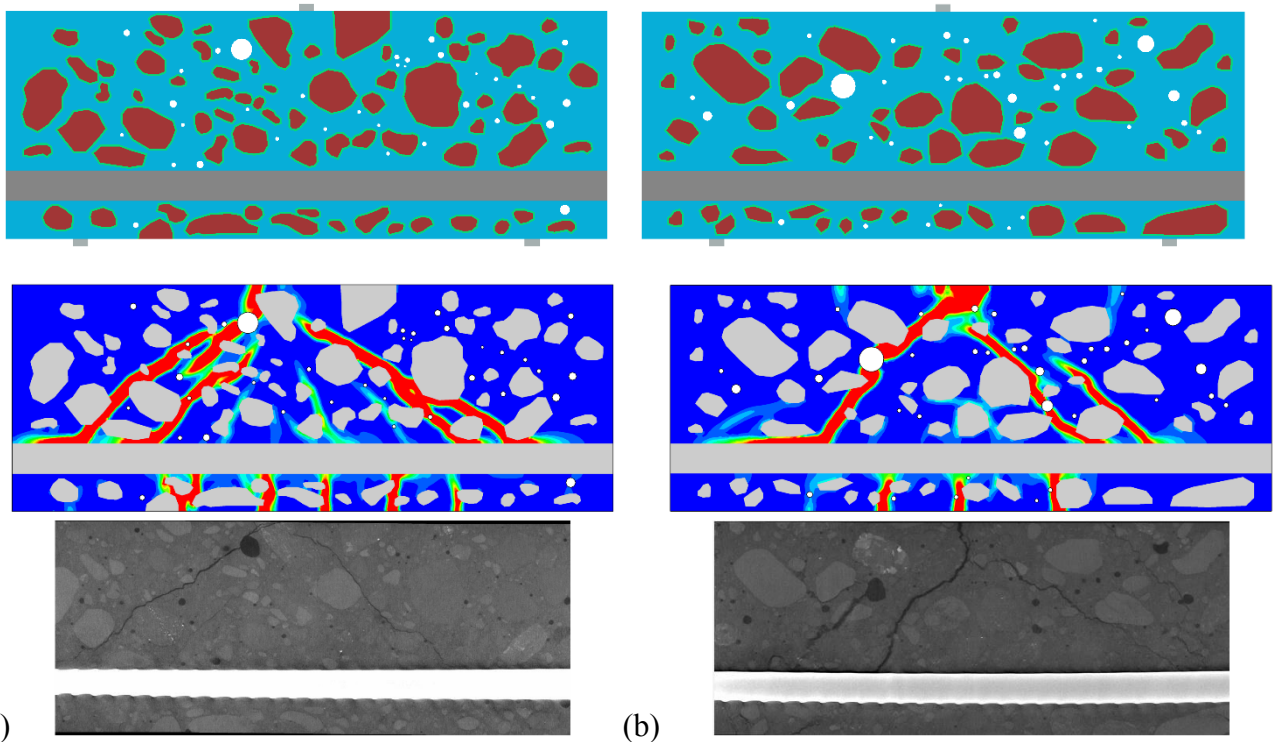
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The FE results within meso-scale model are shown in Figures 19-21. Figure 19 shows comparison between numerically calculated force-displacement curves for all specimens and experimental curves. The ultimate vertical forces are better reflected using FE analyses with meso-scale approach than as it was obtained within macro-scale computations. The maximum difference was negligible since it were equal 5% and 5.2% for beam with basalt and steel reinforcement, respectively. The calculated curves are also less steep, thus the stiffness of overall response is better reproduced. Due to the representation of different meso-scale components in the FE analysis, the contours of strain localization (Figures 20-21) were different in comparison to macro-scale model (Figure 18). The larger number of localized zones was observed for all beams and the overall characteristic of crack pattern was better reflected. The shear zones propagated through the weakest components (mainly through ITZ zones that were the weakest parts of material) between aggregate grains that resulted in a curvature of cracks. In addition, a number of accompanying minor zones was observed which was consistent with experiments. The mechanism of cracking was satisfactorily reflected thus, similarly as in experiments, firstly a bending localised zones in the bottom central part of beams were observed and next, with increasing force, inclined zones started to form. Finally, further growth of inclined shear localised zones led to the failure. In contrast to macro-scale model, the bending zones were less developed. The calculated inclination of diagonal shear zone for basalt reinforced beams was 54° (Basalt #1) and 42° (Basalt #2) and it was with good agreement with experimental values (calculated as an average value for front and back side) equal 52° and 44°, respectively. In turn, for beams with steel reinforcement calculated inclination varied between 41° (Steel #1) and 49° (Steel #2) whereas average measured values were 38.5° (Steel #1) and 50° - 65.5° (Steel #2), respectively. Thus, the discrepancies concern mainly the beam Steel #2 where strongly curved shear zone was observed in experiment. Moreover, the shape of failure crack for Steel #2 was strongly non-uniform along beam's depth but in simulation only one cross section was analysed for this beam.

