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Factors affecting low-temperature cracking of asphalt pavements:

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analysis of field observations using the ordered logistic model

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17   **Abstract**

18   Low-temperature cracking is a common distress of asphalt pavements.

19   Accurate identification of factors that primarily affect the number of low-

20   temperature cracks is crucial for selection of road materials and planning

21   of pavement maintenance. In the article several factors were considered

22   and compared in terms of their impact on low-temperature cracks. Field

23   investigations of low-temperature cracks were performed in the years 2014

24   and 2020 on the same 68 road sections being in service in typical traffic

25   conditions. The collected data were statistically analysed using the ordered

26   logistic regression model. Comparison of the odds ratios which were

27   calculated on the basis of the model enabled ordering of the selected

28   factors from those having the greatest effect on low-temperature cracking

29   of pavements to those with the least influence: 1) pavement age, 2) type of

30   asphalt concrete, 3) modification of bitumen, 4) climatic zone (on the basis

31   of low performance grade temperature). It was determined that pavements

32   where high-modulus asphalt concrete was used in the binder course and

33   the asphalt base course belonged to the group of uncracked sections with

34   odds 3.65 times greater than pavements where conventional asphalt

35   concrete was used. The odds of a section belonging to the group of

36   cracked sections decreased by half when a polymer-modified bitumen was

37   used in its binder course and asphalt base. Regardless of the considered

38   factors, the odds of a pavement section being classified into the group of

39   heavily cracked sections are comparable. It means that some external

40   factors, including quality of paving works and bitumen chemistry, may

41   prove the most crucial.

2

1. Introduction

The network of motorways and main roads in Poland is developing intensively. More than 4 000 km of completely new pavements have been constructed since 2004. For most of these relatively new motorways, low-temperature cracks are the only type of pavement distress. In order to counteract the phenomenon effectively and predict the scale of the problem, it is necessary to correctly identify and rank the factors that primarily affect the occurrence of low-temperature cracking. Most previous studies, including Velasquez and Bahia (2013), Baglieri et al. (2021), Marasteanu M. et al. (2007), Zofka and Braham (2009), focus on assessment of the laboratory properties of asphalt mixtures or binders at low temperatures. Some researchers conducted studies using data collected from field observations of low-temperature cracks on road network (Jung and Vinson 1994, Anderson et al. 1998, Yee et al. 2006, Marasteanu et al. 2007, Dong et al. (2017)), but the number of studies available in the literature is limited. The closest to the topic of this paper are the studies performed by Dong et al. (2017), who used a database of 46 LTPP test sections to analyse 36 factors which may influence the scale of thermal cracking. They reported that pavement age, AC layer thickness, binder percentage, bitumen stiffness from the BBR test and monthly freezing index are the key factors. However, asphalt mixtures built in at the LTPP test sections, which are located in North America, differ from asphalt mixtures used in European countries due to different standardization systems, requirements for asphalt mixtures and bitumens, as well as construction and control processes.

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65   Most new pavements in Poland are constructed as asphalt pavements. In

66 practice, wearing courses are always made of stone mastic asphalt (SMA) with SBS

67 polymer-modified bitumen (PmB), whereas two types of asphalt mixtures are used for

68 binder courses and asphalt base courses: with high modulus asphalt concrete (HMAC)

69 and with conventional asphalt concrete (AC). According to previous studies, the usage

70 of HMAC instead of AC can lead to an increase in the risk of low-temperature cracks

71 (Moreno-Navarro et al., 2016, Ryś et al., 2017). On the other hand, in the opinion of

72 many experts, the advantages of HMAC resulting from very good resistance to rutting

73 and fatigue outweigh the disadvantages (Bańkowski, 2018, Corte, 2001, Chen et al.

74 2020, Gajewski et al., 2020, Lee et al. 2006, Ouyang et al. 2009, Yang et al. 2020,

75 Zaumanis et al. 2020, Zhu et al. 2021). Moreover, low-temperature cracks are observed

76 in various climatic regions, on pavements of different age and constructed both with AC

77 and HMAC bases, and both on sections where neat and polymer-modified bitumens

78 were applied. Therefore, determination of material requirements or limitations in the

79 usage of mixture types or bitumen types should be supported by field observations of

80 in-service pavements.

81   The main goal of the paper is to compare the following factors in terms of their

82 impact on the number of low-temperature cracks: technology of asphalt pavement (with

83 AC or HMAC binder course and base course), bitumen modification, age of pavement

84 and climatic conditions.. The aim of the analysis is to evaluate each of the considered

85 factors in terms of its contribution to the number of low-temperature cracks observed in

86 the field. To reach these goals, the data collected from field sections were analysed

87 using the ordered logistic regression model.

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88   **2. Mechanism of low-temperature cracking and factors that may have**

89   **impact on the number of low-temperature cracks**

90   When cryogenic stresses exceed the tensile strength of the asphalt mixture, the

91   pavement cracks. Cryogenic tension occurs as a consequence of shrinkage of an asphalt

92   layer subjected to a decrease in temperature. Due to the visco-elastic behaviour of the

93   asphalt mixture, cryogenic stresses relax in time – thus, beside the minimum value of

94   temperature, the grade of pavement cooling plays a significant role as well. The

95   mechanism is described in detail in the studies of Judycki (2018). Under model

96   conditions, the low-temperature cracks are initiated on the surface of the asphalt layer in

97   the middle of the carriageway. The theory is confirmed by observations of many field

98   cases of transverse cracks. However, previous works (Judycki et al., 2015, 2020)

99   suggest that when the asphalt base or binder course is made of mixture much stiffer than

100   the wearing course, the crack can be initiated in those lower layers and then penetrate

101   upwards. Such mechanism of low-temperature cracking can be crucial in the case of

102   pavements where HMAC is applied. Therefore, the number of low-temperature cracks

103   is affected not only by the properties of the wearing course, but also by the properties of

104   the binder course as well as the asphalt base course.

105   Very important factors that influence the probability of occurrence of thermal

106   cracks in a pavement include, among others, the grade of the bitumen, its stiffness and

107   capacity for relaxation at low temperatures as well as fracture properties of the asphalt

108   mix. Due to the aging process, mixture properties become more adverse with an

109   increase in pavement age. Asphalt mixtures very often exhibit varying properties even if

110   they are used for the same layer under the same contract and meet all the local technical

111   requirements. The homogeneity of laying and compaction of the mixture as well as

112   quality of working joints plays a significant role in further development of low-

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113 temperature cracks (Judycki et al., 2015, 2016). Finally, the climatic conditions are the

114 main source of the phenomenon (Moreno-Navarro et al. 2016).

115 **3. General properties of asphalt mixtures and bitumens used for**
116 **construction of the road sections**

117 All the road sections taken into consideration in the presented analysis were constructed

118 as flexible pavements with crushed stone bases. Lower layers (subbase and capping)

119 ensured bearing capacity of $E_2 \geq 100$ MPa. The total thickness of the asphalt layers

120 varies across different sections from 16 cm to 31 cm, while thickness of the granular

121 base varies from 15 cm to 25 cm. Thickness of the asphalt base and binder courses

122 varied depending on the used material (AC, HMAC) and the predicted traffic category.

123 The wearing course is typically made of stone mastic asphalt SMA8 or SMA11, with

124 the thickness of 3 cm to 4 cm. Typical pavement structures of the analysed road sections

125 are presented in Figure 1.

126

Typical section with AC base	Typical section with HMAC base (neat bitumen)	Typical section with HMAC base (PmB bitumen)
SMA8 or SMA11 PmB 45/80-55 *wearing course*	SMA8 or SMA11 PmB 45/80-55 *wearing course*	SMA8 or SMA11 Pmb 45/80-55 *wearing course*
AC 16W or AC 22W 35/50 *binder course*	HMAC 16 20/30 *binder course*	HMAC 16 PmB 25/55-60 *binder course*
AC 22P or AC 32P 35/50 *asphalt base course*	HMAC 16 20/30 *asphalt base course*	HMAC 16 PmB 25/55-60 *asphalt base course*
Unbound aggregate *base course*	Unbound aggregate *base course*	Unbound aggregate *base course*
$\nabla E_2 \geq 100$ MPa or $E_2 \geq 120$ MPa	$\nabla E_2 \geq 100$ MPa or $E_2 \geq 120$ MPa	$\nabla E_2 \geq 100$ MPa or $E_2 \geq 120$ MPa

127 Figure 1. Typical pavement structures of the analysed road sections

128   All of the asphalt mixtures used in the test sections were designed according to

129 the WT-2:2014 technical requirements (GDDKiA, 2014) or their previous instances.

