

Generalized Dold sequences on partially-ordered sets*

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Abstract

Dold sequences constitute an important class of integer sequences that play an important role in combinatorics, number theory, topology and dynamical systems. We generalize the notion of Dold sequence for the case of partially ordered sets and describe their properties. In particular we give two alternative descriptions of generalized Dold sequences: by some class of elementary sequences as well as by different generalized arithmetical functions, both defined on a partially ordered set. We also define vector Dold sequences and show their combinatorial interpretation in terms of periodic points.

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1 Introduction

Dold sequences are an important class of integer sequences satisfying some congruences (Definition 1). They recently have attracted growing attention due to the fact that they constitute a bridge joining various mathematical fields: combinatorics and number theory with topology and dynamical systems.

Let us mention here that there is an ambiguity in terminology, which results from the fact that Dold sequences (we follow the nomenclature from [24]) appear in so many contexts (cf. review article [6]). They are known under different names such as: pre-realizable sequences [32], relatively realizable sequences [28], Gauss sequences [40], generalized Fermat sequences [10] and Fermat sequences [11].

One of the important results, that has a strong impact on periodic point theory, was finding that the sequence of fixed point indices of iterations is a Dold sequence (Dold, [8]). The theorem opened a new field of research in which the influence of the form of indices of iterations on the structure of periodic points and the dynamical behaviour of the map was examined. This brought about a series of new results related to (among other) smooth maps [7, 15, 16], planar homeomorphisms [17, 21, 25], 3-dimensional homeomorphisms [20, 22], simplicial maps [13].

Let us mention that the sequence of Lefschetz numbers of iterations $(L(f^n))_n$ is an another example of Dold sequence, which results straightforwardly from the fact that the sequence of traces of the powers of an integer-valued matrix is a Dold sequence [19, 40].

Another method of creating Dold sequences is given by *generating sequence* for Newton sequences. The last class of sequences is defined on the basis of *Newton identities* [26]. Let us remind that the sequence $(a_n)_n$ is generated by the generating sequence $(c_n)_n$ if:

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_{n-1} a_1 + n c_n. \quad (1)$$

Du, Huang and Li showed that the classes of Newton sequences generated by integers and Dold sequences in fact coincide [10]. As a result, due to the fact that each integer valued generating sequence produce a Newton sequence, we obtain a new description of Dold sequences.

In number-theoretical considerations Dold sequences play important role: many classical sequences turned out to belong to this class, furthermore various techniques (for example using generating sequences) applied to Dold sequences enable to obtain new types of congruences [10, 11].

The aim of this paper is to generalize the notion of Dold sequences for the case of partially ordered sets (*posets*). We provide an overall look at the topic, presenting the construction in which classical Dold sequences are the special case with the order given by relation of divisibility. The poset approach seems to be a natural bridge joining the topological notion of Dold sequences with combinatorics. We should stress here that the concept of the Möbius function on poset appeared more than 50 years ago (see paper [33] by Rota, and Stanley's book [38]). It has been intensively investigated since then (see e.g. effective applications of Möbius technics on posets to solve interesting combinatorial questions [3, 5, 34, 37, 39], with probably the latest one [2]). In the present paper we refer



to the Möbius function as a concept that naturally defines the Dold sequences on posets and enables us to give its combinatorial interpretation in terms of counting the periodic points.

The paper is organized in the following way. In the second section we give the definition and examples of standard Dold sequences as well as describe their basic properties. In the next section we introduce generalized Dold sequences. Here we also prove that, analogously to classical case, every such sequence has a representation as the combination of some elementary sequences, denoted as Reg_k (Theorem 16). Section 4 is devoted to generalization of the result of Wójcik in [43], who provided the equivalent definition of Dold sequences by arithmetical functions different from the Möbius function. We describe such characterization for generalized arithmetical function, i.e. functions defined on a partially ordered set (Theorem 22). In Section 5 we consider some special case of the theory and analyze *Dold vector sequences* as well as *Dold matrix sequences*. We provide a combinatorial model within periodic point theory, in which vector Dold sequences may be interpreted as the sequences of fixed points of a given type, and the order is given by inclusion (Theorem 27). The same construction may be also performed for fixed points classified according to two independent features (Remark 36).

2 Classical Dold sequences

In this section we introduce the classical Dold sequences for the order given by relation of divisibility.

Through the whole paper we apply the following terminology: x is a periodic point with minimal period n if $f^n(x) = x$ and $f^m(x) \neq x$ for $m < n$ (we will also call x an n -periodic point for short). The orbit of such a point will be called n -orbit, or an orbit of length n .

Definition 1. A sequence of integers $(a_n)_{n=1}^{\infty}$ is called a *Dold sequence* if the following congruences (called Dold congruences or Dold relations) are fulfilled:

$$\sum_{k|n} \mu\left(\frac{n}{k}\right) a_k \equiv 0 \pmod{n} \text{ for each } n \geq 1, \quad (2)$$

where $\mu : \mathbb{N} \rightarrow \mathbb{Z}$ is the classical Möbius function, given by the formula

$$\mu(n) = \begin{cases} 1, & \text{if } n = 1; \\ (-1)^k, & \text{if } n = p_1 p_2 \dots p_k \text{ for different primes } p_i; \\ 0, & \text{otherwise.} \end{cases}$$

There is a convenient way of representing a Dold sequence by a use of so-called periodic expansion, i.e. as a combination of some basic periodic sequences, with some integer coefficients called *Dold coefficients*.



Definition 2. Let k be a fixed natural number. We define

$$\text{reg}_k(n) = \begin{cases} k & \text{if } k \mid n, \\ 0 & \text{if } k \nmid n. \end{cases}$$

Thus, reg_k is the periodic sequence:

$$(\text{reg}_k(n))_{n \geq 1} = (0, \dots, 0, k, 0, \dots, 0, k, \dots),$$

where the non-zero entries appear for indices divisible by k .