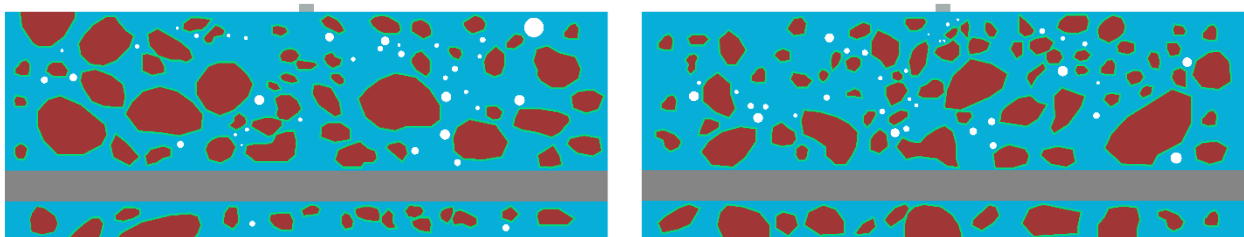
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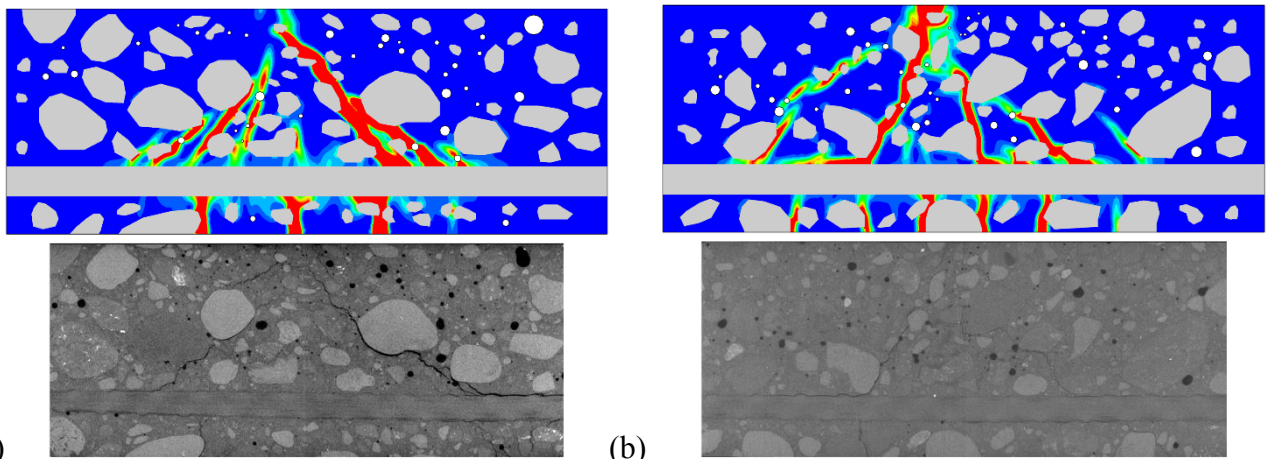


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598 **Figure 19:** Force – deflection curves for meso-scale model in comparison with experimental  
599 outcomes for beams with: (a) basalt and (b) steel reinforcement.  
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603 **Figure 20:** Numerical representation of meso-structure and contours of non-local equivalent strain  
604 measure  $\bar{\epsilon}$  from meso-scale FE analyses compared with experimental crack pattern at failure for  
605 beams with steel reinforcement: (a) Steel #1 and (b) Steel #2  
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611 (a) (b)  
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 613 **Figure 21:** Numerical representation of meso-structure and contours of non-local equivalent strain  
 614 measure  $\bar{\epsilon}$  from meso-scale FE analyses compared with experimental cracks pattern at failure for  
 615 beams with basalt reinforcement: (a) Basalt #1 and (b) Basalt #2  
 616