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130   The W The requirements for mixtures are summarised in Table 1. Bitumen content was

131   similar for most type of mixtures and was designed mostly with minimum requirements

132   stated by national requirements, as stated in table 1. In the case of aggregate, where data

133   was available, mostly following types of aggregates were utilized – granite/gneiss,

134   postglacial aggregate or limestone. When needed adhesion agent was utilized.

135   Since the requirements for low-temperature performance are not included in the

136   Polish requirements WT-2, the supplementary Table 2 is presented. Table 2 includes the

137   TSRST cracking temperatures as well as Indirect Tensile Strength (ITS) test results,

138   obtained for the same types of mixtures and binders in previous research projects. The

139   TSRST test results are expressed by two indices – the mean value of all the results and

140   the range of the results. The basic properties of bitumens used in the test sections are

141   given in Table 3. Tables 2 and 3 present the results of tests performed on representative

142   mixtures and bitumens during previous research performed on materials very similar to

143   those used in the test sections. Due to the large number of test sections (68, see

144   supplement A), collection of detailed material data from each individual test sections for

145   the presented work was impossible. The laboratory tests of low-temperature properties

146   of considered asphalt mixtures were conducted on specimens drill out from pavements

147   for following test sections with id according to supplement A: 55, 59, 60, 62. The

148   results were described in details by Pszczola et al. (2022) and both with the results

149   described by Rys et al. (2020) allows to state that the values presented in Tables 1-3 can

150   be treated as reliable and representative for the considered materials.

151

152 Table 1. Standard requirements for mixtures used in the test sections according to WT-2

153 (GDDKiA, 2014)

Property	SMA8	SMA11	AC 16 W	AC 22 P	HMAC 16
Max. aggregate size, mm	8	11	16	22	16
Bitumen type [EN 12591, EN 14023]	50/70 PmB 45/80-55		35/50		20/30 PmB 25/55-60
Bitumen, % (mass)	min. 7.2	min. 6.6	min. 4.6	min. 4.0	min. 5.0
Voids, % [EN 12697-8]	2.0 – 3.5	2.0 – 3.5	4.0 – 7.0	4.0 – 7.0	2.0 – 4.0
Resistance to water, [EN 12697-12]	$ITSR_{90}$	$ITSR_{90}$	$ITSR_{80}$	$ITSR_{70}$	$ITSR_{80}$
Resistance to permanent deformation, [EN 12697-22]	$WTS_{AIR\ 0.10}$ $PRD_{AIR\ 7.0}$	$WTS_{AIR\ 0.10}$ $PRD_{AIR\ 7.0}$	$WTS_{AIR\ 0.10}$ $PRD_{AIR\ 5.0}$	$WTS_{AIR\ 0.15}$ $PRD_{AIR\ 7.0}$	$WTS_{AIR\ 0.10}$ $PRD_{AIR\ 5.0}$

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155 Table 2. Selected low-temperature properties of asphalt mixtures based on previous own

156 research

Property		Mixture and bitumen type					
		SMA 8 / SMA 11		AC 16 W	AC 22 P	HMAC 16	
		50/70	PmB 45/55-80	35/50	35/50	20/30	PmB 25/55-60
TSRST [°C] [EN 12697-46]	Mean	-24.5	-27.0	-21.1	-17.3	-20.7	-24.2
	Max / Min	- 21.5 - 30.0	- 24.3 - 31.1	-20.2 -22.9	-12.5 -22.5	-16.8 -22.8	-20.2 -30.9
ITS [MPa] After STA [EN 12697-23]	@-10°C	n/a	n/a	4.876	4.545	5.001	5.311
	@-20°C	5.010	5.480	4.864	4.934	4.990	4.673
	@-30°C	n/a	n/a	4.195	3.928	4.147	4.868

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158 Table 3. Properties of bitumens used in the tested sections (Rys et al., 2020)

| Property		Type of bitumen			
		20/30	35/50	PmB 25/55-60	PmB 45/80-55
Performance Grade		PG76-10 [a]	PG70-22 [a]	PG88-16 [a]	PG70-22 to PG76-22 [a]
Penetration, 0.1 mm	Virgin	20-30 [b]	35-50 [b]	25-55 [b]	45-80 [b]
	RTFOT	18.5 [a]	30 [a]	27 [a]	40 [a]
Ring and Ball temperature, °C	Virgin	55-63 [b]	50-58 [b]	> 60 [b]	> 55 [b]
	RTFOT	70.1 [a]	62.5 [a]	74.8 [a]	69 [a]
BBR, S [MPA],	S @-12°C	273 [a]	238 [a]	169 [a]	143 [a]
	S @-18°C	547 [a]	440 [a]	338 [a]	309 [a]
m [-]	m @-12°C	0.260 [a]	0.304 [a]	0.284 [a]	0.321 [a]
	m @-18°C	0.209 [a]	0.219 [a]	0.246 [a]	0.271 [a]

159 Remarks: a) measured, b) according to producer specification

160 As shown in Table 1, AC mixtures contain less bitumen than HMAC mixtures

161 and have a more open structure. Also, in the case of mechanical properties, the

162 requirements stated for HMAC mixtures are slightly higher than those for AC mixes. In

163 the case of low-temperature properties expressed by TSRST test, for neat bitumen the

164 obtained mean values are very similar for both types of mixtures, but HMAC mixtures

165 present worse results in terms of range. The best results (the lowest cracking

166 temperature) were obtained for HMAC mixtures with PmB bitumen that were used to

167 binder and base course. In the case of low-temperature strength, mixtures with neat

168 bitumen present very similar results, reaching the maximum value for either -10°C or -

169 20°C. Below that temperature an intense decrease in strength is observable. In the case

170 of HMAC mixtures with PmB bitumen, the values obtained for low-temperature

171 strength show only a small reduction even at the temperature of -30°C. Taking into

172 consideration both indices (TSRST temperature and ITS values), AC and HMAC

173 mixtures with neat bitumen present similar behaviour, while HMAC mixture with PmB

174 bitumen presents much better low-temperature performance.

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175   **4. Methodology of field investigation and data analysis**

176   *4.1. Description of the tested sections*

177   The field investigation was conducted on 68 road sections: 40 with conventional

178   AC and 28 with HMAC asphalt base. Figure 2 presents a map with locations of the

179   tested sections. Each section is labelled with an id number. The detailed data for all

180   sections are attached in Supplement A and the video records from the visual

181   investigations are available in a public repository. All of the sections were constructed

182   under normal contract conditions and have been in normal service and maintenance.

183   The type of pavement structure is the same in all cases: asphalt layers are laid directly

184   on the base course of unbound crushed aggregate. Foundation and capping layer vary,

185   but the risk of reflected cracks from cement-treated base course is negligible. Each

186   section is characterised by the same set of factors: pavement structure, age, asphalt mix

187   parameters and the contractor who performed paving works. All road sections are

188   heavily loaded by commercial vehicles and with comparable structures (see figure 1).