Proposition 3. (cf. [24] Proposition (3.2.7)) Any arithmetic function $(g_n)_{n=1}^\infty$ can be written uniquely in the following form of a periodic expansion:

$$g_n = \sum_{k=1}^{\infty} \alpha_k \text{reg}_k(n), \text{ where } \alpha_n = \frac{1}{n} \sum_{k \mid n} \mu\left(\frac{n}{k}\right) g(k) \in \mathbb{Q}. \quad (3)$$

Moreover, g is integer valued and satisfies Dold congruences iff $\alpha_k \in \mathbb{Z}$ for every $k \in \mathbb{N}$.

Remark 4. By Proposition 3 every Dold sequence is an integer combination (possibly infinite) of basic periodic sequences reg_k .

Definition 5. For a given Dold sequence $(a_n)_n$ we define $(b_n)_n$, the sequence of Dold coefficients, by the formula:

$$b_n = \frac{1}{n} \sum_{k \mid n} \mu\left(\frac{n}{k}\right) a_k. \quad (4)$$

Remark 6. Notice that by Proposition 3 the sequence of Dold coefficients is an integer sequence. Furthermore by the application of the Möbius Inversion Formula (cf. [38] Section 3.7) for a given $(b_n)_n$, one may uniquely determine the sequence $(a_n)_n$, namely:

$$a_n = \sum_{k \mid n} k b_k. \quad (5)$$

For $k, l \in \mathbb{N}$ let us denote by (k, l) the greatest common divisor and by $[k, l]$ the least common multiple of k and l . The following multiplication rule for basic sequences holds (cf. also [24]):

$$\text{reg}_k \cdot \text{reg}_l = (k, l) \text{reg}_{[k, l]}. \quad (6)$$

Corollary 7. The product and the sum of Dold sequences is a Dold sequence. As a consequence, they constitute the subring of integer-valued sequences.

Proof. Indeed, if $(a_n)_n$ and $(b_n)_n$ are Dold sequences then each of them is a combination of basic sequences. Thus (obviously) $(a_n + b_n)_n$ and $(a_n \cdot b_n)_n$ by the formula (6) are also some combination of basic sequences. \square



Below, we will give some examples of Dold sequences.

- Example 8.** (1) Let X be a set and let $f: X \rightarrow X$. Denote by $\# \text{Fix}(f^n)$ the number of fixed points of the n -th iterate f^n and assume that it is finite. Then the sequence $(a_n)_n$, $a_n = \# \text{Fix}(f^n)$ is a Dold sequence. This results from the fact that each Dold coefficient b_n (defined in (4)) is equal to the number of n -periodic points, i.e. is the product of n and the number of n -orbits (cf. [12]).
- (2) Let A be a square matrix with integer values. The sequence given by the formula $a_n = \text{tr}A^n$ is a Dold sequence (cf. [40] and the references therein).
- (3) Let Y be an Euclidean Neighbourhood Retract (ENR) and $V \subset Y$ be an open subset. Consider $f: V \rightarrow Y$, a continuous map, and the iterations $f^n: V_n \rightarrow Y$, where V_n is defined inductively by $V_1 = V$, $V_n = f^{-1}(V_{n-1})$ for $n > 1$. Under the assumption that $\text{Fix}(f^n)$ is compact, the sequence of fixed point indices $(\text{ind}(f^n, V_n))_n$ is a Dold sequence [8].
- (4) There is a huge collection of well-known sequences that appear in combinatorics, for example Lucas sequences, that are Dold sequences, see the examples given in [31] from The On-Line Encyclopedia of Integer Sequences [29].

Remark 9. Dold sequences of the type (1) of Example 8 provide a lot of important congruences – for example taking the map $f: \mathbb{C} \rightarrow \mathbb{C}$, $f(z) = z^a$, $a \in \mathbb{N}$, $a \geq 2$ we get that $a_n = \# \text{Fix}(f^n) = a^n$ defines a Dold sequence. Thus, taking $n = p$ a prime, we obtain $a^p - a \equiv 0 \pmod{p}$, i.e. classical Little Fermat Theorem. More elaborated maps produce more complicated congruences.

By (2) in Example 8 we get that the sequence of Lefschetz numbers of iterations $(L(f^n))_n$ is a Dold sequences, as it is equal to $\text{tr}A^n - \text{tr}B^n$ for some integer valued square matrices A and B . Let us notice here, that Lefschetz numbers of iterations are very useful device in periodic point theory, cf. [1, 4, 9, 18, 23, 36] and the references therein.

Dold sequences of the type (3) provide restrictions for sequences of local fixed point indices (at a fixed point x_0) $(\text{ind}(f^n, x_0))_n$ for various classes of maps f , which is important from the point of view of study of their dynamics and the structure of periodic points.

Dold sequences are strictly related to periodic point theory by the notion of *exactly realizable sequences*.

Definition 10. A sequence $(a_n)_n$ with values in $\mathbb{N} \cup \{0\}$ is called *exactly realizable* if there is a space X and a map $f: X \rightarrow X$ such that $a_n = \# \text{Fix}(f^n)$ for all $n \geq 1$.

A full characterization of exactly realizable sequences by Dold sequences is given by the following statement.

Lemma 11. (cf. [31]) *Let $(a_n)_n$ be a sequence of non-negative integers. Then $(a_n)_n$ is exactly realizable if and only if $(a_n)_n$ is a Dold sequence and all Dold coefficients b_n (given in Definition 5) are non-negative.*



Recently, Wójcik in [43] has made an interesting observation that the Möbius function μ in Definition 1 may be replaced by any other integer valued function satisfying some natural conditions. In this way a new description of Dold sequences is obtained.

