

# AGREEprep – Analytical Greenness Metric for Sample Preparation

Wojciech Wojnowski<sup>a</sup>, Marek Tobiszewski<sup>b\*</sup>, Francisco Pena-Pereira<sup>c</sup>, Eleftheria Psillakis<sup>d</sup>

<sup>a</sup> *Department of Analytical Chemistry, Chemical Faculty, Gdańsk University of Technology (GUT), ul. G. Narutowicza 11/12, 80-233 Gdańsk, Poland*

<sup>b</sup> *Department of Analytical Chemistry, Chemical Faculty and EcoTech Center, Gdańsk University of Technology (GUT), ul. G. Narutowicza 11/12, 80-233 Gdańsk, Poland*

<sup>c</sup> *Centro de Investigación Mariña, Universidade de Vigo, Departamento de Química Analítica e alimentaria, Grupo QA2, Edificio CC Experimentais, Campus de Vigo, As Lagoas, Marcosende 36310 Vigo, Spain*

<sup>d</sup> *Laboratory of Aquatic Chemistry, School of Chemical and Environmental Engineering, Polytechnioupolis, Technical University of Crete, GR-73100, Chania, Crete, Greece*

\* author for correspondence: [marek.tobiszewski@pg.edu.pl](mailto:marek.tobiszewski@pg.edu.pl)

## Abstract

This work proposes for the first time, a metric tool that gives prominence to sample preparation. The developed metric (termed AGREEprep) was based on 10 categories of impact that were recalculated to 0-1 scale sub-scores, and then used to calculate the final assessment score. The criteria of assessment evaluated among others the choice and use of solvents and reagents, waste generation, energy consumption, sample size, and throughput. Assessment was also based on the possibility to differentiate between criteria importance by assigning them weights. The assessment procedure was performed using an open access, intuitive software that produced an easy-to-read pictogram with information on the total performance and structure of threats. A compiled version of the open access software can be obtained from [mostwiedzy.pl/AGREEprep](https://mostwiedzy.pl/AGREEprep). The applicability of AGREEprep was successfully demonstrated using six different methods as case studies.

## 32 **Keywords**

33 Green analytical chemistry; Green metrics; Sample preparation; Green chemistry;  
34 Sustainability assessment

35

## 36 **1. Introduction**

37 Green Analytical Chemistry (GAC) aims to minimize the negative environmental impact of  
38 chemical analyses by addressing critical issues such as the generation of toxic laboratory waste  
39 and the use of solvents and reagents that are hazardous to human health or the environment [1].  
40 The importance of GAC was highlighted soon after the introduction of Green Chemistry (GC)  
41 and emerged as a specific branch of GC, in part due to the inability of the GC principles [2] to  
42 address the demands of the analytical field. In this direction, only one principle of GC (i.e.,  
43 real-time analysis for pollution prevention) is directly related to Analytical Chemistry, whereas  
44 the rest are either loosely related to chemical analysis or not related at all. For this reason, the  
45 12 principles of GAC were introduced almost a decade ago [3], providing a more suitable  
46 framework towards greener analytical chemistry practices. In subsequent years, several self-  
47 assigned ‘green analytical methods’ appeared in the literature that exclusively focused on the  
48 improvement of one particular principle of GAC, systematically ignoring other GAC aspects.  
49 In an attempt to assess and harmonize the compliance of analytical methods with GAC  
50 assumptions, several metrics of varying comprehensiveness have been reported in the literature  
51 [4–12]. They are based on the incorporation of different criteria, and the generic response of  
52 the assessment can be highly variable in both complexity and appearance. The National  
53 Environmental Methods Index (NEMI) pictograms [5], derived from Yes/No responses to four  
54 specific criteria, was the first (and rather simplistic) approach proposed in the literature. In  
55 2012, the analytical eco-scale metric tool [6] was reported assigning penalty points to different  
56 criteria that were subtracted from an ideal score of 100 in such a way that the closer the  
57 numerical value was to 100, the greener the method. In an attempt to provide a more complete  
58 and refined output, different advanced metric tools were recently developed, namely, the Green  
59 Analytical Procedure Index (GAPI and recently reported ComplexGAPI) [7,12], the RGB  
60 model [8,9], the Analytical GREEnness Metric Approach (AGREE) [10] and the hexagon-  
61 CALIFICAMET [11]. These tools generally provide easy to read pictograms that map the  
62 degree of compliance of evaluated criteria within the framework of GAC. The use of metrics  
63 for the assessment of analytical methods can be synergistically complemented with other tools  
64 focusing on a specific and problematic step of the analytical method. In this connection,  
65 different metrics and tools focused on specific aspects of analytical methods (e.g.

66 chromatographic separations) [13–15], which proved helpful in identifying improvable aspects  
67 of separation approaches that could go unnoticed or overlooked if only a more comprehensive  
68 tool was to be employed. Surprisingly, analogous tools for the assessing the greenness of the  
69 sample preparation step have not been reported in the literature.