189   Figure 2 presents the climatic zones which were determined according to the low

190   temperature of performance grade. Zones were determined on the basis of analysis of

191   climatic data collected from 61 meteorological stations, which was performed according

192   to methodology given in the repot no. FHWA-RD-97-104 (1998). The 95% reliability

193   level was assumed, which means that pavement temperature can decrease below the

194   given low PG value once in 20 years. The analysis is presented in more detail in

195   previous publication by the authors (Pszczola et al., 2017). The lowest winter

196   temperatures recorded for the period of 2000-2020 are shown for representative stations

197   for each climatic zone (shown in Figure 2) in Figure 3. Figure 4 shows the number of

198   sections in particular climatic regions, grouped according to the technology of asphalt

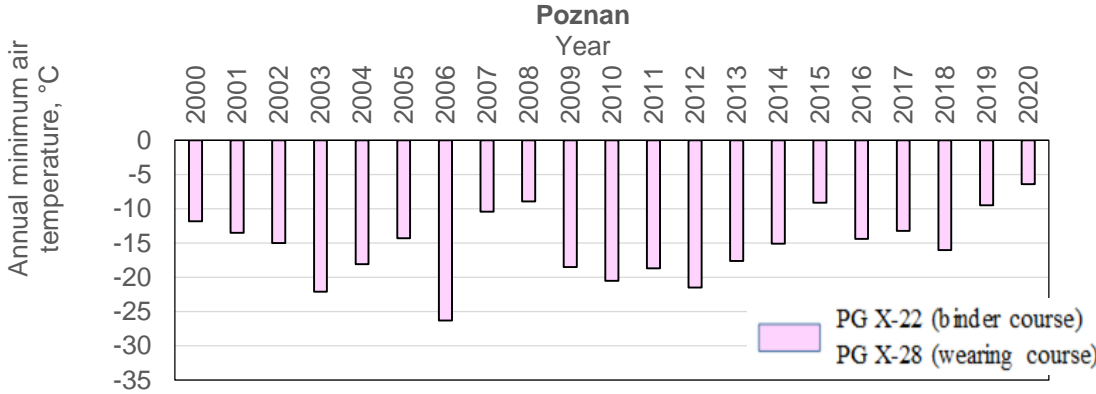199   mixture used for base and binder courses.

10
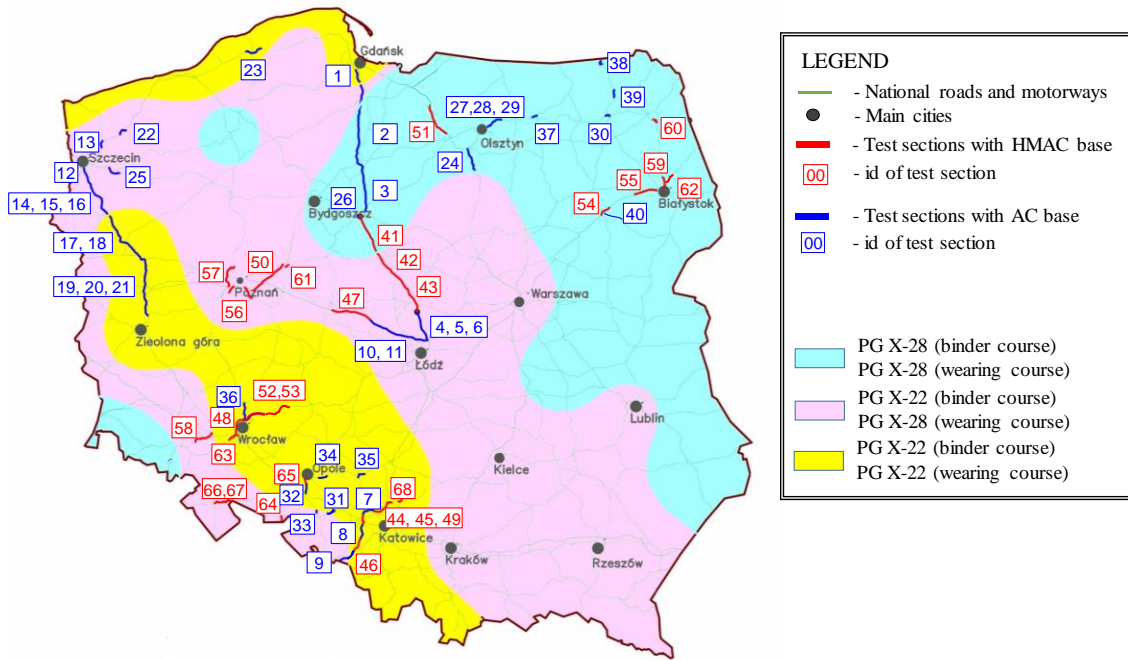
200

201   Figure 2. Location of road sections and climatic zones according to performance grade
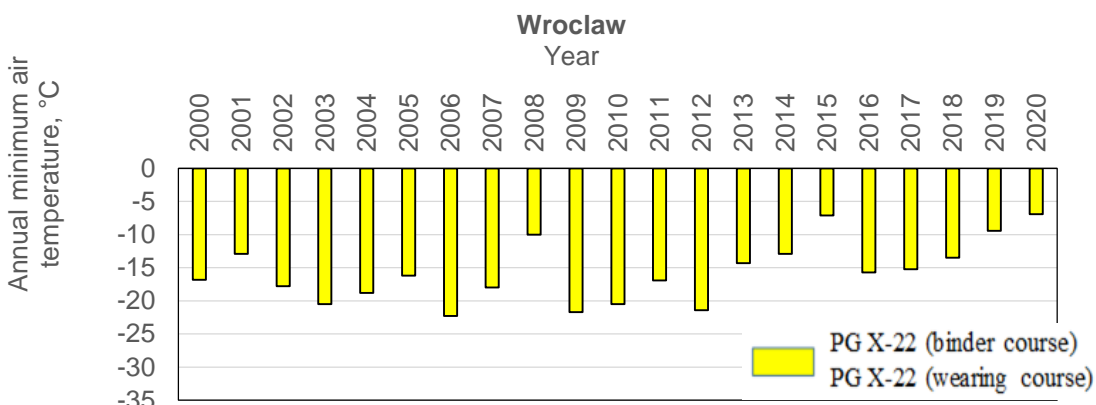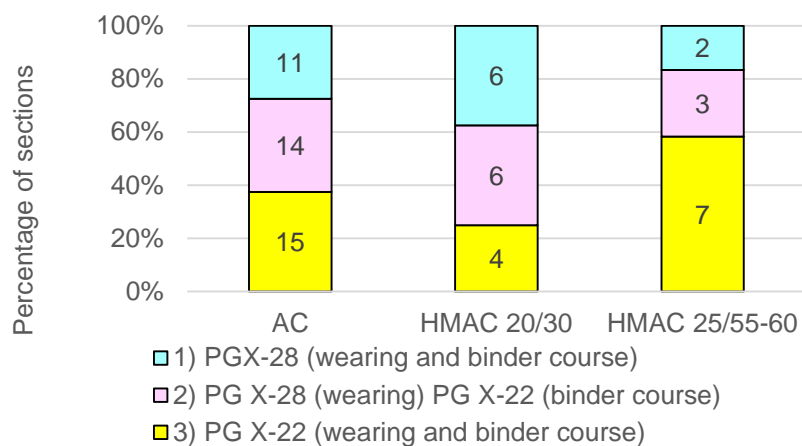
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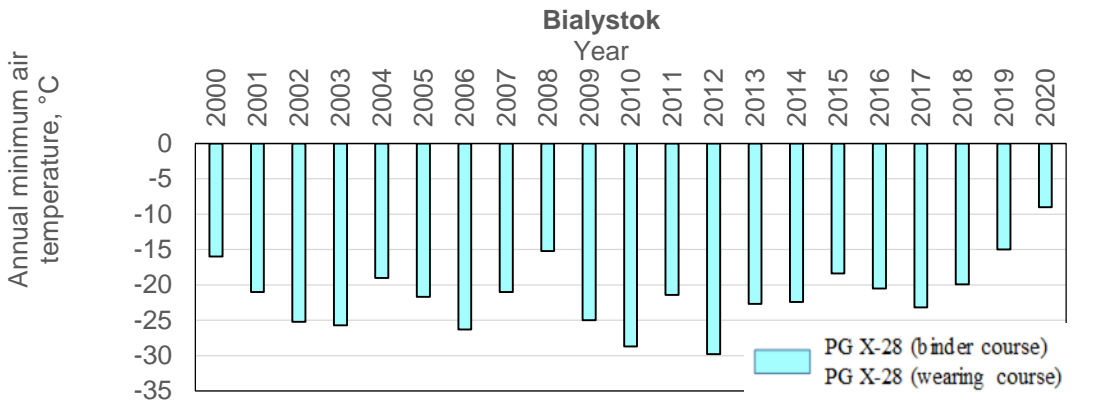
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206   Figure 3. The minimum winter air temperatures recorded for representative station for

207   each climatic zone

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209 Figure 4. Number of road sections located in particular climatic regions and grouped

210 according to the three types of asphalt base

211 *4.2. Method of identification of low-temperature cracking intensity*

212   The field investigation consisted in visual assessment of pavement distresses

213 including cracks, ruts, roughness and surface condition. For the analysis presented in

214 this article, solely the information about low-temperature cracks is taken into account. It

215 is noteworthy that for almost all the considered sections transverse low-temperature

216 cracks were the only visible form of distress. Only in a few cases rut with depth less

217 than 10 mm or block cracks on a small area of wearing course occurred. All the cracks

218 which originated from causes other than low-temperature action were excluded from the

219 analysis. The low-temperature cracks were clearly identified as single transverse cracks

220 that were visible on the surface of each investigated section. Figure 5 presents examples

221 of typical low-temperature transverse cracks occurring across the entire width of the

222 carriageway which were observed during the field investigation. In some rare cases

223 transverse cracks spanned only a portion of the width of the carriageway or were

224 grouped as two or more cracks at a very low distance. In all the mentioned cases they

225 were counted as a single crack.