## 617 6. Conclusions

618  
 619 Numerical FE investigations of concrete reinforced with steel or basalt bars combined with the  
 620 quantitative description of fracture phenomenon using Digital Image Correlation (DIC) and X-ray  
 621 micro computed tomography (micro-CT) allow to draw the following conclusions:  
 622

- 623 (1) Average total porosity, measured by 3D micro-CT, of non-cracked concrete beams reinforced  
 624 with steel bars (3.08%) was smaller by about 15% than porosity of non-cracked concrete  
 625 beams reinforced with basalt bars (3.53%). Nevertheless, both values show a satisfactory  
 626 agreement with air content of fresh concrete measured by means of the air pressure test  
 627 (3.24%) that confirms reliability of that method.  
 628
- 629 (2) Micro-CT investigations revealed that, in spite of careful concreting and compacting of fresh  
 630 mix, some free air along the steel bar ribs can be noticed. Presence of air along the steel bar  
 631 ribs can lead to the deterioration of contact between concrete and reinforcement. This  
 632 phenomenon was not observed in terms of basalt bar.  
 633
- 634 (3) During mechanical experiments, initially one or two bending cracks appeared close to the  
 635 mid-span of tested beams and then inclined shear cracks occurred that continuously evolved  
 636 in length and width along with the deformation process. Finally, failure took place in a rapid  
 637 brittle way due to a diagonal shear crack moving through the beam compressive zone towards  
 638 the loading point. Failure crack was strongly non-symmetric on both sides of beams in terms  
 639 of the distance from the support and inclination to the horizontal line. Formation and  
 640 evolution of cracks on the beams' surface was successfully observed using non-invasive  
 641 Digital Image Correlation.  
 642
- 643 (4) The average experimental inclination of failure crack was approximately  $48^\circ$  and  $44^\circ$  whereas  
 644 the average distance of failure crack from the support was approximately 13 mm and 10 mm  
 645 for concrete beams reinforced with basalt and steel bars, respectively. That means that failure  
 646 cracks in beams reinforced with basalt bars were steeper and placed farther from the support  
 647 in comparison to beams reinforce with steel bars.  
 648
- 649 (5) Calculations of shear strength following EC (1.67 MPa) and ACI (2.00 MPa) formulas  
 650 underestimated whereas alternative ACI formulae (8.29 MPa) overestimated the experimental

651 average shear strength (3.11 MPa) that was, in fact, similar for concrete beams reinforced  
652 with basalt and steel bars. Nevertheless, experimental results are within the range set by the  
653 standard formulas and observed discrepancies may be caused by a rather small geometry of  
654 tested beams.

- 655
- 656 (6) On the basis of 3D micro-CT measurements, the average volume of cracks in beams  
657 reinforced with basalt bars (5.35%) was by about 33% higher than in concrete beams  
658 reinforced with steel bars (4.01%). At the same time, the average crack width in concrete  
659 beams reinforced with basalt bars (1.35 mm) was by about 5% higher than in concrete beams  
660 reinforced with steel bars (1.29 mm). Slightly higher value of average maximum crack width  
661 and visibly greater average volume of cracks in concrete beams reinforced with basalt bars  
662 might be caused by the larger average deflection observed in experiments.
- 663
- 664 (7) The FE macro-scale modelling using non-local coupled isotropic elasto-plastic-damage model  
665 for concrete ensures the acceptable compliance with experimental outcomes. This approach  
666 provides proper estimation regarding to calculated load-bearing capacity and overall  
667 characteristic of failure mechanism in reinforced concrete beams with relatively little time  
668 expenditure for numerical calculations.
- 669
- 670 (8) The FE meso-scale model allows to go into details of the curvature and heterogeneity  
671 of critical shear zones with taking into account the real material meso-structure.  
672 As a consequence, the improvement in calculated ultimate vertical forces and overall stiffness  
673 of beams response was achieved. Moreover, representation of different meso-scale  
674 components in FE calculations leads to obtain more realistic shape of calculated crack  
675 patterns.
- 676
- 677 (9) The advantage of advanced coupled elasto-plastic-damage meso-scale modelling to  
678 comprehensively study the mechanism of the initiation, growth and formation of localized  
679 zones and cracks was confirmed.
- 680

## 681 7. Future perspectives

682

683 This paper presents preliminary numerical 2D simulations of reinforced concrete beam subjected to  
684 3-point bending where only aggregate grains of diameter  $\geq 2$  mm were modelled and satisfactory  
685 agreement with experimental investigations has been achieved. In order to make further  
686 improvements, 2D and 3D investigations with aggregate diameters  $\geq 0.5$  mm will be carried out.

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