Theorem 12. [43] *Let $\psi: \mathbb{N} \rightarrow \mathbb{Z}$ be a function satisfying the following conditions:*

$$\sum_{k|n} \psi(k) \equiv 0 \pmod{n}; \quad \psi(1) = \pm 1. \quad (7)$$

Then a sequence $(a_n)_n$ is a Dold sequence if and only if

$$\sum_{k|n} \psi\left(\frac{n}{k}\right) a_k \equiv 0 \pmod{n} \text{ for each } n \geq 1, \quad (8)$$

Notice that the condition (7) is obviously satisfied by the Möbius function, as the considered sum is equal to zero. It is also satisfied for much larger class of maps, for example for the Euler function ϕ (that counts the positive integers up to a given integer n that are relatively prime to n). Indeed, $\sum_{k|n} \phi(k) = n \equiv 0 \pmod{n}$.

3 Generalized Dold sequences and their properties

Let P be a countable partially ordered set (poset) with a partial order " \leq " and $w: P \rightarrow \mathbb{Z}$ be a function assigning to each $x \in P$ a weight $w \in \mathbb{Z}$.

We will assume that the following conditions are satisfied:

(A1) for each $p \in P$ the set $\{q : q \leq p\}$ is finite,

(A2) $\forall_{x,y \in P} x < y \Rightarrow w(x) | w(y) \wedge w(x) < w(y)$.

Such a poset may be identified with a directed graph (this graph is often called the Hasse diagram). Then the elements of the poset correspond to vertices and a directed edge from $x \in P$ to $y \in P$ exists iff $x < y$ and there is no $z \in P \setminus \{x, y\}$ such that $x \leq z \leq y$.

In such a graph a generalized Möbius function $\mu^*: P \times P \rightarrow \mathbb{Z}$ is defined by the formula:

$$\mu^*(x, y) = \begin{cases} 1 & \text{if } x = y, \\ -\sum_{x \leq z < y} \mu^*(x, z) & \text{if } x < y, \\ 0 & \text{otherwise.} \end{cases} \quad (9)$$

We define also two other important functions: $\zeta, \delta: P \times P \rightarrow \mathbb{R}$.

$$\delta(x, y) = \begin{cases} 1 & \text{if } x = y, \\ 0 & \text{otherwise.} \end{cases} \quad (10)$$

$$\zeta(x, y) = \begin{cases} 1 & \text{if } x \leq y, \\ 0 & \text{otherwise.} \end{cases} \quad (11)$$



Now we are in position to define generalized Dold sequence (assuming P satisfies the conditions (A1) and (A2)).

Definition 13. A sequence $(a_p)_{p \in P}$ indexed by the elements of P satisfies Dold relations with respect to weights $w : P \rightarrow \mathbb{Z}$ if for each $y \in P$

$$\sum_{x \leq y} \mu^*(x, y) a_x \equiv 0 \pmod{w(y)}. \quad (12)$$

If $(a_p)_{p \in P}$ satisfies Definition 13 we will also say that it is a generalized Dold sequence.

3.1 Representation of generalized Dold sequence as a combination of basic periodic sequences

For any two elements $x, y \in P$ such that $x \leq y$ we may define the interval

$$\text{Int}[x, y] = \{z \in P : x \leq z \leq y\}.$$

We call a poset P *locally finite* if each interval is finite. Note that by the assumption (A1) we consider only locally finite posets.

We consider the so-called *incidence algebra* (denoted by \mathcal{A}). It is \mathbb{R} -algebra over the vector space of real-valued functions defined on such intervals (satisfying the condition: $f(x, y) = 0$ for all such $x, y \in P$ that $\neg(x \leq y)$), equipped with the bilinear product called convolution, denoted by $*$, and given by the formula:

$$(f * g)(x, y) = \sum_{z: x \leq z \leq y} f(x, z)g(z, y). \quad (13)$$

We will interpret \mathcal{A} as the ring with unity δ . Then the inverse element to μ^* is ζ :

$$\zeta * \mu^* = \mu^* * \zeta = \delta.$$

In this case, the following theorem (known as the Möbius inversion formula) holds (cf. [38]):

Theorem 14. *Let b be a real-valued sequence defined on P . Then the equality*

$$S_b(x) := \sum_{y: y \leq x} b(y) \quad (14)$$

is equivalent to:

$$b(x) = \sum_{y: y \leq x} \mu^*(y, x) S_b(y). \quad (15)$$

Proof. We add to the order P the smallest element m and assume that $b(m) = 0$. Next, we define the function $f \in \mathcal{A}$ as:

$$f(x, y) = \begin{cases} b(y) & \text{if } x = m, \\ 0 & \text{otherwise.} \end{cases} \quad (16)$$

Let $g = f * \zeta$, then:

$$g(m, y) = \sum_{z:m \leq z \leq y} f(m, z)\zeta(z, y) = \sum_{z:z \leq y} b(z) = S_b(y).$$

By the equality $f = g * \zeta^{-1} = g * \mu^*$ we get

$$b(x) = f(m, x) = \sum_{y:m \leq y \leq x} g(m, y)\mu^*(y, x) = \sum_{y:m \leq y \leq x} S_b(y)\mu^*(y, x). \quad (17)$$

□

Now we define the counterpart of the sequences \mathbf{reg}_k and show that every generalized Dold sequence may be represented uniquely as the combination of such sequences, which gives a generalization of Proposition 3.