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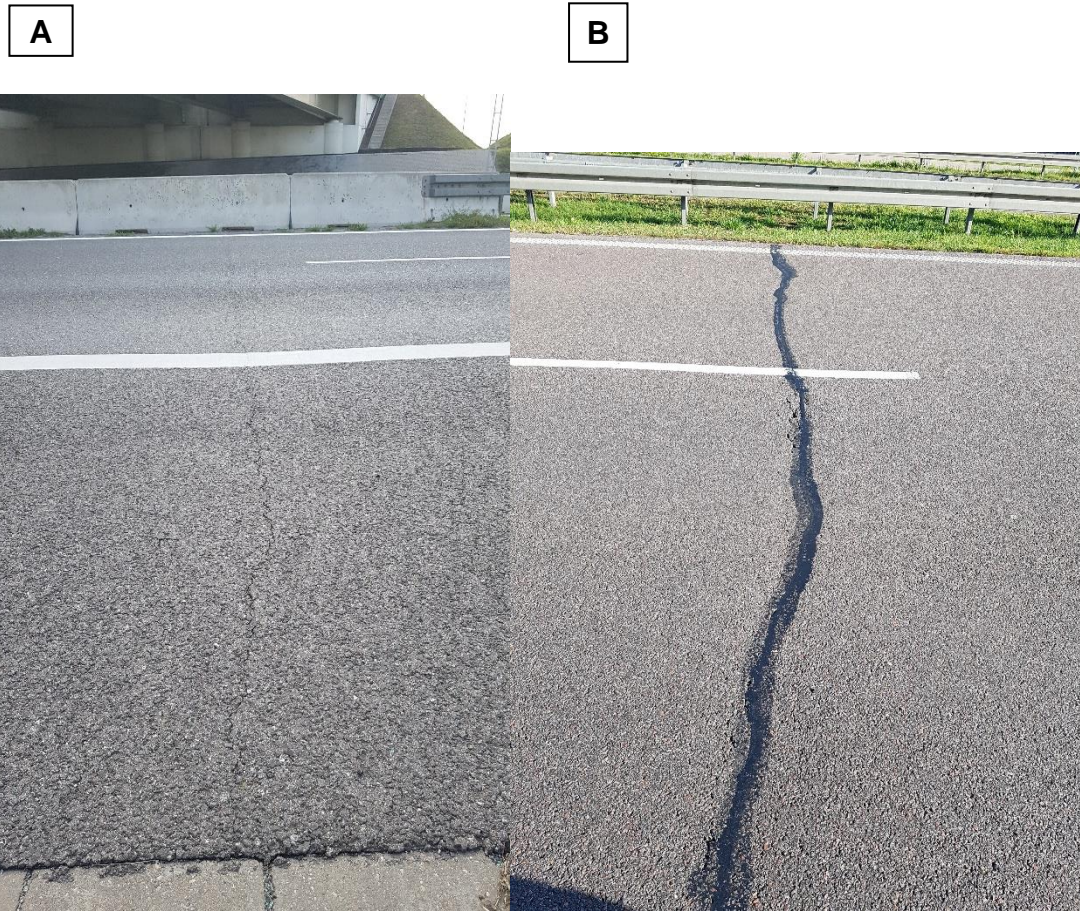
228

229   Figure 5. Examples of low-temperature cracks a) unrepaired b) sealed

230   Cracking index CI is defined as the average number of transverse cracks per 1

231   km of roadway. In the analysis the cracking index served as a basis for qualification of a

232   section to one of the four categories of crack intensity according to Table 4.

233   Table 4. Classification of road sections according to crack intensity

Cracking index category Y	Interpretation of the category	Average cracking index CI (cracks per km)
1	Sections without low-temperature cracks	CI = 0
2	Slightly cracked sections	$0 < CI \leq 3$
3	Moderately cracked sections	$3 < CI \leq 10$
4	Heavily cracked sections, requiring maintenance treatments	$10 < CI$

234   The priority of the research was to assess as many various road sections as

235   possible. Due to limited time and funds, it was impossible to investigate the whole

236   length of each section. Therefore, three 1-km-long subsections were selected randomly

237   from each section, the only assumption being that engineering facilities (bridges,

238   tunnels etc.) and road junctions shall be avoided. The limiting of the length of each

239   section to 3 km in total was associated with some uncertainty in determination of CI

240   representing a given road section. An additional investigation of thermal cracks with the

241   accompanying statistical analysis were performed on the entire 24 km length of the

242   section no. 55. The accurate average CI calculated for section no. 55 equals 4.48 cracks

243   per 1 km. Cracking indexes were also calculated for every possible combination of three

244   selected subsections. In total 13.8 million of cases were considered. The distribution of

245   CI obtained for all of these cases is very close to normal distribution with mean equal to

246   4.48 and standard deviation equal to 1.53. The coefficient of variance (COV) of the

247   distribution of CI, which may be a measure of the accuracy of the method, equals 0.34.

248   For comparison, selection of four 1-km-long subsections results in a decrease of COV

249   to 0.29, while selection of only two subsections results in an increase of COV to 0.43.

250   The accuracy of the method resulting from randomly selecting three subsections has a

251   minor effect on classification of sections into cracking index categories. In very rare

252   cases, due to the accuracy of the method, some sections may have fallen into CI

253   categories adjacent to those that would have been determined on the basis of the full

254   length of the sections.

255   Field investigations were performed twice – in the years 2014 and 2020. The

256   particular mileages of subsections were adopted in the year 2014 and the investigations

257   in 2020 were performed precisely on the same previously selected subsections. Ten road

258   sections investigated in 2014 were rebuilt in the meantime. Therefore, they were

259   excluded from investigation in 2020 and they are not mentioned in this work.

260   *4.3.Methodology of statistical analysis of the collected data*

261   At the first stage of analysis, basic two-parameter relationships between CI and

262   the remaining properties of the tested sections were investigated. Sections were grouped

263   depending on: performance grade (climatic conditions), pavement age, type of asphalt

264   base and bitumen modification. Since the single relationships proved inadequate in

265   identification of the factors with the highest impact on the CI, the authors proposed

266   adoption of methodology based on the ordered logistic model.

267   All parameters considered in the analysis take on categorical values and can be

268   expressed in binary form. Logistic regression is the standard method of modelling

269   categorical or binary outcomes (Gelman and Hill, 2007). Logistic regression was

270   developed by statistician David Cox (1958) and is now widely used in various fields of

271   science. Earlier applications of logistic regression in pavement engineering concerned

272   modelling of pavement deterioration (Tabatabaee et al. 2012) or fatigue of asphalt

273   mixes tested in laboratory conditions (Mateos et al. 2015). Implementation of logistic

274   regression for comparison of the factors that may have effect on the scale of pavement

275   distresses, as proposed in this study, has not been presented in the literature yet.

276   The logistic regression is a generalised linear model where logit is a link

277   function. If the response variable *Y* takes on categorical values from 1 to *k*, then the

278   logistic regression model can be expressed as follows (Cox, 1958):

279        $$logit\big(p(Y \leq g)\big) = ln\frac{p(Y \leq g)}{p(Y > g)} = \beta_{0g} - (\beta_1 X_1 + \cdots + \beta_n X_n) \qquad (1)$$

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280   where:

281   Y: response (dependent) variable,

282     $p(Y \leq g)$: the probability of a particular outcome,

283     $p(Y > g)$ – the probability of the complement of a particular outcome,

284     $\beta_{0g}, \beta_1.., \beta_n$: parameters of regression model,

285     $X_1, ..., X_n$: dependent variables,

286     $g = 1, ..., k - 1$.