70 Sample preparation is a key step in the analytical procedure that is essential for the separation  
71 and enrichment of target analytes, the removal or minimization of matrix interferences and/or  
72 to ensure compatibility with the measurement technique. At the same time, sample preparation  
73 has been identified as one of the most critical steps from the GAC point of view [16], mainly  
74 because of the typical substantial requirements in solvents (solvent extraction techniques),  
75 sorbents (solid-phase based extractions), reagents (for derivatization reactions or the removal  
76 of impurities), acids or bases (for pH correction or mineralization), energetic inputs (heating,  
77 stirring, cooling) and other consumable materials or devices (such as cartridges, pipettes or  
78 pipette tips, glassware). For this reason, the first principle of GAC suggested avoiding sample  
79 preparation and instead, using direct analytical techniques [3]. However, the possibility to  
80 incorporate direct analytical methodologies in all applications is rather limited [17–19],  
81 rendering the implementation of sample preparation strategies critical to tackle analytical  
82 challenges. Admittedly, the first principle of GAC has led to a common misconception that  
83 omitting the sample preparation step is a green approach, fully neglecting the necessity of this  
84 step and the technological advances in the area [20]. In this context, several mature and modern  
85 sample preparation approaches exist that do not adversely affect human health or the  
86 environment and can lead to more efficient and metrologically improved methodologies [21].  
87 Hitherto, the greenness of sample preparation methods is assessed using metric tools anchored  
88 in the 12 principles of GAC. However, the philosophy of the GAC approach renders these  
89 metric tools inadequate for providing sufficient levels of accuracy and specificity and, as such,  
90 gauging progress toward greening sample preparation. The wide range of parameters that  
91 influence the greenness of sample preparation creates the need to develop a specific metric  
92 system for sample preparation. The present work aims for the first time, to bridge the  
93 abovementioned gap by offering a powerful yet user-friendly tool that will enable assessing  
94 the environmental impact of sample preparation, the most critical step in settling green  
95 analytical methods. The proposed metric tool gives prominence to sample preparation, and  
96 predicts as well as detects aspects that could be improved for greening the critical step of  
97 sample preparation.

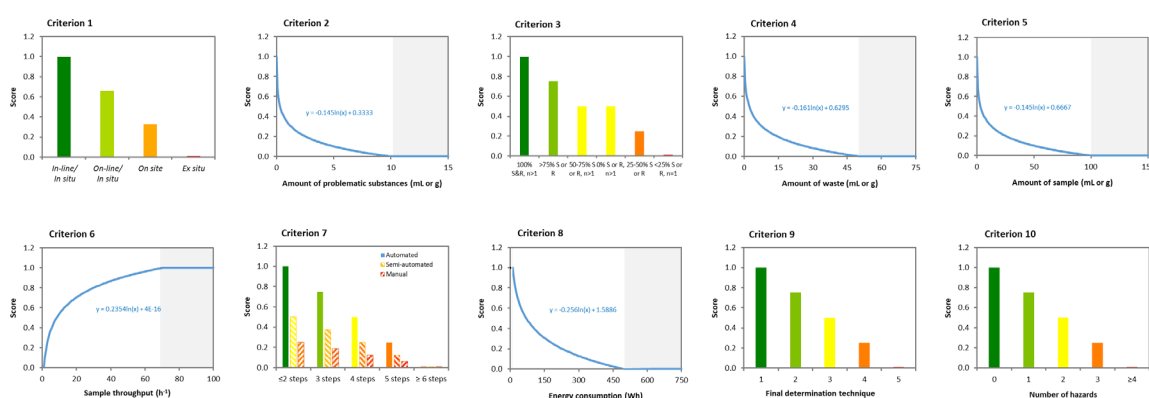
## 98 99 **2. Criteria and scores calculation**



100 The assessment criteria are created on the basis of the ten principles of green sample  
101 preparation (GSP) given below [20]:

- 102 1. Favor *in situ* sample preparation
- 103 2. Use safer solvents and reagents
- 104 3. Target sustainable, reusable, and renewable materials
- 105 4. Minimize waste
- 106 5. Minimize sample, chemicals and materials amounts
- 107 6. Maximize sample throughput
- 108 7. Integrate steps and promote automation
- 109 8. Minimize energy consumption
- 110 9. Choose the greenest possible post-sample preparation configuration for analysis
- 111 10. Ensure safe procedures for the operator

112  
113 Each of the criteria is recalculated and after quantitative evaluation, the outcome of fulfilling  
114 the criterion is reflected as an impact score on a scale from 0 (not fulfilling) to 1 (fulfilling).  
115 **Criteria 2, 4, 5, 6 and 8 apply logarithmic functions. They are applied to meet the demands of**  
116 **modern sample preparation science. Application of logarithmic functions allows to more easily**  
117 **differentiate between typical microextraction techniques impacts.** The assessment criteria,  
118 summarized in **Figure 1**, are discussed below.



119  
120 **Figure 1.** Graphical representation of the functions applied for the assessment of the evaluated criteria.

### 122 **Criterion 1. Favor *in situ* sample preparation**

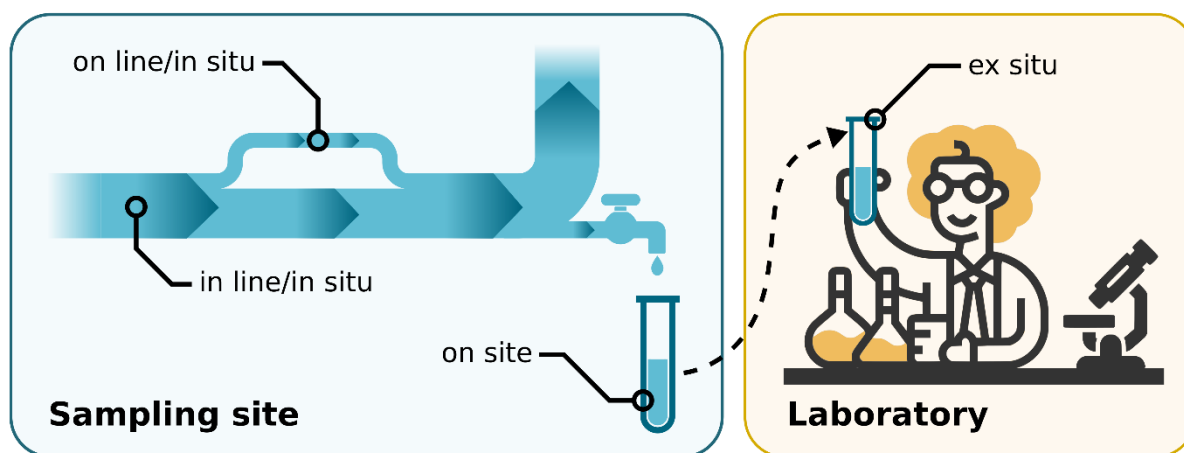
123 The first criterion favors *in situ* sample preparation so as to minimize wasted time and the use  
124 of material and energy. Moreover, problems of sample degradation due to improper storage  
125 during transport are avoided. *In situ* sample preparation also includes low- or even non-invasive  
126 *in vivo* sample preparation that is non-lethal and, as such, eliminates the need to remove living