Definition 15. For each element $p \in P$ let $\mathbf{Reg}_p : P \rightarrow \mathbb{Z}$ be given by the formula

$$\mathbf{Reg}_p(x) = \begin{cases} w(p) & \text{if } p \leq x, \\ 0 & \text{otherwise.} \end{cases}$$

Theorem 16. A sequence $(a(x))_{x \in P}$ satisfies Dold relations with respect to weights w if and only if there is uniquely determined sequence of integers $(b_x)_{x \in P}$ such that

$$a(x) = \sum_{y \leq x} b_y \mathbf{Reg}_y(x). \quad (18)$$

Proof. By Theorem 14 the equality $a(x) = \sum_{y \leq x} b_y \mathbf{Reg}_y(x)$ is equivalent to

$$b_x \mathbf{Reg}_x(x) = \sum_{y \leq x} \mu^*(y, x) a(y). \quad (19)$$

Taking into account that the left-hand side of the equality (19) is equal either 0 or $b_x \cdot w(x)$ we get that: if all b_x are integers, then $(a(x))_{x \in P}$ is a generalized Dold sequence. Conversely, if $(a(x))_{x \in P}$ is a generalized Dold sequence then all b_x are integers. □

Remark 17. Notice that if $a(x) = S_c(x) = \sum_{y \leq x} c(y)$, then by (19) $(a_x)_{x \in P}$ is a generalized Dold sequence if and only if $w(x) | c(x)$ for each x .

If sequences $(a_p)_{p \in P}, (a'_p)_{p \in P}$ satisfy Dold relations with respect to weights w , then obviously their combination with integer coefficients also satisfies the relations. However, the similar property concerning the product of two sequences satisfying Dold relations does not hold, which can be seen by the following example.

Example 18. Let $P = \{A, B, C\}$ and $A < C$, and $B < C$, and neither $A < B$, nor $B < A$. We define the weights: $w(A) = w(B) = 1, w(C) = 2$. Then the condition (A2) is satisfied and $\mu^*(A, A) = \mu^*(B, B) = \mu^*(C, C) = 1, \mu^*(A, C) = \mu^*(B, C) = -1, \mu^*(A, B) = \mu^*(B, A) = \mu^*(C, A) = \mu^*(C, B) = 0$.

If a sequence $(a_x)_{x \in P}$ satisfies (12), then the only non-trivial relation is:

$$a_C - a_B - a_A \equiv 0 \pmod{2}. \quad (20)$$

Consider the sequences $(a_x)_{x \in P}, (a'_x)_{x \in P}$ satisfying (20). Then they are of the form:

$a_A = m, a_B = n, a_C = 2k + m + n, k, m, n \in \mathbb{Z}$ and $a'_A = p, a'_B = r, a'_C = 2s + p + r, p, r, s \in \mathbb{Z}$. Then the sequence $(a_x \cdot a'_x)_{x \in P}$ does not satisfy (20) if $mr + np$ is odd.

An interesting class of sequences that turned out to be Dold sequences were considered in [35].

Definition 19. A sequence $a : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{R}$ is called a two-dimensional Dold sequence if for any natural numbers m and n ,

$$\sum_{k|n} \sum_{l|m} \mu\left(\frac{n}{k}\right) \mu\left(\frac{m}{l}\right) a(k, l) \equiv 0 \pmod{[m, n]}.$$

To reveal the structure of two-dimensional Dold sequences, we first cite the following lemma cf. ([38]).

Lemma 20. Let P_1, P_2 be two locally finite posets equipped with the relation $\leq_{P_1 \times P_2}$, where $(x, y) \leq_{P_1 \times P_2} (z, w) \iff x \leq_{P_1} z$ and $y \leq_{P_2} w$. Then $\mu_{P_1 \times P_2}((x, y), (z, w)) = \mu_{P_1}(x, z) \cdot \mu_{P_2}(y, w)$.

Theorem 21. Every two-dimensional Dold sequence is a generalized Dold sequence.

Proof. Notice that for $P = \mathbb{N}$ and the order given by the divisibility relation, we have for all natural k, n :

$$\mu^*(k, n) = \mu(n/k). \quad (21)$$

We define the relation in $P \times P = \mathbb{N} \times \mathbb{N}$ in the following way: $(k_1, l_1) \leq (k_2, l_2) \iff k_1 | k_2 \wedge l_1 | l_2$ and take the weights: $w(k, l) = [k, l]$.

By Lemma 20 and the equality (21) $\mu_{P \times P}^*((k, l), (n, m)) = \mu^*(k, n) \cdot \mu^*(l, m) = \mu(n/k) \cdot \mu(m/l)$.

Finally,

$$\sum_{k|n} \sum_{l|m} \mu\left(\frac{n}{k}\right) \mu\left(\frac{m}{l}\right) a(k, l) \equiv 0 \pmod{[m, n]}$$

is equivalent to:

$$\sum_{(k,l) \leq (n,m)} \mu^*((k, l), (n, m)) \cdot a(k, l) \equiv 0 \pmod{w(m, n)}. \quad \square$$

4 Characterization of generalized Dold sequences by a use of various generalized arithmetical functions

In this section we prove that the generalized Möbius function in the conditions (12) may be replaced by some other functions. Our result generalizes the main theorem of Wójcik in [43] i.e. Theorem 12.

For a given map $\varphi: P \times P \rightarrow \mathbb{Z}$ we define the map $\tilde{S}_\varphi: P \times P \rightarrow \mathbb{Z}$ by the formula $\tilde{S}_\varphi(z, x) = \sum_{y: z \leq y \leq x} \varphi(y, x)$.

Theorem 22. *Assume that $\varphi(x, x) = \pm 1$ and $\tilde{S}_\varphi(y, x) \equiv 0 \pmod{\frac{w(x)}{w(y)}}$ for all x, y . Then $(S_b(x))_{x \in P}$ is a generalized Dold sequence if and only if $\sum_{y: y \leq x} \varphi(y, x) S_b(y) \equiv 0 \pmod{w(x)}$ for each $x \in P$.*

Proof. In the following proof we assume that $b(x)$ is given by (15). First we will prove the “ \implies ” part.