287   Dependent variable Y takes on natural values from 1 to 4, according to Table 4. All

288   independent variables $X_1, ..., X_n$ are presented in binary form in order to simplify the

289   interpretation of the results. The independent variables $X_1, ..., X_n$ are listed in Table 5,

290   where the meaning of their record in binary form is also explained.

291   Table 5. Independent variables X and their interpretation

Group of properties	Variable designation	Variable description	Value	Interpretation	Value	Interpretation
Technology of asphalt mixture	X_1	Base type	0	AC base	1	HMAC base
	X_2	Bitumen type	0	Neat bitumen (35/50 for AC) or 20/30 (for HMAC)	1	Polymer-modified bitumen 25/55-60
Climatic region	X_3	Performance grade on the level of wearing course	0	Low PG X-22	1	Low PG X-28
	X_4	Performance grade on the level of binder course	0	Low PG X-22	1	Low PG X-28
Pavement age at the moment of investigation	X_5	New pavements	0	Age $\leq$ 3 years	1	Age > 3 years
	X_6	Long-serviced pavements	0	Age $\leq$ 10 years	1	Age > 10 years

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294   According to table 5 three groups of asphalt pavements are distinguished (see

295   also Fig.1):

296   1. Pavements with AC base where neat bitumen 35/50 are used ($X_1 = 0$, $X_2 = 0$)

297   2. Pavements with HMAC base where neat bitumen 20/30 are used ($X_1 = 1$, $X_2 = 0$)

298   3. Pavements with HMAC base where polymer modified bitumen PMB 25/55-60

299   are used ($X_1 = 1$, $X_2 = 1$)

300   Three climatic regions assumed in presenting analysis are described by variable

301   X3 and X4 in following manner according to table 5 (see also Fig. 2):

302   1. The coldest climatic region, PG X-28 both for wearing and binder course, $X_3 = 1$

303   and $X_4 = 1$,

304   2. The moderate climatic region, PG X-28 for wearing course, PG X-22 for binder

305   course, $X_3 = 1$ and $X_4 = 0$,

306   3. The warmest climatic region, PG X-22 both for wearing and binder course, $X_3 = $

307   0 and $X_4 = 0$.

308   Pavement age can be classified into one from three groups and it is described by

309   variable X5 and X6 in following manner according to table 5:

310   1. new pavements, up to 3 years in service $X_5 = 0$ and $X_6 = 0$,

311   2. pavements being in service longer than 3 years but not longer than 10 years

312       $X_5 = 1$ and $X_6 = 0$,

313   3. pavements being in service longer than $X_5 = 1$ and $X_6 = 1$.

18

314   In order to interpret the ordered logistic regression model, the odds ratio and

315 marginal effects were determined. The interpretations of the odds ratio and marginal

316 effects are presented with the assumption of *ceteris paribus*. *Ceteris paribus* is a Latin

317 phrase meaning "with other things the same" or "all or other things being equal or held

318 constant".

319   The odds express a quotient of probability of particular outcome $p(Y \leq g)$ to its

320 complement $p(Y > g)$. The ratio of two odds is called odds ratio *OR*. For the

321 considered analysis, the odds ratio represents a change in probability of a given

322 cracking intensity when one of independent variables X_i increases from 0 to 1 and the

323 probabilities change from p_0 to p_1. The odds ratio is defined as follows (Gelman and

324 Hill, 2007):

325
$$OR = \frac{p_0(Y \leq g)/p_0(Y > g)}{p_1(Y \leq g)/p_1(Y > g)} \qquad (2)$$

326 where symbols used in the formula are as explained above.

327   The marginal effects express a deviation of probabilities of belongingness to a

328 given category of crack intensity. This probability can be directly determined from the

329 logit regression model:

330
$$\hat{p}(Y \leq g) = \frac{e^{\hat{\beta}_{0g} - (\hat{\beta}_1 X_1 + \cdots + \hat{\beta}_n X_n)}}{1 + e^{\hat{\beta}_{0g} - (\hat{\beta}_1 X_1 + \cdots + \hat{\beta}_n X_n)}} \qquad (3)$$

331 where:

332 $\hat{p}(Y \leq g)$: probability of pavement being in a given category of crack intensity,

333 $\hat{\beta}_{0g}, \hat{\beta}_1 ..., \hat{\beta}_n$: parameters of the regression model,

334 $X_1, ..., X_n$: dependent variables.

335   The calculated values of odds ratios as well as marginal effects enable ordering

336   of factors considered in the independent variables *X* according to their influence on the

337   dependent variable *Y*.

338   **5. Statistical analysis of field observations**

339   ***5.1.Analysis of Cracking Index and Annual Increase of Cracking Index***

340   Cracking indexes CI were determined for individual sections in 2014 and 2020.

341   Figure 6 presents CI in relation to technology of asphalt mixture (AC or HMAC) and in

342   relation to climatic region. Figure 7 is analogous to Figure 6, but it presents CI in

343   relation to the age of pavements in the year of investigation. In further analysis, sections

344   are categorised into one of four categories depending on CI, thus the borders of

345   categories of CI are marked both in Figure 6 and 7. In Figure 7 the borders of pavement

346   age groups are marked as well.
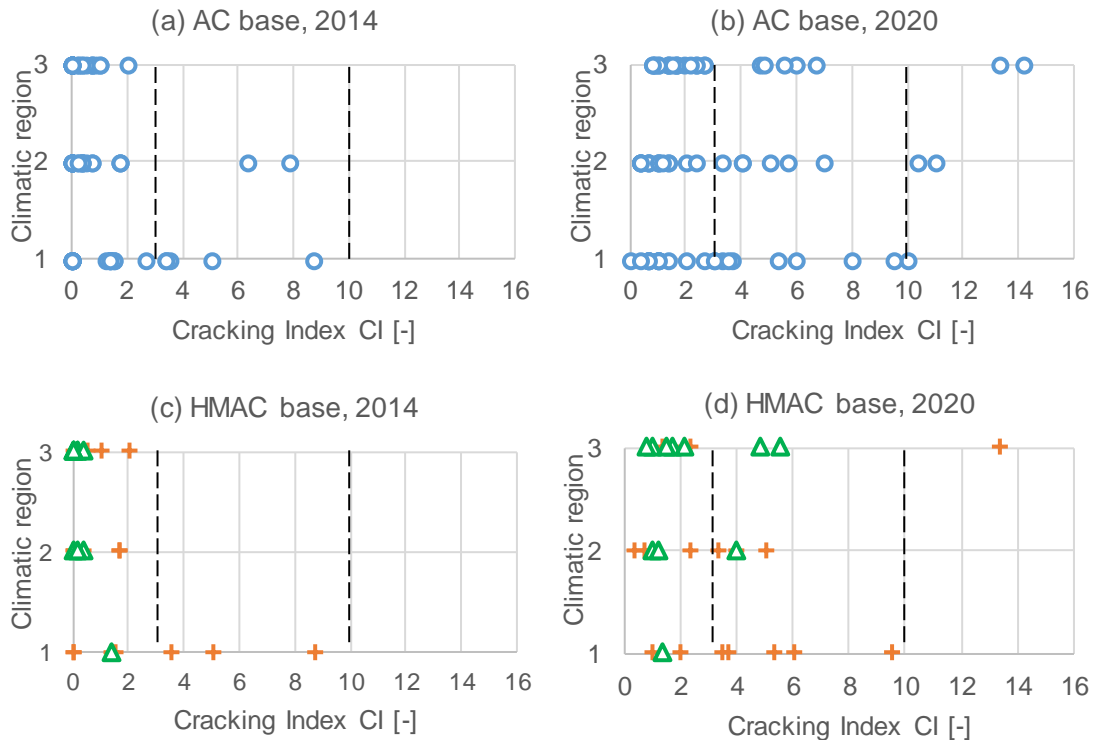
20

Figure 6. Cracking index CI obtained for sections in 3 climatic regions for pavements constructed in AC technology (a, b) or HMAC technology (c, d) on the basis of investigation from 2014 (a, c) and 2020 (b, d)