127 organisms from their habitat. To assess this criterion, four categories were considered (depicted  
128 in **Figure 2**) and the scores are as follows:

- 129 - *In-line/In situ* – score: 1 – sample preparation is carried out in the investigated object.  
130 It usually integrates sampling and sample preparation. Good examples can be *in-vivo*  
131 SPME application or the use of passive samplers;
- 132 - *On-line/In situ* – score: 0.66 – sample preparation is performed *in situ*, sampling and  
133 sample preparation are performed in the same place using permanently installed devices  
134 with the overall operation being typically fully automated;
- 135 - *On site* – score: 0.33 – sample preparation is performed on site, with the sample  
136 preparation device being brought to the sampling site;
- 137 - *Ex situ* – score: 0 – sample preparation is performed in the laboratory after sample  
138 collection and transportation.

139 Criterion 1 is related to many other criteria that follow utilization of solvents, reagents, energy  
140 consumption and generation of wastes and strongly depends on the mode of sample  
141 preparation.

142



143

144 **Figure 2.** Schematic representation of the four categories used to assess criterion 1

145

### 146 **Criterion 2. Use safer solvents and reagents**

147 The cost, environmental impact and safety of sample preparation procedures are often driven  
148 by the use of solvents and other auxiliary chemicals. The second principle of GSP suggests  
149 using safer solvents and reagents that possess improved inherent properties and little or no  
150 toxicity to humans or the environment. This principle also aims at the reduction of hazardous  
151 reagents such as the acids and bases used in derivatization and digestion reactions. The  
152 adoption of solvent-free and reagent-less sample preparation procedures is the optimum

153 condition to be attained in the second principle, and this condition yields a score of 1 to this  
154 corresponding criterion. In the worst-case scenario where sample preparation methods make  
155 use of more than 50 mL or 50 g of hazardous solvents and reagents, the assigned score for this  
156 principle is 0. Otherwise, the score is calculated according to the following equation:

157

$$158 \text{ Score} = -0.145 \times \ln(\text{amount of hazardous substances in g or mL}) + 0.3333$$

159

160 It is noted that the mass of the substance should be included if the substance is toxic via one of  
161 the exposure pathways or if it is labeled as bioaccumulative or persistent.

162

### 163 ***Criterion 3. Target sustainable, reusable, and renewable materials***

164 Materials must be stable during their (ideally extended) useful life and then degrade once they  
165 are no longer functionally necessary. In this context, the third criterion assesses the use of  
166 sustainable or renewable materials in sample preparation methods. Materials of bio-based  
167 origin are favored over fossil-based and other depleting chemicals. Moreover, the use of  
168 renewable/regenerable materials (including solid waste with the additional benefit of  
169 increasing its life-cycle) is also promoted. Following the third principle of GSP, this criterion  
170 also promotes materials that can be used several times over those of disposable nature.  
171 Reusability refers to the ability of the material to be used again after a regeneration step such  
172 as thermal desorption in the case of solid sorbents. If the information on the sustainability of  
173 the chemicals used for fabricating the material is not available, it is advised to treat the materials  
174 as non-sustainable ones. To calculate the score for this criterion three parameters are taken into  
175 account as follows:

176 - Only sustainable and renewable materials are used several times, Score: 1.0

177 - > 75% of reagents and materials are sustainable or renewable, Score: 0.75

178 - 50-75% of reagents and materials are sustainable or renewable and can only be used once,  
179 Score: 0.50

180 - Materials are not sustainable or renewable and are used several times, Score: 0.5

181 - 25-50% of reagents and materials are sustainable or renewable, Score: 0.25

182 - < 25% of reagents and materials are sustainable or renewable and can only be used once,  
183 Score: 0.0

184

### 185 ***Criterion 4. Minimize waste***



186 Creating, handling, storing and disposing of waste consumes resources, time, effort and money.  
187 Sample preparation technologies and methods should be designed to prevent waste generation  
188 and this is the focus of the fourth principle. Greenness metric systems dedicated to chemical  
189 synthesis assessment are based on the ratio of the mass of substrates to the mass of the  
190 product(s), such as E-factor [22] or atom economy [23]. In analytical chemistry, and  
191 particularly in sample preparation, all material inputs can be treated as wastes. This is because  
192 no materials are incorporated into the final product, which is the analytical result. The function  
193 to relate the mass or volume of the generated waste is as follows:

194

$$195 \text{ Score} = -0.161 \times \ln(\text{sample mass or volume in g or mL}) + 0.6295$$