Because S_b is a Dold sequence thus, by Remark 17, $w(z)|b(z)$ for each z , i.e. there is $d_z \in \mathbb{Z}$ such that $b(z) = w(z) \cdot d_z$.

The assumption $\tilde{S}_\varphi(y, x) \equiv 0 \pmod{\frac{w(x)}{w(y)}}$ implies that for all $z \leq x$ there exists $k_{(z,x)} \in \mathbb{Z}$ such that $\tilde{S}_\varphi(z, x) = \frac{w(x)}{w(z)} \cdot k_{(z,x)}$. Then we have:

$$\begin{aligned} \sum_{y: y \leq x} \varphi(y, x) S_b(y) &= \sum_{y: y \leq x} \varphi(y, x) \sum_{z: z \leq y} b(z) = \sum_{z: z \leq x} b(z) \sum_{y: z \leq y \leq x} \varphi(y, x) \\ &= \sum_{z: z \leq x} b(z) \tilde{S}_\varphi(z, x) = \sum_{z: z \leq x} w(z) \cdot d_z \cdot \frac{w(x)}{w(z)} \cdot k_{(z,x)} = w(x) \sum_{z: z \leq x} d_z k_{(z,x)}. \end{aligned} \quad (22)$$

Therefore $\sum_{y: y \leq x} \varphi(y, x) S_b(y) \equiv 0 \pmod{w(x)}$.

Now, using the induction, we will prove the opposite implication “ \impliedby ”. i.e. that $\sum_{y: y \leq x} \varphi(y, x) S_b(y) \equiv 0 \pmod{w(x)} \implies S_b$ is a Dold sequence, which by Remark 17 is equivalent to the condition that

$$w(x)|b(x). \quad (23)$$

If x is a minimal element in P , then

$$\sum_{y: y \leq x} \varphi(y, x) S_b(y) = \varphi(x, x) S_b(x) = \varphi(x, x) b(x) = \pm b(x) \equiv 0 \pmod{w(x)}.$$

Therefore our condition (23) is satisfied.

Let us take $x \in P$ and make the inductive assumption that for all $z < x$ we have $w(z)|b(z)$. Assume now that $\sum_{y: y \leq z} \varphi(y, z) S_b(y) \equiv 0 \pmod{w(z)}$. Then, using in the first



equality below the same rearrangement as in (22) we get:

$$\begin{aligned} \sum_{y:y \leq x} \varphi(y, x) S_b(y) &= \sum_{z:z \leq x} b(z) \tilde{S}_\varphi(z, x) = \sum_{z:z < x} b(z) k_{(z,x)} \frac{w(x)}{w(z)} + b(x) \tilde{S}_\varphi(x, x) \\ &= \sum_{z:z < x} \frac{b(z)}{w(z)} k_{(z,x)} w(x) + b(x) \varphi(x, x). \end{aligned}$$

By the inductive assumption $\sum_{z:z < x} b(z) \tilde{S}_\varphi(z, x) \equiv 0 \pmod{w(x)}$, thus, taking into account that $\varphi(x, x) = \pm 1$ we obtain the congruence (23). \square

5 Vector Dold sequences and their interpretation

5.1 Generalized Dold sequences and periodic points

Now we add an additional assumption (A3) to (A1) and (A2) for a considered poset P having a relation “ \leq ” with weights.

(A3) Let $N \in \mathbb{N}$ be fixed. For each weight $k \in \mathbb{N}$ there exists at most (we may assume that *exactly*) N elements of P with the weight k .

So, since now, we assume that elements of the poset P are given as pairs (k, i) where $k \in \{1, 2, \dots\}$ and $i \in \{1, \dots, N\}$. To simplify notation instead of writing (k, i) we will write k_i i.e. we will denote the points in the poset P with the weight k as k_1, \dots, k_N (there are N copies of k). In this circumstances the assumption (A2) takes the form

$$k_i \leq l_j \Rightarrow k|l. \quad (24)$$

The above condition is the necessary one for the relation to hold, but in order to describe the relation completely we introduce certain matrix notation. Below, for a matrix A , by $A(i, j)$ we denote its entry lying in i -th row and j -th column.

Let us assume that for any natural numbers $k, l \in \mathbb{N}$ we have the matrix $S_{k \rightarrow l}$ such that

$$S_{k \rightarrow l}(b, a) = \begin{cases} 1 & \text{if } k_a \leq l_b, \\ 0 & \text{if } k_a \not\leq l_b. \end{cases} \quad (25)$$

So in b -th row and a -th column of the matrix $S_{k \rightarrow l}$ there is 1 or 0 depending whether k_a is related to l_b or not. We call these matrices *characteristic matrices of the relation \leq* . Note that $S_{k \rightarrow k}$ is the identity matrix for any $k \in \mathbb{N}$.

We will now consider the certain model of the partial order relation related to periodic points theory, in which the order reflects how the shorter orbits are assigned to longer orbits, i.e. defines the filtrations of the sets of orbits in respect to their length.

Remark 23. Let $g: X \rightarrow X$ be a (continuous) map such that for each k the set $\text{Fix}(g^k)$ is finite. We assume that all k -orbits of the map g are classified into finite set of *types*,

where types correspond to the numbers from the finite set $\{1, \dots, N\}$. In this way, the set of orbits for each k is divided into (not necessarily disjoint) families $\{k_1, \dots, k_N\}$, where k_i denotes orbits of the length k belonging to the type i .

We will say that a fixed point $x_0 \in \text{Fix}(g^n)$ has the *target type* $i(n)$ if it belongs to an k -orbit in k_j such that $k_j \leq n_i$. Note that there is no limitation in the number of target types a fixed point is assigned to. The set of all fixed points of g^n , having the target type i , will be denoted as $\text{Fix}_i(g^n)$.