In the first investigation in 2014 pavements in the coldest region 1 with PG X-28 exhibited greater tendency to low-temperature cracking than those in other regions (see figure 6 a and 6 c). However, after six further years of service, in the year 2020, the tendency was not as obvious and even in the case of sections located in the warmest region 3 more heavily cracked sections were observed than in the colder regions 1 and 2. The statistics suggest that climatic region may have a significant influence on the time of crack initiation, but after several years of service the meaning of climatic

21

358   performance grade is minor and the scale of cracks is similar, regardless of the region. It

359   should be noted that the entire territory of Poland is located in temperate zone – if the

360   same pavements were considered in a much wider range of performance grade low

361   temperature zones, the observations could have been different.
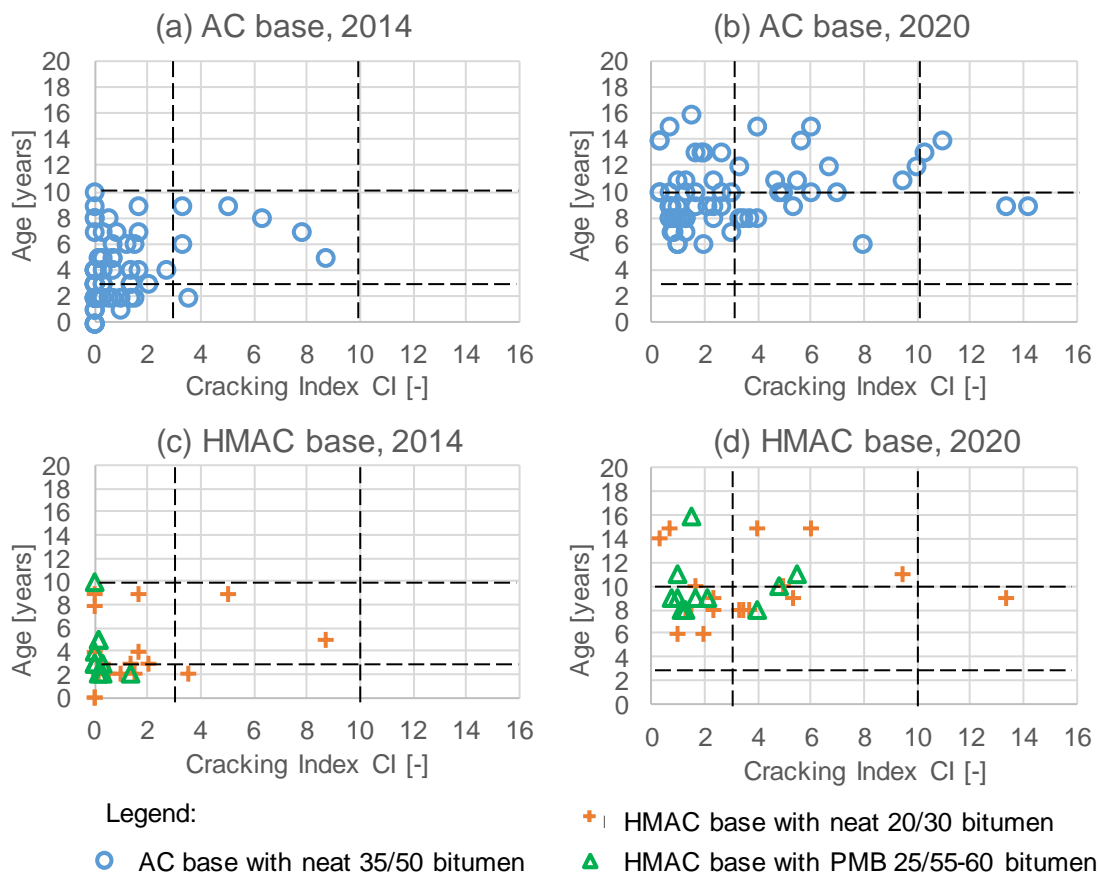
362

363   Figure 7. Cracking index CI in relation to the age of pavements constructed in AC

364   technology (a, b) or HMAC technology (c, d), on the basis of investigations from 2014

365   (a, c) and 2020 (b, d)

366   As clearly shown in Figure 7, when the age of the pavement increases, the

367   number of low-temperature cracks increases as well. However, several sections with age

368   >14 years still remain in the group of slightly cracked sections. It may also be observed

369   in Figure 7 d that sections where polymer-modified bitumen was applied in the asphalt

370   base and wearing course belong to the group of slightly cracked sections (CI ≤ 3) more

371   often, regardless of their age and location.

372   Figure 8 presents a comparison of the number of sections ordered according to

373   particular cracking index categories. While in the year 2014 30% to 55% of sections

374   belonged to the uncracked category, in the year 2020 all the considered sections were

375   cracked. The scale of low-temperature cracks increases over the time.
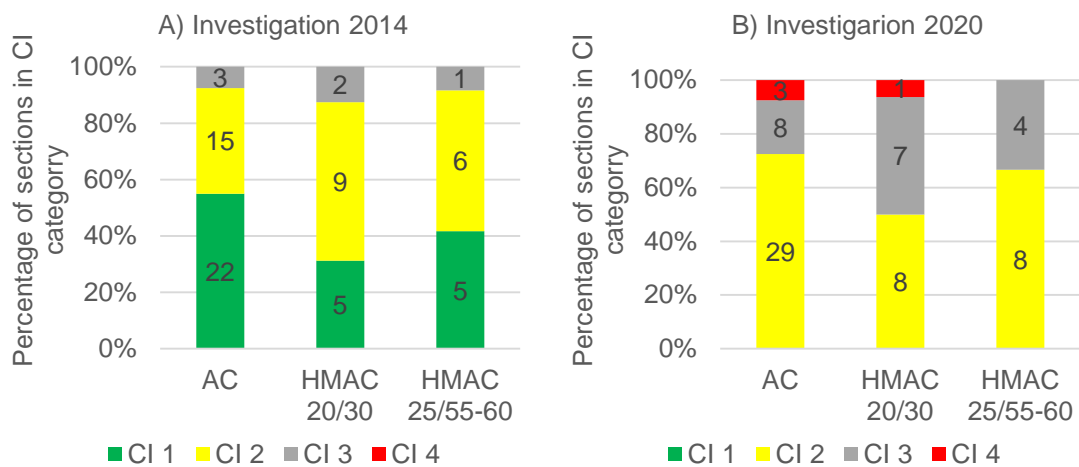
376

377   Figure 8. Number of sections grouped according to asphalt mixture technology and

378   categorised according to cracking index CI A) in the base year 2014 B) in the year 2020

379   The basic statistics presented in Figures 6, 7 and 8 show that every factor –

380   asphalt mixture type, bitumen, age and climatic region – has an impact on the number of

381   low-temperature cracks expressed by cracking index. It is impossible to identify the

382   factor that has the greatest effect based solely on statistics presented in Figures 6-8.

383   Therefore, a model combining all factors is needed. Authors proposed to adopt a

384   statistical analysis methodology based on the ordered logistic model to identify the most

385   influential factors.

23

386   *5.2. Building and analysis of the ordered logistic model*

387   Parameters of regression were calculated using the R software. Calculations were

388   conducted for each of the independent variables. The obtained results are presented in

389   Table 6. The standard errors of the estimation and 95% confidence intervals are also

390   presented in Table 6. . The detailed description of all in depended variables is clarified

391   in table 5.Table 6. Parameters of the ordered logistic regression model

Independent variables/ designation		Parameters of regression β		The standard error of the estimate	95% confidence interval	
		Designation	Value		min	Max
Base type	X_1	β_1	1.213	0.431	0.377	2.073
Bitumen modification	X_2	β_2	-0.704	0.599	-1.900	0.459
Climatic region	X_3	β_3	0.239	0.451	-0.647	1.128
	X_4	β_4	0.603	0.454	-0.282	1.503
Pavement age group	X_5	B_5	-2.600	0.486	-3.594	-1.681
	X_6	B_6	1.605	0.499	0.637	2.604
Model constant		β_{01}	-1.328	0.410	-2.131	-0.525
Model constant		β_{02}	2.130	0.430	1.288	2.972
Model constant		β_{03}	4.624	0.675	3.300	5.948

392   The ordered logit regression model assumes that the distance between the

393   categories of the outcome is proportional. The Brant test result is statistically

394   insignificant, which indicates that the parallel regression assumption is true. The

395   Hosmer-Lemeshow test p-value is 0.2198, which suggests a good overall fit. The

396   Lipsitz test and the Pulkstenis-Robinson test are statistically insignificant, which also

397   confirms that the model is a good fit (Fagerland and Hosmer, 2017).