196

197 Sample preparation methods that result in the generation of less than 1 g of waste give scores  
198 of  $> 0.5$  in this impact category. The fundamental question here is “what should be considered  
199 as a waste?”. Clearly, any material added to the sample should be treated as waste. Waste  
200 includes, among others, solvents applied in extraction processes, derivatization reagents, or  
201 acids or bases applied for mineralization or sample pH correction. The mass of waste is also  
202 made up of the mass of consumable materials such as single-use glassware, SPE cartridges,  
203 sorbents and filters. In addition, the sample itself should be treated as waste if it gets  
204 contaminated with toxic substances during the sample preparation step. To exemplify this, a  
205 water sample that is in contact with a solid or pseudo-liquid sorptive material cannot be treated  
206 as waste. However, a water sample subjected to liquid-liquid extraction becomes a waste since  
207 it will become saturated by solvent during the extraction step. In cases where the waste is  
208 neutralized or recovered, its mass should be subtracted from the total mass of wastes generated  
209 during sample preparation. This criterion assesses the mass of waste only, as the hazards of  
210 chemicals utilization are covered by other criteria.

211

#### 212 ***Criterion 5. Minimize sample, chemicals and materials amounts***

213 The size of the sample impacts the energy demand and the amounts of solvents, reagents and  
214 other materials to be used in an analytical procedure. Accordingly, smaller sample sizes reduce  
215 the time, effort, costs, and resources, next to increase the potential for automation or portability.  
216 However, one should keep in mind that sample representativeness must always be ensured and  
217 that an excessive reduction of the sample size may deteriorate the analytical characteristics of  
218 the overall analytical method. The function for calculating the score for this criterion is the  
219 following:



220

$$221 \quad \text{Score} = -0.145 \times \ln(\text{sample mass or volume in g or mL}) + 0.6667$$

222

223 It should be noted that procedures where only analytes are collected (as seen with passive  
224 samplers), the mass of sample (i.e., collected analytes) is negligible and a score equal to 1 is  
225 assigned.

226

### 227 **Criterion 6. Maximize sample throughput**

228 Criterion 6 is related to the speed of the overall sample preparation procedure, optimum values  
229 for which can be achieved in two ways. The first one is related to the application of fast sample  
230 preparation procedures so that many samples can be prepared in a series of steps. The second  
231 one is treating several samples in parallel as seen in the 96-well format. To assess sample  
232 throughput the number of samples that can be prepared in one hour (in series or in parallel) is  
233 recalculated to the score according to the formula:

234

$$235 \quad \text{Score} = 0.2354 \times \ln(\text{number of prepared samples per hour})$$

236

237

### 238 **Criterion 7. Integrate steps and promote automation**

239 Sample preparation methods commonly consist of multi-step procedures that can result in  
240 material loss, increased expenditure of energy and chemicals, as well as time loss. The pursuit  
241 of operational simplicity through the integration of steps is a trend in sample preparation with  
242 a positive impact on the greenness of the method. Moreover, automation increases sample  
243 throughput, lowers the consumption of reagents and solvents, waste generation, minimizes  
244 human intervention, and, as such, error involved and potential exposure to harmful substances.  
245 The simplification and minimization of the number of involved steps is expressed in sub-scores  
246 as follows:

247 -  $\leq 2$  steps, Score: 1.0

248 - 3 steps, Score: 0.75

249 - 4 steps, Score: 0.5

250 - 5 steps, Score: 0.25

251 -  $\geq 6$  steps, Score: 0.0

252 The degree of automation is recalculated into a sub-score in the following way:

253 - fully automated systems, Score: 1.0



254 - semi-automated systems, Score: 0.5

255 - manual systems, Score: 0.25

256 The final score for principle 7 is the product of both sub-scores.

257

### 258 ***Criterion 8. Minimize energy consumption***

259 Sample preparation methods and technologies should strive to be as energy-efficient as  
260 possible. To measure the impact in this principle the total energetic requirement is estimated  
261 and expressed in watt-hour (Wh) per sample. It is noted that if several samples are treated in  
262 series or in parallel using the same device, then the energetic requirement of the device is  
263 divided by the number of samples run simultaneously.

264 Depending on whether the criterion is fully, partially or not satisfied, the energy demand is  
265 recalculated to the score as follows:

266

267 - for  $< 10$  Wh per sample, Score = 1

268 - for values between 10 and 500 Wh per sample,  $Score = -0.256 \times \ln(\text{Wh/sample}) +$   
269 1.5886

270 - for  $> 500$  Wh per sample, Score = 0

271

272 It should be mentioned that the typical values used for calculating energy requirements of the  
273 instrumentation used, are the ones listed by manufacturers. Although these refer to maximum  
274 values and not the actual power output of analytical instruments (typically 40% of the  
275 maximum values), the scores remain valid for comparative reasons [10].

276

### 277 ***Criterion 9. Choose the greenest possible post-sample preparation configuration for*** 278 ***analysis***

279 Sample preparation methods are versatile, in a way that a number of measurement and  
280 instrumental techniques can be used for further analysis. The ninth principle of GSP suggests  
281 carefully selecting the greenest option that is relatively simple, low energy demanding and  
282 leads to consumption of the least amount of chemicals. It is acknowledged however that the  
283 final choice depends on the analytical needs in terms of method performance or is simply based  
284 on availability. The impact of the final determination step can be significant or negligible,  
285 depending on the technique that is applied. The scores assigned to the most widely used final  
286 determination techniques are the following:

- 287 - Simple, readily available detection (information technology and communications  
288 equipment such as smartphones, desktop scanners, etc.), Score: 1  
289 - Molecular optical spectroscopic techniques (e.g. UV-vis spectrophotometry,  
290 fluorimetry, chemiluminescence, etc.), surface analysis techniques, voltammetry,  
291 potentiometry, Score: 0.75  
292 - Gas chromatography with non-mass spectrometry (MS) detection, atomic absorption  
293 spectroscopy, Score: 0.5  
294 - Liquid chromatography (due to mobile phase consumption, usually being or containing  
295 organic solvents), gas chromatography with quadrupole mass spectrometric detection,  
296 Score: 0.25  
297 - Advanced mass spectrometry techniques (due to high energetic requirements),  
298 inductively coupled plasma - optical emission spectroscopy (ICP-OES), ICP-MS (due  
299 to noble gas consumption), Score: 0

300 **For the assessment where no specific final determination is pointed, and more than one can be**  
301 **applied, it is advisable to select the option less problematic final determination technique.**

302

### 303 ***Criterion 10. Ensure safe procedures for the operator***

304 GSP seeks to reduce the environmental impact of sample preparation methods and at the same  
305 time protect operators from potential harm. The tenth principle considers the basic hazards of  
306 the procedure by counting the threats expressed with pictograms labelling chemicals used in  
307 the procedure – toxicity to aquatic life (toxicity to humans is not expressed with safety  
308 pictograms), bioaccumulation potential, persistence, flammability, oxidizability,  
309 explosiveness and corrosiveness. In addition, physical hazards are included in this criterion,  
310 such as compressed gases. The number of identified hazards of chemical or physical nature is  
311 used to calculate the score for this criterion:

- 312 - no hazards, Score: 1  
313 - 1 hazard, Score: 0.75  
314 - 2 hazards, Score: 0.5  
315 - 3 hazards, Score: 0.25  
316 - 4 or more hazards, Score: 0

317 The hazards can be easily derived from the MSDS of substances as the number of different  
318 pictograms can be taken as input data to this criterion.

319

### 320 **3. Weights for criteria**

321 A closer study of the ten criteria used to assess the greenness of sample preparation, shows that  
 322 they are not equal in terms of their importance. For example, selecting *in-situ* sample  
 323 preparation or choosing to integrate steps is presumably less significant in terms of greenness  
 324 than the volumes of used solvents, energy requirements or assuring safety for the analyst.  
 325 Therefore, we suggest the default weights to be applied in any assessment and give the option  
 326 to assessor to change them provided that justify these changes. In case of changing the weights,  
 327 we suggest preparing the justification to explain the importance of the criteria that is adjusted  
 328 to the assessor's requirements.

329

330 **Table 1.** The default weights for the analysis

Criterion	Criterion description	Weight
1	Favor <i>in situ</i> sample preparation	1
2	Use safer solvents and reagents	5
3	Target sustainable, reusable, and renewable materials	2
4	Minimize waste	4
5	Minimize sample, chemicals and materials amounts	2
6	Maximize sample throughput	3
7	Integrate steps and promote automation	2
8	Minimize energy consumption	4
9	Choose the greenest possible post-sample preparation configuration for analysis	2
10	Ensure safe procedures for the operator	3

331

332 The highest weight was given to criterion 2 since the solvents and reagents used have a great  
 333 impact on the greenness of the sample preparation method. Criterion 1 was given a lowest  
 334 weight as it has some impact on sample preparation greenness but still, it is possible to achieve  
 335 a considerable degree of greenness even if procedures are not carried out *in situ*. Criterion 3,  
 336 with weight 2, describes the origin and disposal of materials and reagents, including  
 337 consumables and the assigned weight is not higher due to its semi-quantitative nature. Criteria  
 338 4, 8 and 10 dealing with waste, energetic demand and safety of operator are crucial points to  
 339 consider in greenness assessment and were therefore given high weight (4, 4 and 3  
 340 respectively). Criterion 5 is indeed important as it is related to the miniaturization degree of the  
 341 sample and, as such, to the consumption of reagents, solvents and generation of wastes. The



342 latter features are covered by other criteria and to avoid double penalization of the same  
343 weakness/shortcoming, a weight equal to 2 was assigned. Sample throughput and final  
344 determination (criteria 6 and 9) assess the impact of sample preparation on the entire analytical  
345 procedure and are given weights equal to 3 and 2, respectively. Criterion 6 is important as  
346 sample preparation with a high throughput **potentially** allows obtaining a large amount of  
347 analytical information **or preparation of set of samples** within a short time. Criterion 9 concerns  
348 post-sample preparation configuration for analysis and a weight equal to 2 is given as too little  
349 sample preparation and, as a result, obtaining a seemingly good assessment score might  
350 necessitate the use of a sophisticated and non-green final determination technique. Finally,  
351 criterion 7 was given a weight equal to 2 as it is possible to achieve a considerable degree of  
352 greenness even if procedures have a larger number of steps and/or are not automated.

353

#### 354 **4. The assessment result**

355 The assessment result is a colorful round pictogram with the number in the center. The inner  
356 circle color and the assigned overall score indicate the overall sample preparation greenness  
357 performance. The possible values of the overall score lie in the range from 0 to 1. An overall  
358 score of 0 means it has the worst performance in all criteria, while an overall score of 1  
359 represents the best performance in all criteria or no sample preparation step. Around the circle,  
360 there are 10 parts, each corresponding to one of the performance criteria. The length of each  
361 part reflects the weight assigned to the respective assessment criterion while the color of each  
362 part visualizes the performance in this criterion. Adopting this structure for the assessment  
363 result allows to:

- 364 - Compare the general performance of procedures;
- 365 - Compare the procedures in respective criteria, find strong and weak points of the  
366 procedures or aspects to be improved;
- 367 - Get information on the assessor's point of view on the importance of criteria or  
368 contribution of criteria to the final result.