In this context we have the natural definition of the vector valued sequence $X_n \in \mathbb{R}^N$, $n \in \mathbb{N}$ given by

$$X_n(i) = \# \text{Fix}_i(g^n). \quad (26)$$

Let $A_k \in \mathbb{R}^N$ be the vector whose j -th coordinate $A_k(j)$ equals to the number of orbits of length k and belonging to the type j . Then the formula (26) for $X_n(i)$ takes the form:

$$X_n(i) = \sum_{k:k_j \leq n_i} k \cdot A_k(j). \quad (27)$$

Since the points k_i and l_j are joined (cf. (25)) if and only if $S_{k \rightarrow l}(j, i) = 1$, and $S_{k \rightarrow l}$ is 0 – 1 matrix, the following equality holds:

$$X_n = \sum_{k:k|n} k S_{k \rightarrow n} A_k = \sum_{k=1}^n k S_{k \rightarrow n} A_k, \quad (28)$$

the last equality being valid since we may assume that if $k \nmid n$, then $S_{k \rightarrow n} = 0$. This is a natural generalization of the classical situation when we count all periodic points, without dividing them into types and have one dimensional situation of

$$x_n = \sum_{k:k|n} k a_k$$

where a_k denotes the number of periodic k -orbits and $x_n = \# \text{Fix } g^n$. In this one dimensional interpretation $S_{k \rightarrow n}$ is a 1×1 matrix given by

$$S_{k \rightarrow n} = \begin{cases} 1 & \text{for } k|n \\ 0, & \text{for } k \nmid n. \end{cases}$$

Below we provide some examples of classifying orbits into types.

Example 24. Let us fix the set $U \subset X$ and for each orbit A define the *type of the orbit* A as

$$t(A) = \begin{cases} 2 & \text{if } A \cap U \neq \emptyset, \\ 1 & \text{if } A \cap U = \emptyset. \end{cases} \quad (29)$$

Thus, $t(A) = 2$ if and only if the orbit A , „visits” the set U . As a consequence, we have $N = 2$ types and the relation is defined as



$$k_i < n_j \Leftrightarrow k|n \wedge i \leq j \wedge k < n.$$

This means that when counting fixed points of g^n of the type 1 we count only these orbits that have not visited the set U , while the type 2 covers all orbits.

Example 25. The previous setting may be extended and modified to more complex situation. Let $\sigma: X \rightarrow \mathbb{R}$ be any function taking values in the finite set $\{1, \dots, N\}$ and for each finite orbit A of the map $g: X \rightarrow X$ the type of the orbit A is given by

$$t(A) = \max\{\sigma(x) : x \in A\}.$$

Then the elements of the poset P are given as pairs $k_i \in \mathbb{N} \times \{1, \dots, N\}$ where k_i is identified with the set of k -orbits A having the same type $t(A) = i$. Of course for each k there exists only finite number of non-empty sets of orbits described by pairs k_i . The relation is given by

$$k_i \leq n_j \iff k|n \wedge i = j.$$

5.2 The Möbius matrix function

Now we define the *Möbius matrix function* by

$$M_{k \rightarrow l}^* = [\mu^*(k_i, l_j)]_{1 \leq j, i \leq N}$$

for $k, l \in \mathbb{N}$. We use the convention that $M_{k \rightarrow l}^*(j, i) = \mu^*(k_i, l_j)$ so in j -th row and i -th column is the generalized Möbius function for the pair of points k_i and l_j .

We are going to show that the Möbius matrix function satisfies some recurrence relations similar to what is known from the classical case. Let us start from the recurrence relation for the Möbius function μ^* defined on poset, which is an equivalent of the formula (9) applied under the condition (A3).

$$\mu^*(k_i, l_j) = \begin{cases} 1 & \text{if } k_i = l_j, \\ -\sum_{n_c: k_i \leq n_c < l_j} \mu^*(k_i, n_c) & \text{if } k_i < l_j, \\ 0 & \text{otherwise.} \end{cases} \quad (30)$$

Note that:

$$M_{k \rightarrow k}^* = I,$$

where I denotes the identity matrix.

Moreover, for $l > k$ we have

$$M_{k \rightarrow l}^*(j, i) = \mu^*(k_i, l_j) = - \sum_{n: k|n \wedge n|l \wedge n \neq l} \sum_{c=1}^N S_{k \rightarrow n}(c, i) S_{n \rightarrow l}(j, c) \mu^*(k_i, n_c). \quad (31)$$



Note however, that if $S_{k \rightarrow n}(c, i) = 0$ then also $\mu^*(k_i, n_c) = 0$, so we may actually replace $S_{k \rightarrow n}(c, i)$ with 1 without changing the value of the sum (31). Hence we have

$$\mu^*(k_i, l_j) = - \sum_{n:k|n \wedge n|l \wedge n \neq l} \sum_{c=1}^N S_{n \rightarrow l}(j, c) \mu^*(k_i, n_c). \quad (32)$$

Let us remind that $\mu^*(k_i, n_c) = M_{k \rightarrow n}^*(c, i)$ thus the sum $\sum_{c=1}^N S_{n \rightarrow l}(j, c) \mu^*(k_i, n_c)$ corresponds to the multiplication of j -th row of $S_{n \rightarrow l}$ and i -th column of $M_{k \rightarrow n}^*$. Hence we can write in the matrix notation:

$$M_{k \rightarrow l}^* = - \sum_{n:k|n \wedge n|l \wedge n \neq l} S_{n \rightarrow l} M_{k \rightarrow n}^*. \quad (33)$$

We are ready to introduce the notion of a vector Dold sequence.