398   The ordinal logistic regression confusion matrix given in Table 7 shows

399   sensitivity and specificity for each group. The total sum of cells by rows represents the

400   total number of true cases present, while each column shows how many cases the model

401   classified into a given category. Table 7 shows that 56% of roads in crack category 1

402   were classified correctly by the model whereas 93% of roads in crack categories other

403   than 1 were classified correctly. Due to the small number of roads in crack category 4,

404   the sensitivity is equal to 0. Accuracy is the measure that indicates how much the

405   prediction differs from the observed data. While 100% indicates perfect prediction, in

406   the presented case the accuracy is equal to 65.44%.

407   Table 7. The ordinal logistic regression confusion matrix

		Predicted Value			
		1	2	3	4
True Value	1	18	14	0	0
	2	7	66	2	0
	3	0	20	5	0
	4	0	4	0	0
Sensitivity		56.25%	88.00%	20.00%	0.00%
Specificity		93.27%	37.70%	98.20%	100.00%

408   On the basis of the regression model characterised by parameters presented in

409   Table 6, the odds ratios were calculated according to equation (2). They are presented in

410   Table 8. The following example illustrates interpretation of the odds ratios. Let us

411   consider a change of the type of the base course expressed by variable X_1: when the

412   value changes from $X_1 = 0$ (AC base) to $X_1 = 1$ (HMAC base), the odds ratio is equal to

413   3.65 (see Table 8). Two groups of road sections: cracked ($Y > 1$) and uncracked ($Y = 1$)

414   are compared. According to the formula (2), it can be stated that pavements with

415   HMAC bases will belong to the group of cracked pavements with odds 3.65 times

416   greater than pavements with conventional AC bases. Another important example

417   concerns the significance of bitumen modification, expressed by variable X_2. The odds

418   ratio is equal to 0.494, which means that the probability of a section belonging to the

419   group of cracked sections decreases approximately by half when polymer-modified

420   bitumens are used.

421

422   Table 8. Odds ratios for dependent variable – crack intensity

Dependent variables/ designation		Odds ratio OR	The standard error of the estimate	95% confidence interval	
				min	Max
Base type	X_1	3.365	1.450	1.458	7.951
Bitumen modification	X_2	0.494	0.296	0.150	1.582
Climatic region	X_3	1.270	0.573	0.524	3.089
	X_4	1.827	0.829	0.754	4.494
Pavement age group	X_5	0.074	0.036	0.027	0.186
	X_6	4.975	2.480	1.891	13.521

423   The odds ratios presented in Table 8 were used to rank the significance of

424   variables X_1 to X_6 in terms of probability of low-temperature crack occurrence. Factors

425   ordered from the most influential to the least influential are given in Table 9 with

426   justification.

427   Table 9. Ranking of the factors affecting low-temperature cracking of pavements

428   based on odds ratio

Significance (1- the most influential)	Variable	OR	Justification
1	X_5	0.074	The odds of occurrence of low-temperature cracks in new pavements with age less than 3 years are 13.5 times lower than for pavements older than 3 years
2	X_6	4.974	Pavements older than 10 years belong to the group of cracked sections with odds almost 5 times higher than for pavements with age less than 10 years
3	X_1	3.65	Pavements with HMAC bases will belong to the group of cracked pavements with odds 3.65 times higher than pavements with conventional AC bases
4	X_2	0.494	The odds of a section belonging to the group of cracked sections decreases twofold when polymer-modified bitumens are used
5	X_4	1.827	A change in performance grade (in the binder course) to lower temperature class (from X-22 to X-28) causes an increase in the odds of pavement cracking by a factor of 1.87
6	X_3	1.270	A change in performance grade (in the wearing course) to lower temperature class (from X-22 to X-28) causes a slight increase in the odds of pavement cracking by a factor of 1.27

26

429   Results of calculation of marginal effects are presented in Table 10. Standard

430 errors of the estimation of marginal effects range from 0.007 to 0.084. The absolute

431 value of marginal effect was used to make a ranking of factors from the most influential

432 (the highest absolute value of marginal effects), to the least influential (the lowest

433 absolute value of marginal effects). Regardless of the variable Y, the order of factors

434 was always the same as the one presented in Table 6. Age of the pavement is the most

435 influential factor, followed by the type of asphalt concrete and bitumen modification.

436 The climatic region displayed the least significant impact among the considered factors,

437 but it is noteworthy that the low PG value determined for the binder course had a more

438 pronounced impact than the PG value determined for the wearing course.

439   Based on marginal effects given in Table 10, other findings may be formulated:

440 • Pavements with HMAC base will belong to the group of uncracked sections

441 with probability lower by 16% than pavements with AC base, and to the group

442 of moderately cracked sections with probability higher by 13% than pavements

443 with AC base.

444 • Pavements with modified bitumens will belong to the group of uncracked

445 sections with probability 10% higher than pavements with neat bitumens.

446 • Sections located in climatic zone PG X-22 (on the level of binder course) belong

447 to the group of uncracked sections with probability greater by 8% than the ones

448 located in PG X-28 zone. Sections located in climatic zone PG X-28 belong to

449 the group of moderately cracked sections with 6% greater probability than those

450 located in PG X-22. Climatic zone has a negligible impact on classification of

451 section into the heavily cracked group.

452 • Pavements belong to the group of heavily cracked sections with similar

453   probability regardless of any of the considered factors. It means that some

454   external factors related with technology and quality of the construction process

455   may be the main source of intensive cracking of those sections.

456 Table 10. Marginal effects for uncracked sections ($Y = 1$)

Dependent variables/ designation		Marginal effects dy/dx for pavements			
		No cracking $Y = 1$	Slightly cracked $Y = 2$	Moderately cracked $Y = 3$	Heavily cracked $Y = 4$
Base type	X_1	-0.166	0.021	0.129	0.016
Bitumen modification	X_2	0.096	-0.012	-0.075	-0.009
Climatic region	X_3	-0.033	0.004	0.025	0.003
	X_4	-0.083	0.011	0.064	0.008
Pavement age group	X_5	0.356	-0.046	-0.277	-0.034
	X_6	-0.220	0.028	0.171	0.021

457 **6. Summary and conclusions**

458   The low temperature cracks are still one of the main pavement distress observed

459 on Polish roads. In order to effectively predict and counteract the problem, the key is to

460 correctly identify and rank the factors that mostly affect the low-temperature cracking.

461 Field investigations of low-temperature cracks were performed in years 2014 and 2020

462 on the same 68 sections constructed and being in service in typical traffic conditions.

463 Collected data were statistically analysed with the use of ordered logistic regression

464 model. Based on the conducted analysis, the following conclusions can be drawn:

465 1) The most important factor affecting the probability of low-temperature cracking

466   is the age of the pavement that is associated with ageing of asphalt mixtures. It

467   was confirmed both by odds ratio and marginal effects. New pavements (age

468   less than 3 years) exhibit low-temperature cracks with odds 13.5 times lower

469   than pavements older than 3 years. Pavements older than 10 years belong to the

470   group of cracked sections with odds almost 5 times higher than pavements with

471   age less than 10 years.

472   2)   Climatic zone typical for Polish climate conditions in which the road is located

473   has minor effect on pavement cracking. The greatest influence of the region is

474   visible in the beginning of the service life of the road section, but it diminishes

475   with time.

476   3)   Pavements with HMAC bases will belong to the groups of cracked pavements

477   with odds 3.65 times greater than pavements with conventional AC bases. It is

478   noteworthy that AC mixes contain less bitumen and have more open structure

479   than HMAC mixtures. Simultaneously, AC contains 35/50 bitumen with lower

480   stiffness according to the BBR tests. However, TSRTS tests show comparable

481   cracking temperature for both AC and HMAC with neat bitumen.