369

#### 370 **5. Assessment examples**

371 AGREEprep was used for the assessment of different procedures intended for the  
372 determination of phthalate esters in water samples (**Figure 3**). The first procedure was EPA  
373 standard 8061A [24], accompanied by method 3510C [25] for separatory funnel liquid-liquid  
374 extraction (LLE). The procedure was performed *ex situ*, and consumed 180 mL (3 times  
375 extraction with 60 mL) of dichloromethane and sulfuric acid or sodium hydroxide for pH



376 adjustment (only 180 mL of dichloromethane was considered in the 2<sup>nd</sup> criterion as hazardous  
377 materials). It was assumed that no reagents originated from renewable or sustainable sources.  
378 Substantial amounts of waste were generated since the excessively large sample volume (1L)  
379 became hazardous waste after being in contact with dichloromethane. The sample throughput  
380 was estimated to be  $\sim 1.5 \text{ h}^{-1}$ . The procedure was manual and required  $\geq 6$  steps; on the other  
381 hand, the method did not consume energy. Method 3510C used a GC-MS system for phthalates  
382 determination (GC-MS technique was included in the assessment), while method 8061A used  
383 GC-ECD increasing the final score to 0.2. Sulfuric acid was accompanied by four pictograms  
384 and excluding it from the assessment would result in a 0.22 final score (dichloromethane has  
385 two hazard pictograms). The final result for this LLE-based procedure was 0.17, corresponding  
386 to a poor performance with the only good score given in the “energy consumption” criterion.  
387 The next assessed method was based on solid-phase extraction (SPE) and the procedure aimed  
388 at the *in situ* determination of endocrine-disrupting compounds [26]. Sample preparation  
389 proceeded in the *on-line/in situ* mode, consumed 6 mL of acetonitrile for column conditioning  
390 (acetonitrile has Category 4 of toxicity “harmful if swallowed, in contact with skin or if  
391 inhaled” but it is not categorized as toxic). Neither sustainable nor renewable materials were  
392 applied and the amount of waste was calculated to be 6.5 g or mL – 6 mL of acetonitrile and a  
393 very tiny cartridge of mass estimated to be less than 0.5 g. The sample size was 20 mL and  $\sim 6$   
394 samples could be prepared in an hour. It should be noted that the sample throughput of the  
395 analytical method was low due to the time needed for HPLC separation. The sample  
396 preparation method involved three steps and was fully automated. There was no exact  
397 information on the energy demand but this was a microfluidic system with neither heating nor  
398 cooling demands, so energy demand per prepared sample was assumed to be low and equal to  
399 30 Wh. The final determination proceeded with HPLC and acetonitrile was labelled with two  
400 hazard pictograms. The final score was 0.54 and there were no genuinely low respective criteria  
401 scores. It should be noted that although in-situ sample preparation and automation were the net  
402 advantages of this procedure, low weights have been considered to assess the corresponding  
403 criteria.

404 The manual dispersive liquid-liquid microextraction (DLLME) procedure [27] considered here  
405 was performed *ex situ*, demanded 0.75 mL of acetonitrile (not counted) and 0.04 mL of carbon  
406 tetrachloride. The reagents were neither from sustainable nor renewable sources. The volume  
407 of water was 5 mL, and counted as waste since it was in contact with carbon tetrachloride and  
408 acetonitrile. The extraction procedure was manual and including the centrifugation step time  
409 was estimated to be  $\sim 10$  minutes yielding a  $6 \text{ h}^{-1}$  sample throughput. It is acknowledged



410 however, that analysts may choose to perform more extractions simultaneously and, as such,  
411 improve the score in this criterion. Three sample preparation steps were identified that were  
412 not automated. A ~50 Wh estimation of power demand per sample was considered and the use  
413 of HPLC at the next procedural step. There were 4 different hazards pictograms – 2 from carbon  
414 tetrachloride and 2 from acetonitrile. The total score was 0.38, which was rather low, despite  
415 this being a microextraction-based procedure. A slightly higher score (0.43) was obtained when  
416 6 simultaneous extractions were considered (sample throughput: 36 h<sup>-1</sup>).

417 The fourth assessed procedure was based on the solid-phase microextraction (SPME) technique  
418 [28], performed *ex situ*. The method used ~0.63 g of NaCl as a salting-out agent, which was  
419 not considered as a hazardous material in criterion 2 but was counted as waste, together with  
420 the sample amount. The SPME fiber was reusable while the salt was treated as a sustainable  
421 material. The water sample volume was 3.5 mL and the extraction took 1.5 h so the analytical  
422 throughput is 0.66 h<sup>-1</sup>. Sample preparation consisted of a one-step manual procedure and the  
423 energy demand was estimated to be ~90 Wh. The final determination technique was GC-MS  
424 and no procedural hazards were identified. Although SPME is a green technique, this particular  
425 procedure had a few drawbacks that lowered the final score to 0.55 namely, *ex situ* mode, very  
426 low sample throughput, no automation and quite problematic final determination.