Definition 26. A sequence of vectors $(X_n)_{n \in \mathbb{N}} \in \mathbb{Z}^N$ is a vector Dold sequence if for each $n \in \mathbb{N}$ there exists integer valued vector $J_n \in \mathbb{Z}^N$ such that

$$\sum_{k|n} M_{k \rightarrow n}^* X_k = n J_n. \quad (34)$$

Now we will show that our model based on the classification of periodic orbits, described in Remark 23, produces in a natural way a vector Dold sequence.

Theorem 27. *The vector sequence $(X_n)_n$ given by the equality (28) is a vector Dold sequence.*

Proof. Assume now the integer vector valued sequences A_n and X_n are joined by the relation (27), i.e.

$$X_n(i) = \sum_{k_j \leq n_i} k A_k(j).$$

By Theorem 14 with $S_a(k_j) = X_k(j)$ and $a(k_j) = k A_k(j)$ we obtain:

$$n A_n(i) = \sum_{k_j \leq n_i} \mu^*(k_j, n_i) X_k(j).$$

Looking at the formula above for the entire vectors A_n and X_k , we have

$$n A_n = \sum_{k|n} M_{k \rightarrow n}^* X_k,$$

which proves that X_n is a vector Dold sequence. □



5.3 Representation of vector and matrix Dold sequences by Reg function.

Let us define the matrix function $\text{REG}_k: \mathbb{N} \rightarrow \text{Mat}_{N \times N}(\mathbb{Z})$ given by

$$\text{REG}_k(n) = k \cdot S_{k \rightarrow n} \quad (35)$$

for $k \in \mathbb{N}$. By the definition of the matrix $S_{k \rightarrow n}$, $k \nmid n$ implies $\text{REG}_k(n) = 0$.

Theorem 28. *The sequence of vectors $(X_n)_{n \in \mathbb{N}} \in \mathbb{Z}^N$ is a vector Dold sequence if and only if there exists a sequence of integer vectors $(B_n)_{n \in \mathbb{N}} \in \mathbb{Z}^N$ such that*

$$X_n = \sum_{k|n} \text{REG}_k(n) B_k \quad (36)$$

for each $n \in \mathbb{N}$.

Proof. Assume that $(X_n)_{n \in \mathbb{N}} \in \mathbb{Z}^N$ is a vector Dold sequence. Hence $k_i \mapsto a(k_i) = X_k(i)$ is a Dold sequence for the poset P defined on pairs k_i , where $(k, i) \in \mathbb{N} \times \{1, \dots, N\}$ with the order relation defined by matrices $S_{k \rightarrow n}$.

By Theorem 16 the sequence $(a(n_i))_{n_i \in P}$ is a Dold sequence on P iff there exists a sequence $(b(n_i))_{n_i \in P}$ such that

$$a(n_j) = \sum_{k_i \leq n_j} b(k_i) \text{Reg}_{k_i}(n_j). \quad (37)$$

Let us fix $j \in \{1, \dots, N\}$. Then

$$X_n(j) = a(n_j) = \sum_{k_i \leq n_j} b(k_i) \text{Reg}_{k_i}(n_j) = \sum_{k|n} \sum_{i=1}^N b(k_i) \text{Reg}_{k_i}(n_j), \quad (38)$$

since if $k \nmid n$ then $\text{Reg}_{k_i}(n_j) = 0$, then

$$\text{Reg}_{k_i}(n_j) = k \Leftrightarrow S_{k \rightarrow n}(j, i) = 1.$$

Thus, continuing (38) we obtain, for a fixed $j \in \{1, \dots, N\}$:

$$\sum_{k|n} \sum_{i=1}^N b(k_i) \text{Reg}_{k_i}(n_j) = \sum_{k|n} \sum_{i=1}^N k S_{k \rightarrow n}(j, i) b(k_i) = \sum_{k|n} (\text{REG}_k(n) B_k)_j, \quad (39)$$

where $(X)_j$ means j -th coordinate of the vector X . Since the above reasoning may be repeated for any $j \in \{1, \dots, N\}$ we obtain that

$$X_n = \sum_{k|n} \text{REG}_k(n) B_k,$$

which completes the proof. □

We will call the vectors $(B_n)_{n \in \mathbb{N}} \in \mathbb{Z}^N$ appearing in the formula (36) *the vector Dold coefficients*.

In connection to exact realizable sequences, we define their more general counterpart (remind that we assume that the conditions (A1)-(A3) are satisfied).

Definition 29. A vector valued sequence $(a_n(i))_n$, $1 \leq i \leq N$, $n \in \mathbb{N}$, such that $a_n(i) \in \mathbb{N} \cup \{0\}$ will be called *exactly realizable for the partial order P* if there is a space X and a map $f: X \rightarrow X$ satisfying: $a_n(i) = \# \text{Fix}_i(g^n)$ (cf. Remark 23) for all $n \geq 1$, $i = 1, \dots, N$.

Corollary 30. A vector sequence $(a_n(i))_n$ is exactly realizable for the order P if and only if $(a_n(i))_n$ is a generalized Dold sequence and all coordinates of vector Dold coefficients $B_n(i)$ (given by (36)) are non-negative for every n .

Proof. “ \implies ” If $a_n(i) = \# \text{Fix}_i(g^n)$ i.e. it is realizable for the considered partial order, then by Theorem 27 it is a generalized Dold sequence. Furthermore, by (36):

$$a_n(i) = \sum_{k|n} \text{REG}_k(n) B_k(i), \quad (40)$$

where $B_k(i)$ is equal to the number of orbits of length k belonging to the type i , thus all $B_k(i)$ are non-negative.

“ \impliedby ” For each i and k we take $B_k(i)$ orbits of length k and realize f on the discrete set equal to the disjoint sum of orbits. \square

5.4 An example of vector Dold sequences

In this section we discuss a subclass of vector Dold sequences defined for some particular partial orders which appear in Nielsen periodic point theory.