482   4)   The probability of a section belonging to the group of cracked sections decreases

483   by half when polymer-modified bitumens 25/55-60 are used in the binder course

484   and asphalt base. The modified bitumen is characterised both by lower stiffness

485   S and higher m according to the BBR test than the remaining bitumens 20/30 and

486   35/50. The effect of bitumen modification is also visible in lower cracking

487   temperature of mixtures in the TSRST test (indicating better low-temperature

488   performance).

489   5)   Pavements belong to the group of heavily cracked sections with similar

490   probability, regardless of any of the considered factors. Thus, it could be stated

491   that there are other factors, apart from the analysed, which can have influence on

29

492   pavement low-temperature performance. The authors suspect that the most

493   influential factors include the quality of works and the chemical composition of

494   bitumen. These factors will be analysed in further studies.

7. Acknowledgement

8. Data Availability

500 The data presented in this study are openly available in repository: Investigation of

501 Low-Temperature Cracks on Selected National Roads and Motorways in Poland 2020,

502 Bridge of Data. Gdansk University of Technology at doi: 10.34808/an8a-3k90,

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614   Supplement A: Detailed list of tested sections

Id.	Route number	Distance (km from / to)	Cracking index in 2014	Cracking index in 2020	Type of asphalt base / bitumen	Year of construction	Lower PG on the level of binder course	Lower PG on the level of wearing course
1	A1	0+000 24+300	1.67	2.00	AC 35/50	2007	-22	-28
2	A1	24+300 87+800	1.17	3.33	AC 35/50	2008	-28	-28
3	A1	87+800 139+500	0.00	0.67	AC 35/50	2011	-28	-28
4	A1	245+800 261+000	0.67	1.00	AC 35/50	2012	-22	-28
5	A1	261+000 270+000	0.00	0.67	AC 35/50	2012	-22	-28
6	A1	270+000 291+000	0.00	0.33	AC 35/50	2006	-22	-28
7	A1	c 0+000 c 20+300	0.67	2.33	AC 35/50	2009	-22	-22
8	A1	a 0+000 a 15+500	0.83	2.67	AC 35/50	2007	-22	-22
9	A1	a 29+612 49+212	0.00	0.83	AC 35/50	2012	-22	-22
10	A2	301+372 343+500	0.50	5.67	AC 35/50	2006	-22	-28
11	A2	343+500 362+300	6.33	11.00	AC 35/50	2006	-22	-28
12	A6	14+200 21+900	7.83	10.33	AC 35/50	2007	-22	-28
13	S3	61+600 66+400	0.00	1.00	AC 35/50	2012	-22	-28
14	S3	0+000 28+200	0.00	1.33	AC 35/50	2010	-22	-28
15	S3	28+200 54+900	0.00	0.33	AC 35/50	2010	-22	-28
16	S3	54+900 81+600	0.00	0.33	AC 35/50	2010	-22	-28
17	S3	0+000 9+500	0.00	1.67	AC 35/50	2007	-22	-22
18	S3	0+500 18+040	0.00	1.00	AC 35/50	2014	-22	-22
19	S3	0+000 17+000	1.00	1.33	AC 35/50	2013	-22	-22
20	S3	17+000 24+500	0.00	0.80	AC 35/50	2013	-22	-22
21	S3	24+500 42+954	0.00	0.83	AC 35/50	2013	-22	-22
22	S6	0+000 9+400	0.00	1.33	AC 35/50	2012	-22	-22
23	S6	201+900 216+600	0.33	0.67	AC 35/50	2010	-22	-28
24	S7	175+800 203+600	0.00	1.00	AC 35/50	2012	-28	-28
25	S10	8+800 21+400	0.33	1.33	AC 35/50	2009	-22	-28
26	S10	0+000	0.67	7.00	AC	2010	-22	-28

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Id.	Route number	Distance (km from / to)	Cracking index in		Type of asphalt base / bitumen	Year of construction	Lower PG on the level of	
			2014	2020			binder course	wearing course
		12+000			35/50			
27	DK16	0+000 12+400	0.00	8.00	AC 35/50	2014	-28	-28
28	DK16	162+100 180+500	2.67	3.00	AC 35/50	2010	-28	-28
29	DK16	31+500 39+700	0.00	1.00	AC 35/50	2014	-28	-28
30	DK16	0+000 4+800	0.00	0.67	AC 35/50	2012	-28	-28
31	DK40	1+000 2+460	0.67	6.67	AC 35/50	2008	-22	-22
32	DK45	82+814 86+663	0.00	14.17	AC 35/50	2011	-22	-22
33	DK45	57748 60+853	0.33	1.94	AC 35/50	2007	-22	-22
34	DK46	110+867 116+100	0.00	6.00	AC 35/50	2010	-22	-22
35	DK46	0+000 5+620	0.67	4.67	AC 35/50	2009	-22	-22
36	DK5	340+485 352+927	0.00	2.67	AC 35/50	2011	-22	-22
37	DK59	0+000 6+500	0.00	0.67	AC 35/50	2011	-28	-28
38	DK65	0+000 5+600	1.33	2.67	AC 35/50	2010	-28	-28
39	DK65	0+000 7+600	0.00	3.00	AC 35/50	2013	-28	-28
40	DK66	0+000 16+600	3.33	10.00	AC 35/50	2008	-28	-28
41	A1	151+300 186+366	0.00	2.00	HMAC HMAC 20/30	2014	-28	-28
42	A1	186+348 215+850	0.00	1.00	HMAC 20/30	2014	-28	-28
43	A1	215+850 245+800	0.17	3.33	HMAC 20/30	2012	-22	-28
44	A1	d 0+000 d 14+500	0.17	5.50	HMAC 25/55-60	2009	-22	-22
45	A1	b 0+000 b 6+030	0.00	1.67	HMAC 25/55-60	2011	-22	-22
46	A1	a 15+500 a 29+612	0.17	1.00	HMAC 25/55-60	2009	-22	-22
47	A2	257+560 303+145	0.00	0.67	HMAC 20/30	2005	-22	-28
48	A8	0+000 28+368	0.33	0.75	HMAC 25/55-60	2011	-22	-22
49	S1	0+300 2+158	0.00	1.50	HMAC 25/55-60	2004	-22	-22
50	S5	0+000 34+615	0.17	4.00	HMAC 25/55-60	2012	-22	-28
51	S7	97+866 134+903	1.33	1.33	HMAC 25/55-60	2012	-28	-28

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Id.	Route number	Distance (km from / to)	Cracking index in		Type of asphalt base / bitumen	Year of construction	Lower PG on the level of	
			2014	2020			binder course	wearing course
52	S8	0+500 22+593	1.00	2.33	HMAC 20/30	2012	-22	-22
53	S8	29+800 54+910	0.50	1.33	HMAC 20/30	2012	-22	-22
54	S8	575+550 586+620	1.50	3.50	HMAC 20/30	2012	-28	-28
55	S8	614+850 639+365	3.50	3.67	HMAC 20/30	2012	-28	-28
56	S11	288+720 297+825	0.00	0.33	HMAC 20/30	2006	-22	-28
57	S11	0+000 21+940	0.33	1.17	HMAC 25/55-60	2012	-22	-28
58	DK5	370+700 389+407	0.00	1.67	HMAC 20/30	2010	-22	-22
59	DK8	648+117 654+548	8.67	9.50	HMAC 20/30	2009	-28	-28
60	DK8	717+982 723+236	5.00	6.00	HMAC 25/55-60	2005	-28	-28
61	DK15	0+000 6+260	1.67	4.00	HMAC 20/30	2005	-22	-28
62	DK19	45+700 50+700	1.33	5.33	35/50	2011	-28	-28
63	DK35	79+850 85+000	0.00	2.14	HMAC 25/55-60	2011	-22	-22
64	DK41	29+520 33+270	0.33	2.33	HMAC 20/30	2011	-22	-28
65	DK45	89+650 94+100	2.00	13.33	HMAC 20/30	2011	-22	-22
66	DK46	1+705 7+810	0.00	1.00	25-55-60	2011	-22	-28
67	DK46	7+810 20+894	1.67	5.00	HMAC 20/30	2010	-22	-28
68	DK78	0+000 5+700	0.00	4.83	HMAC 25/55-60	2010	-22	-22

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