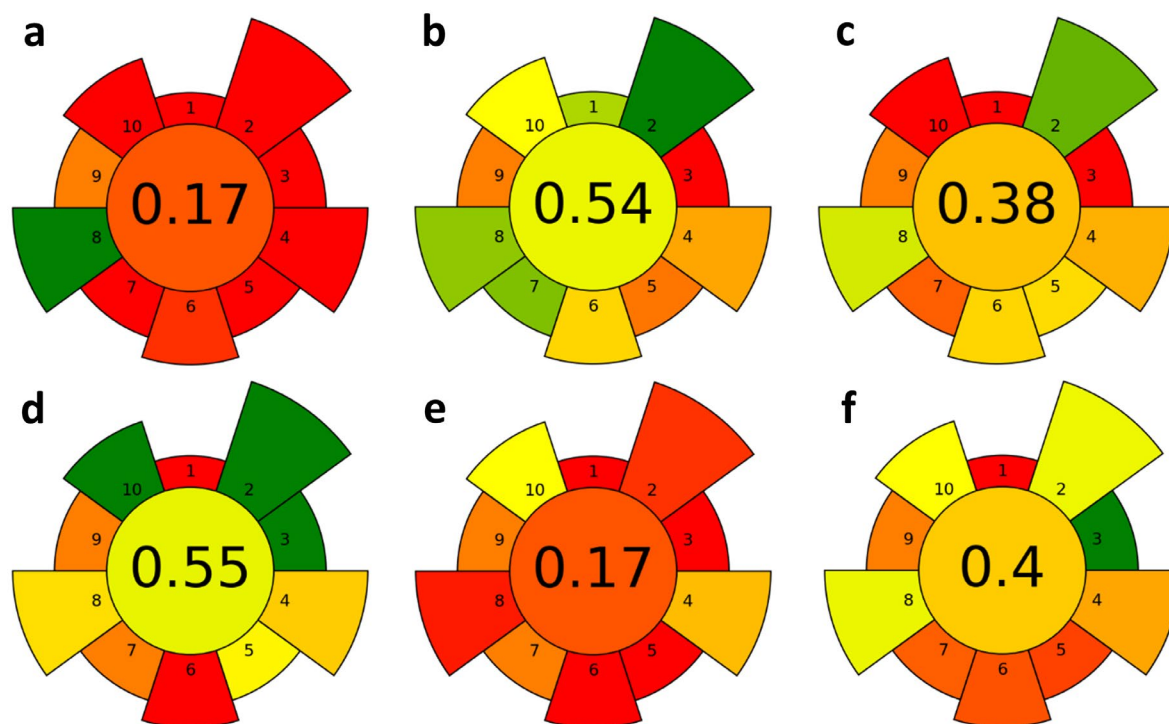
427 The fifth assessed procedure was based on the application of molecularly imprinted polymers  
428 (MIPs) and solid-phase extractants that were applied directly in water samples [29]. The  
429 procedure was *ex situ* mode and involved the use of 5 mL of dichloromethane for desorption.  
430 The sorbent itself was a sustainable material but dichloromethane, used in considerably large  
431 amounts, yielded for criterion 3 the score “less than 25% of material is from sustainable or  
432 renewable sources”. The total amount of waste included dichloromethane and filter paper. The  
433 sample volume was 200 mL while sample throughput was estimated as ~0.5 h<sup>-1</sup>. The procedure  
434 was manual with 2 steps, used GC-MS as the final determination technique and 2 hazards were  
435 identified, both related to the application of dichloromethane. The determination of energy  
436 consumption was not straightforward as a magnetic stirrer, vacuum pump and oven were  
437 applied, and it was not stated how many samples can be treated simultaneously during sorbent  
438 separation and filter drying. It was decided to (arbitrarily) use a 400 Wh estimate value for the  
439 energy demand. The final score was 0.17.

440 In another SPE procedure, polyamidoamine dendrimer-grafted magnetic nanoparticles were  
441 incorporated [30]. The method was a 3-step, manual and *ex situ* procedure that involved the  
442 use of 0.25 mL of toxic methanol, but all reagents – the sorbent, ethanol and methanol were  
443 from renewable sources. The amount of waste was estimated to be 6.5 mL. The water sample





444 volume was 40 mL and sample preparation throughput was 2 h<sup>-1</sup>. Power demand was estimated  
445 as ~60 Wh and GC-MS was used, two hazards are identified in criterion 10. The resulting score  
446 was 0.4.



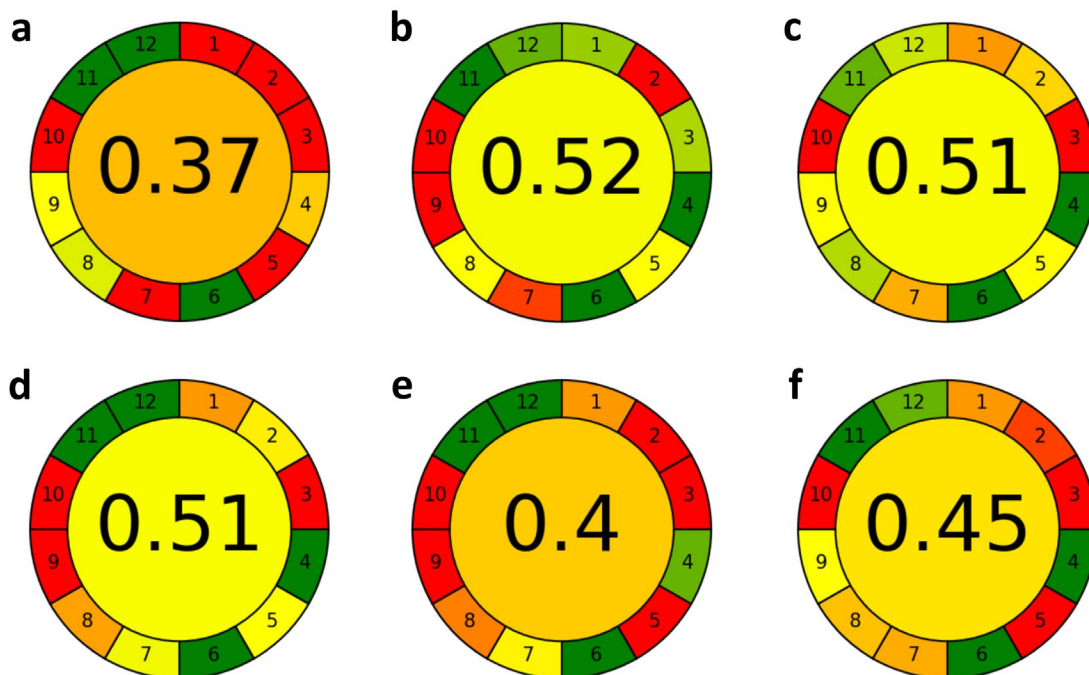
447  
448 **Figure 3.** The results of AGREEprep assessment of procedures for phthalate esters determination: a – EPA  
449 8061A based on LLE [24,25]; b – automated SPE [26]; c – DLLME [27]; d – SPME [28]; e – MIP-based SPE  
450 [29]; f – magnetic particles-based SPE [30].