Definition 31. We consider the partial orders P on the set $\mathbb{N} \times \{1, \dots, N\}$. Let us assume that a map $h_{kn}: \{k_1, \dots, k_N\} \rightarrow \{n_1, \dots, n_N\}$ is given for any $k, n \in \mathbb{N}$, $k < n$. We assume also that for every k, n such that $k|n$ there exist m and j such that $h(k_j) = n_m$ and that for every k, l, n such that $k|l|n$ $h_{ln} \circ h_{kl} = h_{kn}$.

We will say that P is h -order if it is given by the following relation:

$$k_j < n_m \iff k|n \text{ and } h_{kn}(k_j) = n_m, \quad 1 \leq j, m \leq N. \quad (41)$$

Assume that for each natural n $\text{Fix}_i(f^n) \cap \text{Fix}_j(f^n) = \emptyset$. Then in our model (cf. Remark 23) the condition (41) implies that each fixed point x_0 has exactly one target type within the set $\{n_1, \dots, n_N\}$ for every n .

Let us remark that considering such orders is inspired by the behavior of Nielsen classes of iterations for maps on compact manifolds, where h_{kn} is an inclusion (see [24] for details).

Example 32. Consider the case of $\mathbb{R}P^n$. In the set of orbits of Reidemeister classes we define the map induced by inclusion of respective Nielsen classes. If $N^k \subset \text{Fix}(f^k)$,

$N^n \subset \text{Fix}(f^n)$ are Nielsen classes representing Reidemeister classes $A^k \subset \mathcal{OR}(f^l)$ and $A^n \subset \mathcal{OR}(f^n)$ respectively, then $N^k \subset N^n$ implies $h_{kn}(A^k) = A^n$.

In the case of self-maps of $\mathbb{R}P^n$ of odd degree $\mathcal{OR}(f^n)$ consists of two elements $\{n_1, n_2\}$, and the map h_{kn} defining the order in P is given by the formula:

$$h_{kn}(k_1) = n_1. \quad (42)$$

$$h_{kn}(k_2) = \begin{cases} n_2 & \text{if } \frac{n}{k} \text{ is odd,} \\ n_1 & \text{if } \frac{n}{k} \text{ is even.} \end{cases} \quad (43)$$

A vector Dold sequence for a given h -order P may be characterized in the following way.

Proposition 33. *A sequence of vectors $(X_n)_{n \in \mathbb{N}} \in \mathbb{Z}^N$ for h -order P is a vector Dold sequence if for each $n \in \mathbb{N}$ and n_i ($1 \leq i \leq N$)*

$$\sum_{k|n} \mu(n/k) \sum_{k \in h_{kn}^{-1}(n_i)} X_k(i) \equiv 0 \pmod{n}. \quad (44)$$

Proof. First of all let us remark that the only non-zero elements of the matrix $M_{k \rightarrow n}^*$ in the formula (34) are equal to $\mu(n/k)$. Indeed, if we define the interval $\text{Int}[k_i, n_j]$ as the set of all elements between k_i and n_j , we get that in the h -order it is isomorphic (as an order) to the interval $\text{Int}[k, n]$ with the order given by the divisibility relation, i.e. $\text{Int}[k, n] = \{d : k|d|n\}$, which in turn is isomorphic to $\text{Int}[1, n/k] = \{d_1 : d_1|n/k\}$. As a consequence, $\mu^*(k_i, n_j) = \mu(n/k)$.

On the other hand, for a given row i all non-zero elements of the matrix $M_{k \rightarrow n}^*$ are determined by $k \in h_{kn}^{-1}(n_i)$. This completes the proof. \square

5.5 Matrix Dold sequences

Definition 26 of a vector Dold sequence may be naturally modified to *matrix Dold sequence*.

Definition 34. A sequence of matrices $(X_l)_{l \in \mathbb{N}} \in \text{Mat}_{N \times N}(\mathbb{Z})$ is a matrix Dold sequence if for each $l \in \mathbb{N}$ there exists an integer valued matrix $J_l \in \text{Mat}_{N \times N}(\mathbb{Z})$ such that

$$\sum_{k|l} M_{k \rightarrow l}^* X_k = l J_l.$$

The natural corollary from Theorem 28 is the following characterization of matrix Dold sequences.

Theorem 35. *The sequence of matrices $(X_n)_{n \in \mathbb{N}} \in \text{Mat}_{N \times N}(\mathbb{Z})$ is a matrix Dold sequence if and only if there exists a sequence of integer matrices $(B_n)_{n \in \mathbb{N}} \in \text{Mat}_{N \times N}(\mathbb{Z})$ such that*

$$X_n = \sum_{k|n} \text{REG}_k(n) B_k \quad (45)$$

for each $n \in \mathbb{N}$.



Proof. It is enough to observe that the sequence X_n is a matrix Dold sequence if and only if for any $j \in \{1, \dots, N\}$ the sequence of j -th columns $X_n(\cdot, j)$ is a vector Dold sequence. Moreover, the equation (45) is valid iff the equation (36) is valid for each j -th column of $X_n(\cdot, j)$ and $B_k(\cdot, j)$. \square

Remark 36. The matrix Dold sequences also may be interpreted in terms of the fixed point theory, similarly as we did in Remark 23, but instead of classifying orbits into finite set of types, we classify them according to two, mutually independent, criteria.

We can think of each orbit as having assigned a *type* (a number from $\{1, \dots, N\}$) and a *color* (a number from a finite set $\{1, \dots, N\}$). Then, following the notation similar to the one used in Remark 23, the matrix $A_k \in \text{Mat}_{N \times N}$ counts the orbits of the length k of the map g , where $A_k(i, j)$ is a number of orbits having the type i and the color j .

Notice also, that fixing the color j we obtain the vector Dold sequence (of j -th columns of matrices A_k) corresponding to the set of orbits of fixed color.

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