451  
452 The assessment results shown in **Figure 3** depict the general performance of the procedures,  
453 while colors distributions allow comparing the nature of threats and hazards. A direct  
454 comparison between the results reveals that both the LLE (a) and MIP-based SPE (e)  
455 procedures were the least green methods assessed here with final scores of 0.17. Conversely,  
456 the procedures based on SPME (d) and on-line SPE (b) were definitely the greenest ones but  
457 still, the results (0.55 and 0.54, respectively) were far from being ideal. In the case of the SPME  
458 method (d), the manual mode and sample throughput needed improvement and the choice on  
459 post-sample preparation configuration of analysis also lowered the final score. Regarding the  
460 on-line SPE method (b), the overall score was mainly affected by the lack of sustainable or  
461 renewable materials, size economy of the sample and post-sample preparation configuration of  
462 analysis.

463  
464 For comparison purposes, the above methodologies were also assessed by AGREE [10], our  
465 published comprehensive tool devised for the assessment of analytical methodologies on which



466 AGREEprep is based. In AGREE, the final score (in the 0-1 range) is derived from the  
467 application of the 12 principles of GAC. **Figure 4** shows the overall results of the assessment  
468 of the six methodologies considered. For simplicity and in agreement with most of the  
469 publications where the AGREE tool is applied, equal weights were selected for assessing the  
470 12 principles of GAC. An important point to consider is that the AGREE scores on the six  
471 studied methodologies lie in a narrower range (ranged from 0.37 to 0.52) than those obtained  
472 with the AGREEprep metric tool (score range 0.17-0.55). This observation points out the  
473 importance of having a metric tool dedicated to sample preparation, since wider score ranges  
474 allow a better classification of the methods next to interpretation of results. For example, the  
475 two least green analytical methods according to AGREE, namely EPA 8061A based on LLE-  
476 GC-ECD (a) and MIP-based SPE-GC-MS (e), also received the lowest scores with  
477 AGREEprep. However, the difference in terms of greenness between the remaining four  
478 methods is less clear, when evaluating the overall AGREE scores (ranged from 0.45 to 0.52).  
479 Moreover, while there is a reasonable relative agreement between the ranking scores received  
480 by AGREE and AGREEprep, certain aspects obviously differ. One point to consider is that in  
481 AGREE, sample preparation has by default a negative connotation; a requirement imposed by  
482 the first principle of GAC. In addition, the improvement of certain aspects of sample  
483 preparation methods might not be reflected in the corresponding AGREE score even if non-  
484 negligible improvements are achieved. This could be the case with the reduction of the energy  
485 consumption required to carry out sample preparation in a method that formerly involved  
486 extensive energy consumption during the sample preparation and the analytical measurement  
487 steps. Thus, AGREEprep can be invaluable in shedding light on improvable aspects of sample  
488 preparation methods that could go unnoticed if only a more general tool is used. It is  
489 acknowledged however that the combined use of these two metric tools for assessing the  
490 greenness of both sample preparation and analytical methods, respectively, can help identifying  
491 weaknesses of the overall analytical procedure and point toward greener alternatives.



492  
 493 **Figure 4.** The assessment results with AGREE of procedures for phthalate esters determination: a – EPA 8061A  
 494 based on LLE-GC-ECD [24,25]; b – automated SPE-LC-DAD-MS [26]; c – DLLME-HPLC-VWD [27]; d –  
 495 SPME-GC-MS [28]; e – MIP-based SPE-GC-MS [29]; f – magnetic particles-based SPE-HPLC-VWD [30].  
 496

## 497 6. Conclusions

498 AGREEprep is the first tool designed for the assessment of analytical sample preparation  
 499 greenness. It considers 10 criteria that cover different aspects contributing to the overall sample  
 500 preparation greenness. AGREEprep was applied to 6 sample preparation procedures for the  
 501 determination of phthalate esters in water samples and was successful in identifying the  
 502 differences in greenness, structures of threats and points to be improved. compared to our  
 503 published assessment tool (AGREE) a wider score range was found that provided sufficient  
 504 levels of accuracy and specificity in assessing the greenness of the studied methods. This result  
 505 was expected, taken that AGREEprep is a specific tool to assess sample preparation methods.  
 506 The assessment with AGREEprep is easy to perform, and an intuitive software makes the entire  
 507 interaction process efficient both for introducing values and reading output. A compiled version  
 508 of the open access software can be obtained from [mostwiedzy.pl/AGREEprep](https://mostwiedzy.pl/AGREEprep), and the code is  
 509 available at [git.pg.edu.pl/p174235/agreeprep](https://git.pg.edu.pl/p174235/agreeprep). For details regarding the software see the  
 510 documentation in Supplementary Materials.

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519

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